



# On the Use of ML for Modelling Land Surface Dynamics

Nuno Carvalhais

Shanning Bao, Rackhun Son, Ranit De, Reda El Ghawi, Christian Reimers,  
Lazaro Alonso, Sujan Koirala, Markus Reichstein, ...

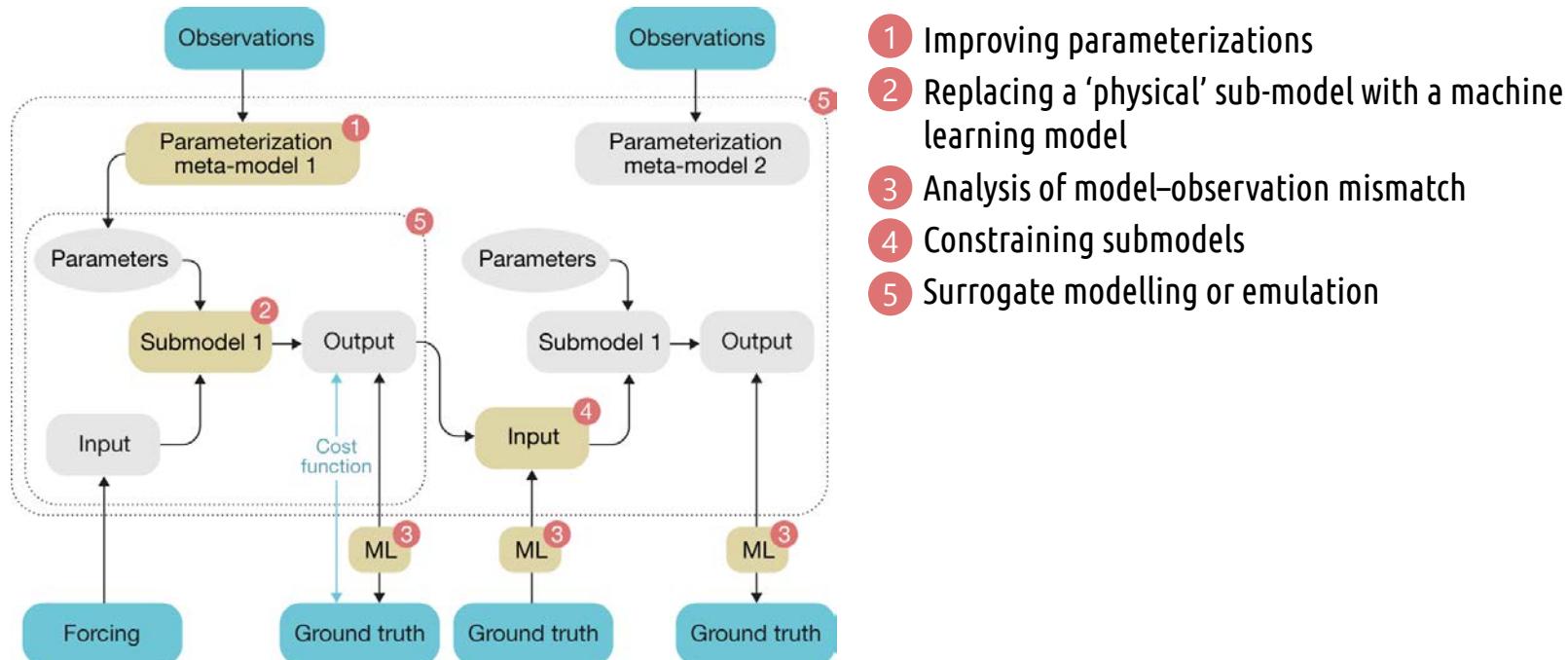
[ncarvalhais@bgc-jena.mpg.de]

Max Planck Institute  
for Biogeochemistry



e l l i s  
European Laboratory for Learning and Intelligent Systems

# Perspective...

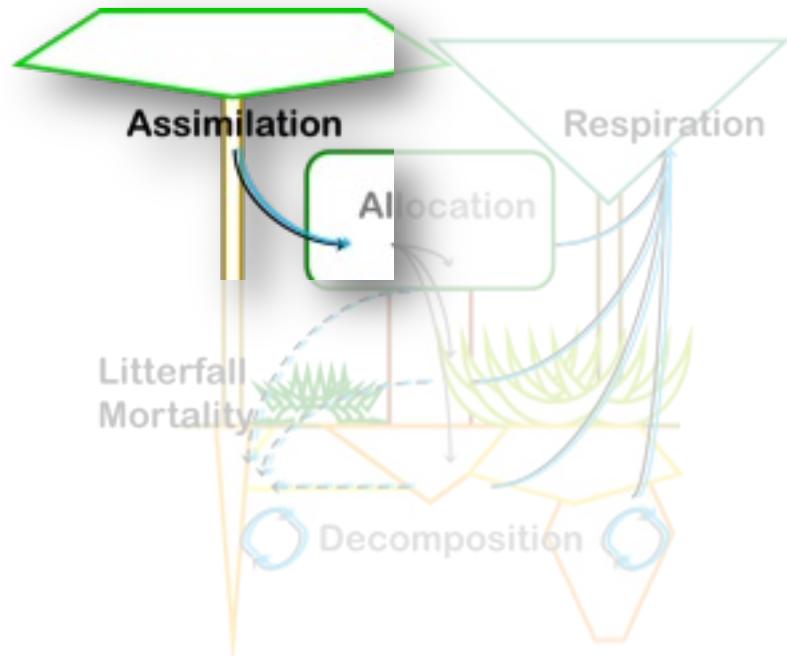


[Reichstein et al., 2019]

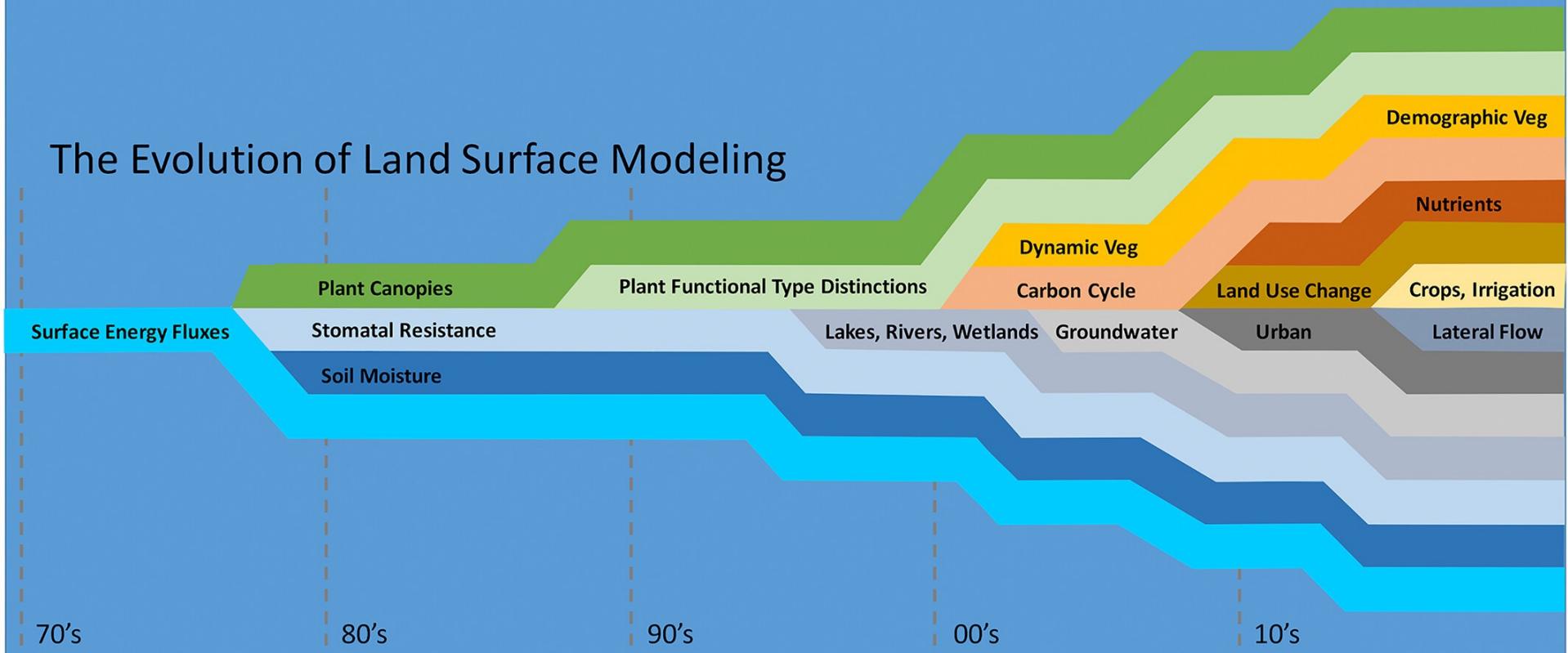


[Bao et al., JAMES, 2024]

# PARAMETERIZATIONS



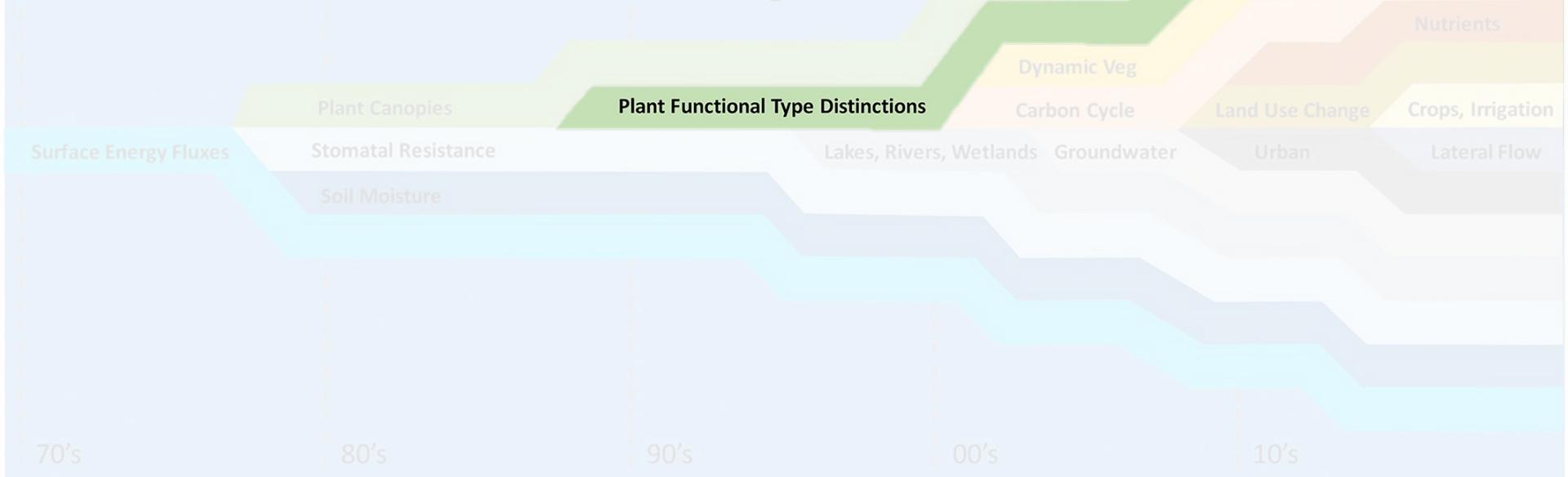
# The Evolution of Land Surface Modeling



[Fisher and Koven, 2020]



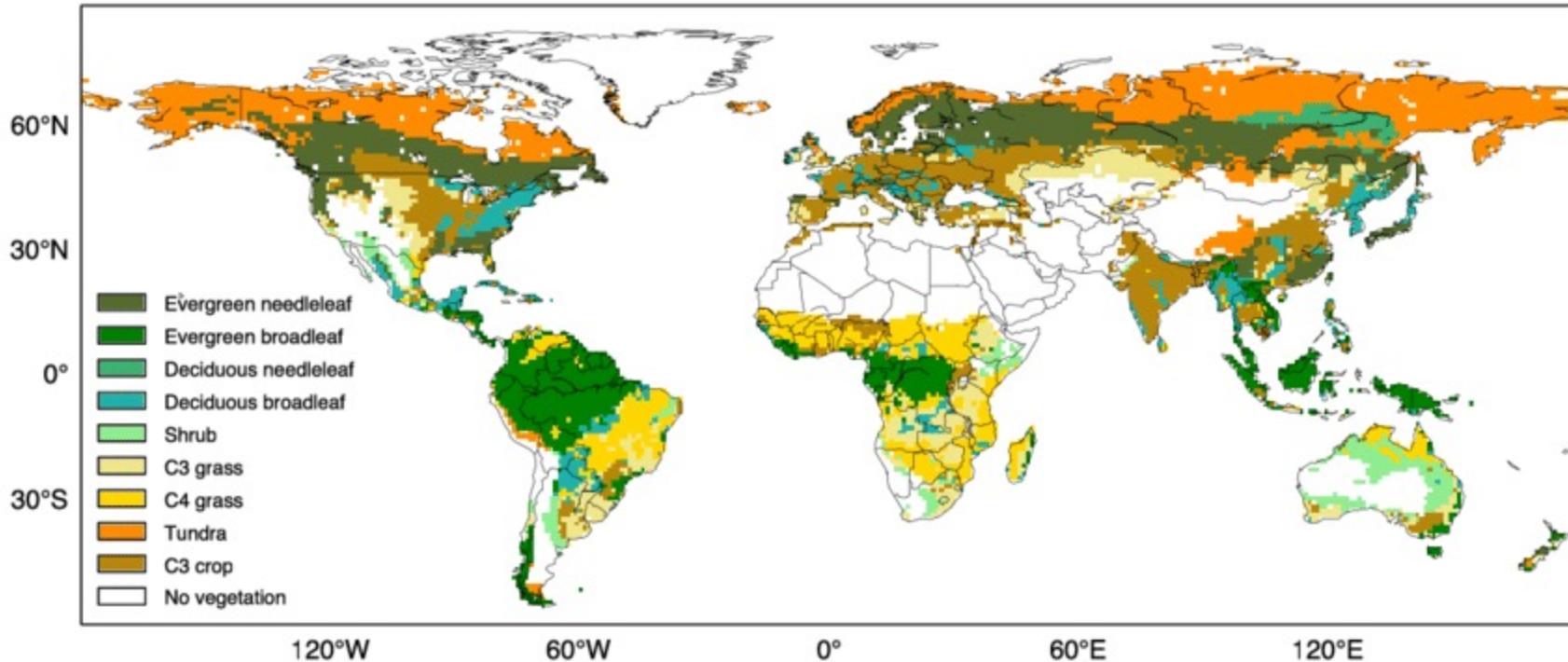
# The Evolution of Land Surface Modeling



[Fisher and Koven, 2020]

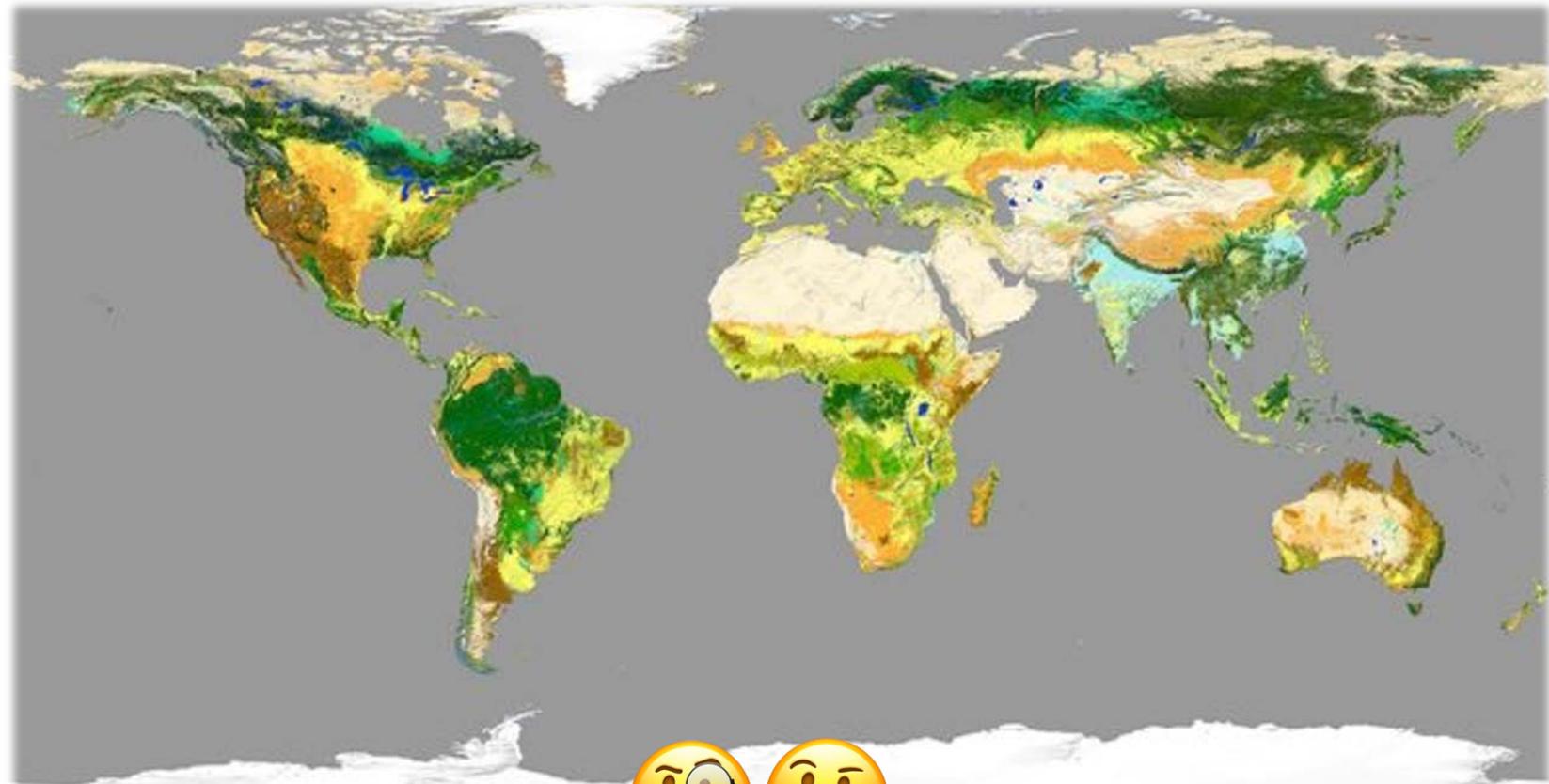


$$\frac{\partial C}{\partial t} = f(X, \theta)$$



[e.g. De Kauwe et al., 2015]

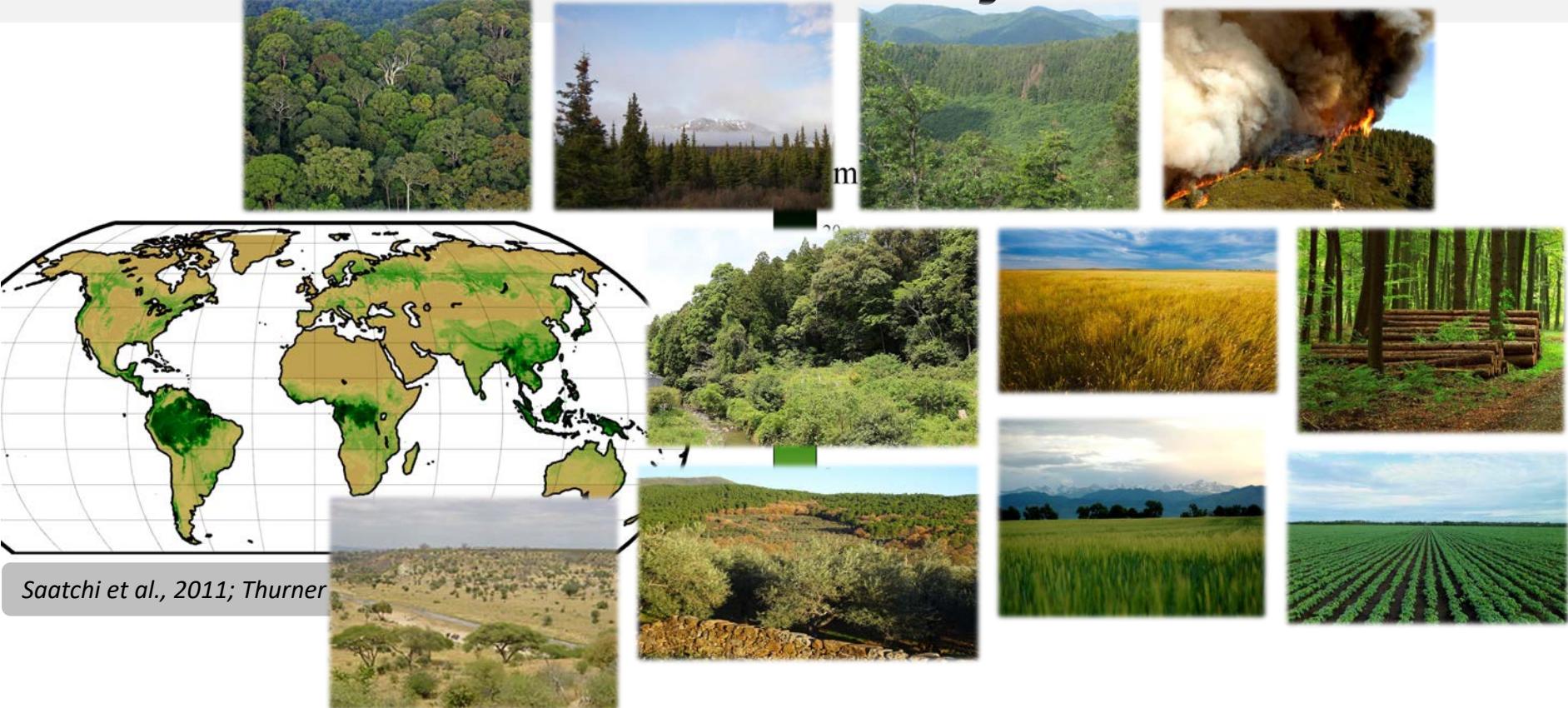
$$\frac{\partial C}{\partial t} = f(X, \theta)$$

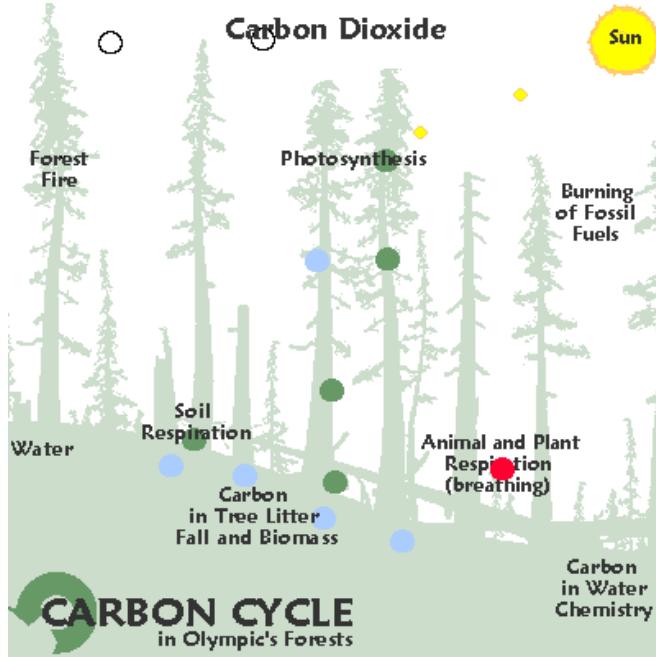


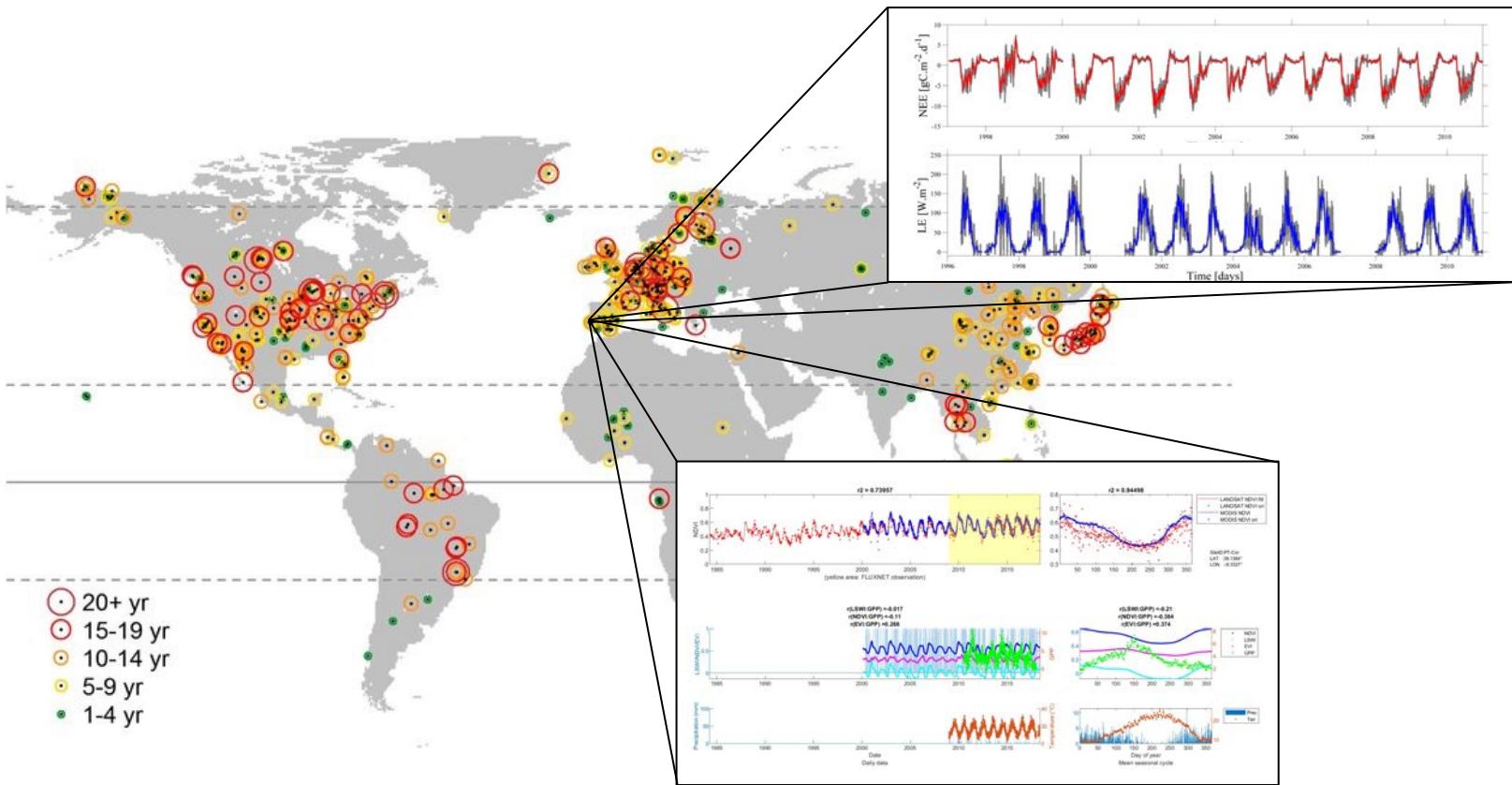
[ESA]



# Land carbon cycle







[Chu et al., 2017]



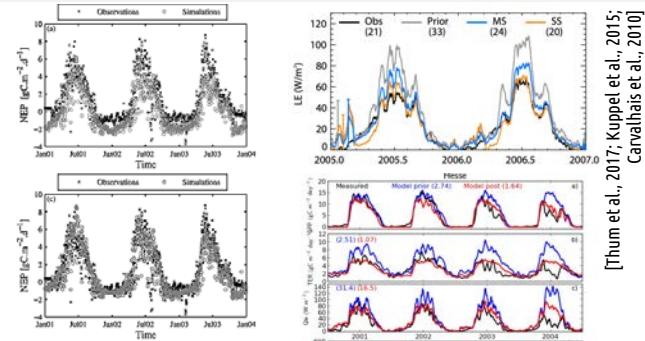
# Modelling terrestrial ecosystem fluxes

In situ

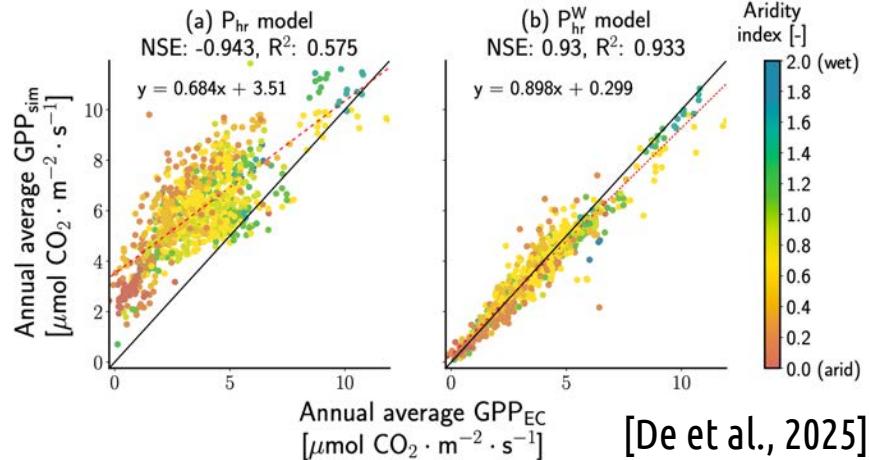
Parameter inversion

$$\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta); \theta \in \mathcal{D}$$

$$\Omega_i = \sum_{v=1}^N \sum_{t=1}^T \left[ \frac{y_{v,i,t} - M_v(X_{i,t}, \theta)}{\sigma_{v,i,t}} \right]^2$$



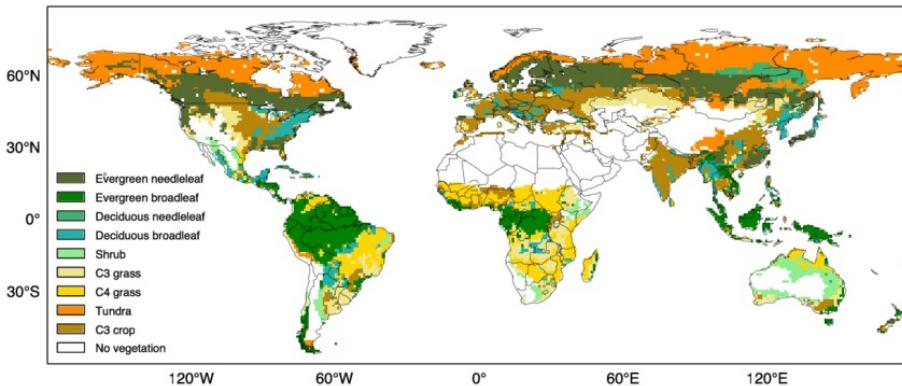
[Thum et al., 2017; Kuppel et al., 2015;  
Carvalhais et al., 2010]



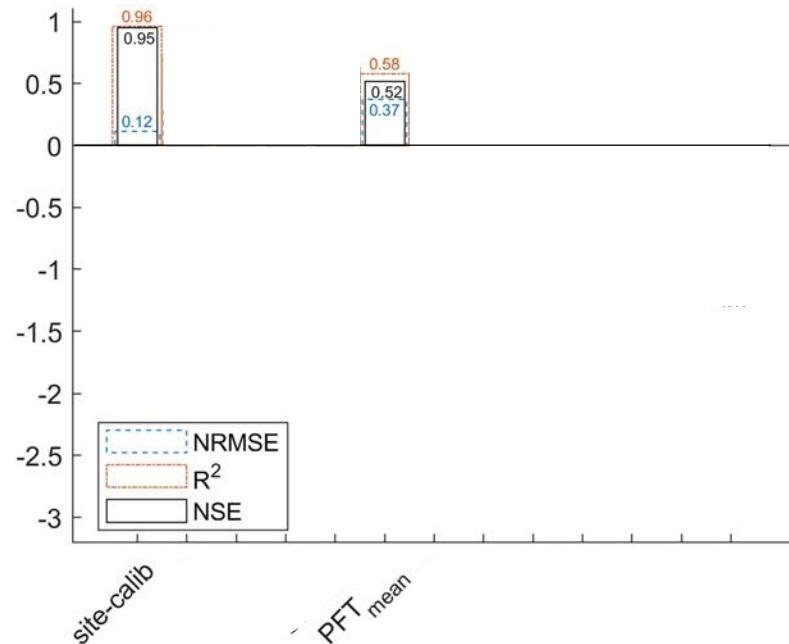
[De et al., 2025]



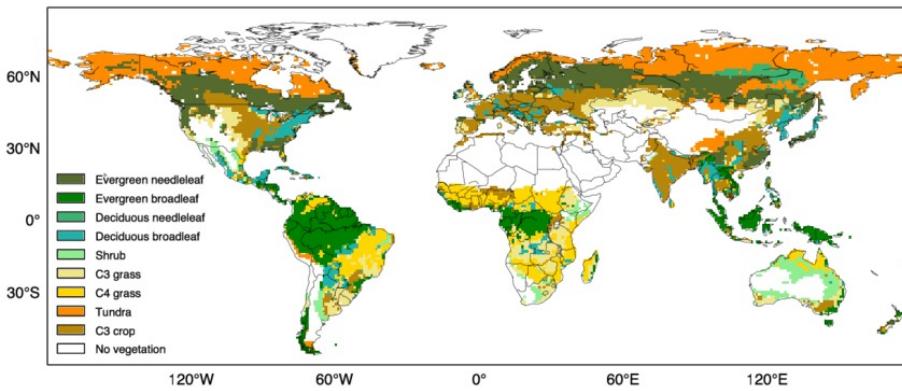
# Challenges in generalizing parameterizations



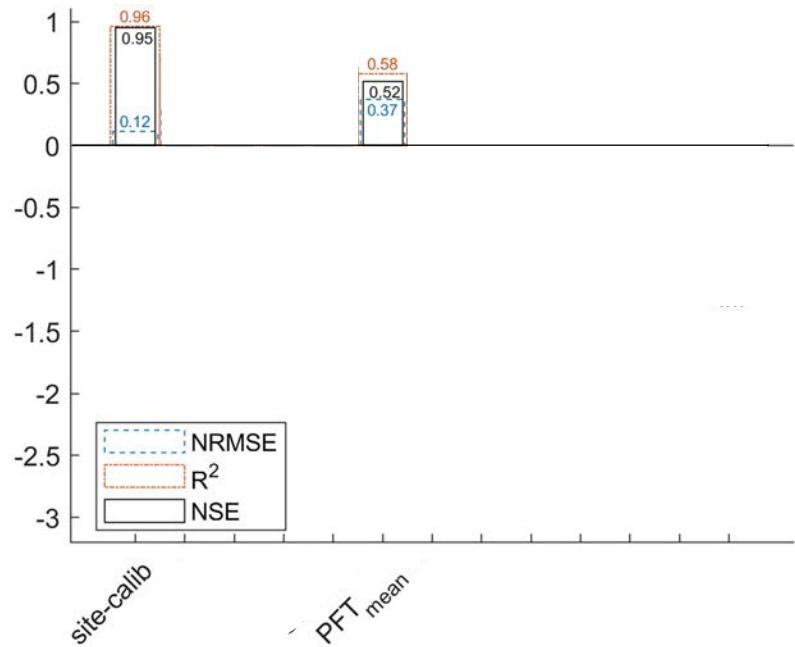
$$\hat{\theta}_{PFT} = \bar{\theta}_{i \in PFT}$$



# Testing PFT-based solutions



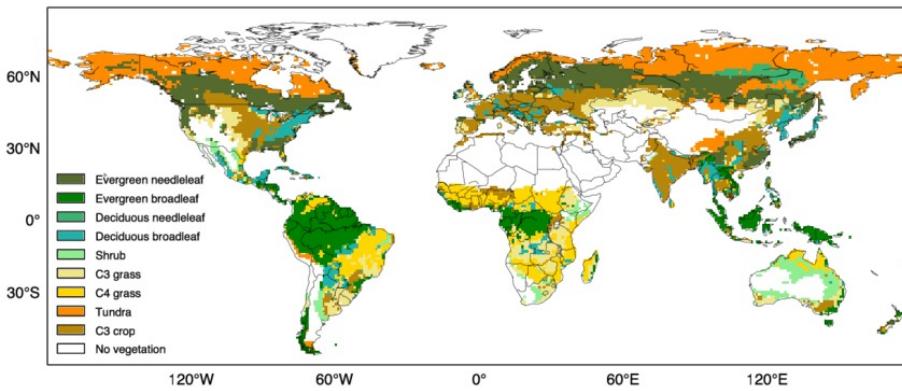
$$\hat{\theta}_{PFT} = \arg \min \sum \Omega_i(y_i, X_i, \theta); \theta \in \mathcal{D}; i \in PFT$$



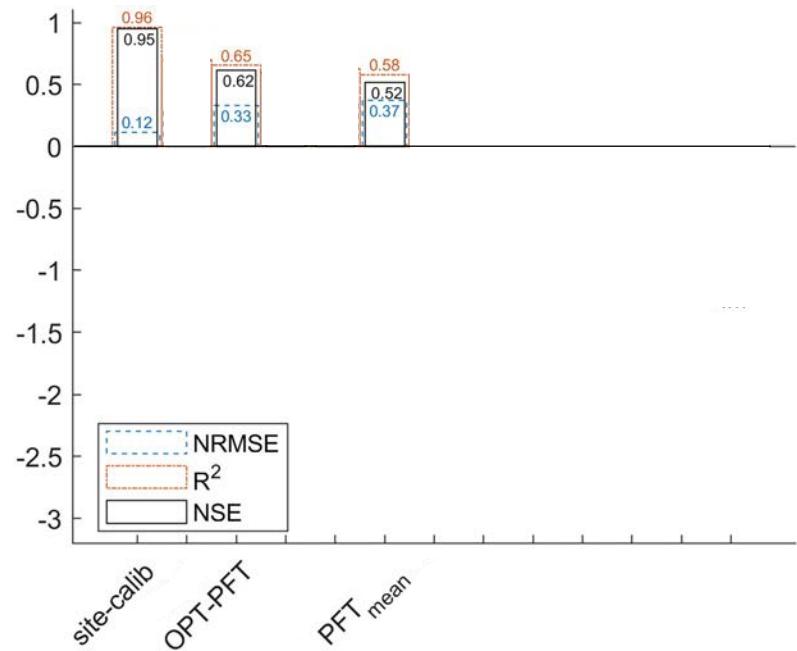
[Kuppel et al., 2012]



# Testing PFT-based solutions



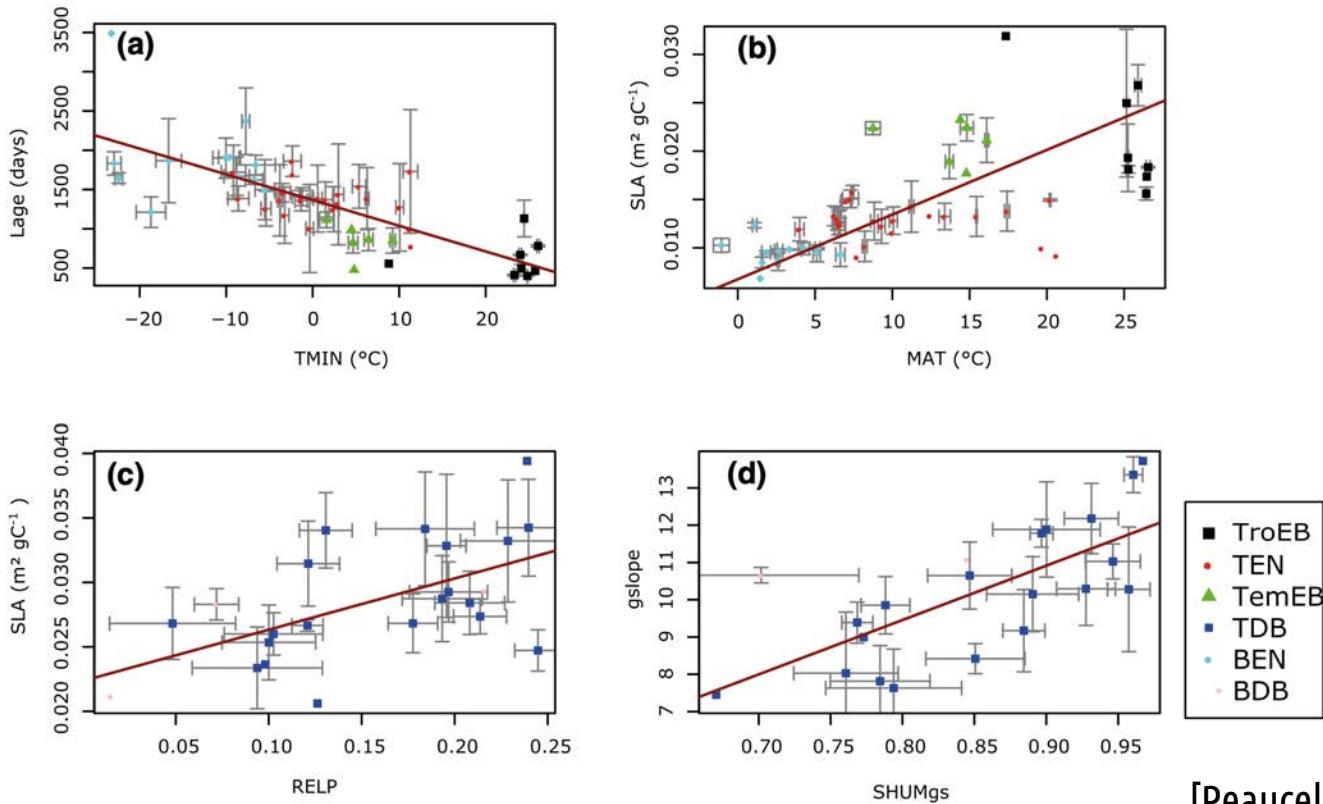
$$\hat{\theta}_{PFT} = \arg \min \sum \Omega_i(y_i, X_i, \theta); \theta \in \mathcal{D}; i \in PFT$$



[Kuppel et al., 2012]



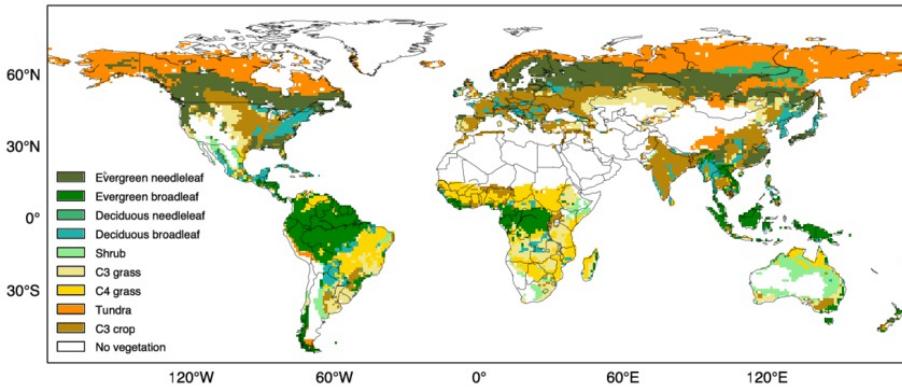
# Towards continuous parameterizations



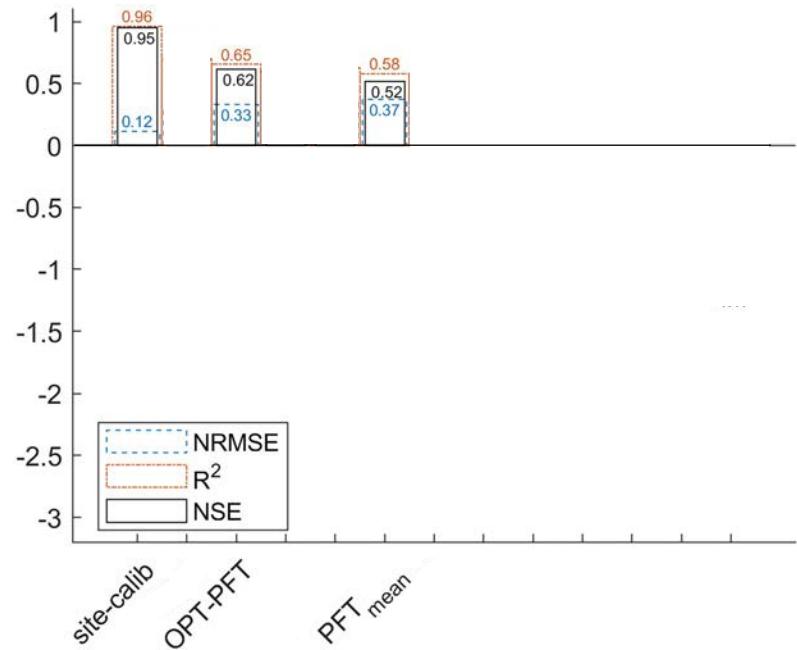
[Peaucelle et al., 2012]



# Learning inverted parameters



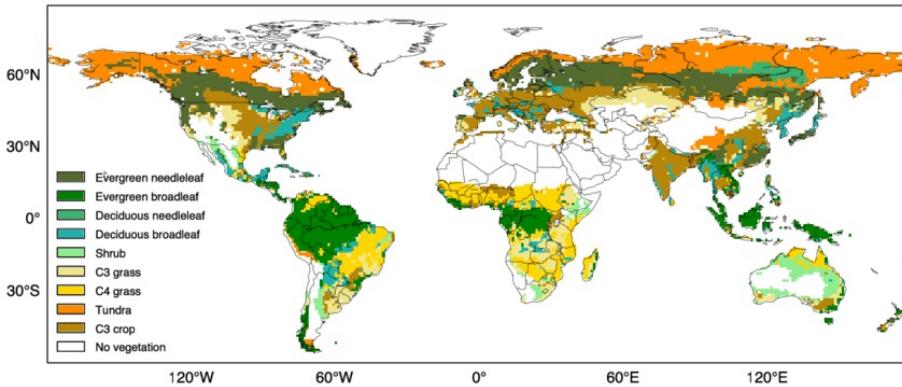
1.  $\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta) ; \theta \in \mathcal{D}$
2.  $\hat{\theta} = h(\bar{X}_i, PFT, \dots)$



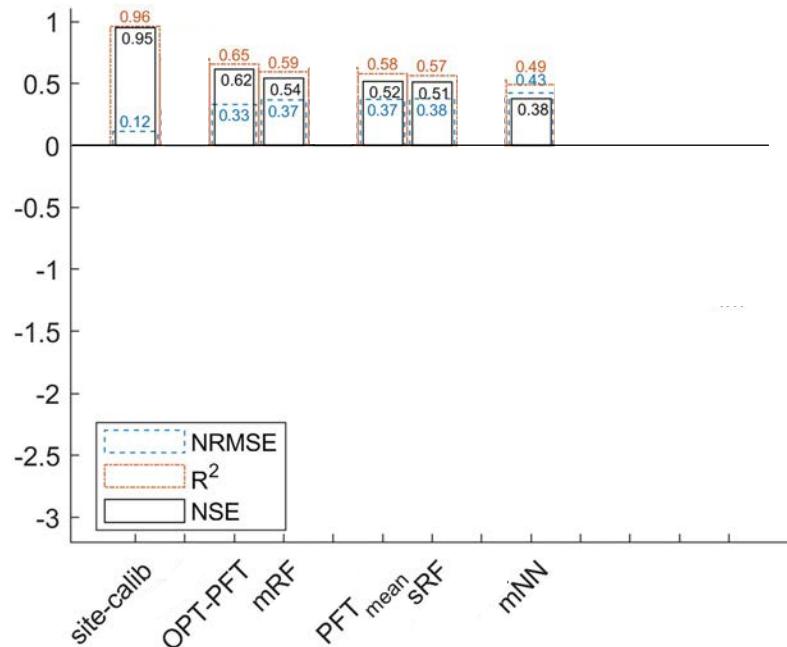
[Bao et al., 2024]



# Learning inverted parameters



1.  $\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta) ; \theta \in \mathcal{D}$
2.  $\hat{\theta} = h(\bar{X}_i, PFT, \dots)$



[Bao et al., 2024]



# Learning parameter models end-to-end

- Learn  $g$

$$\hat{\theta} = g(\bar{X}_i, PFT, \dots)$$

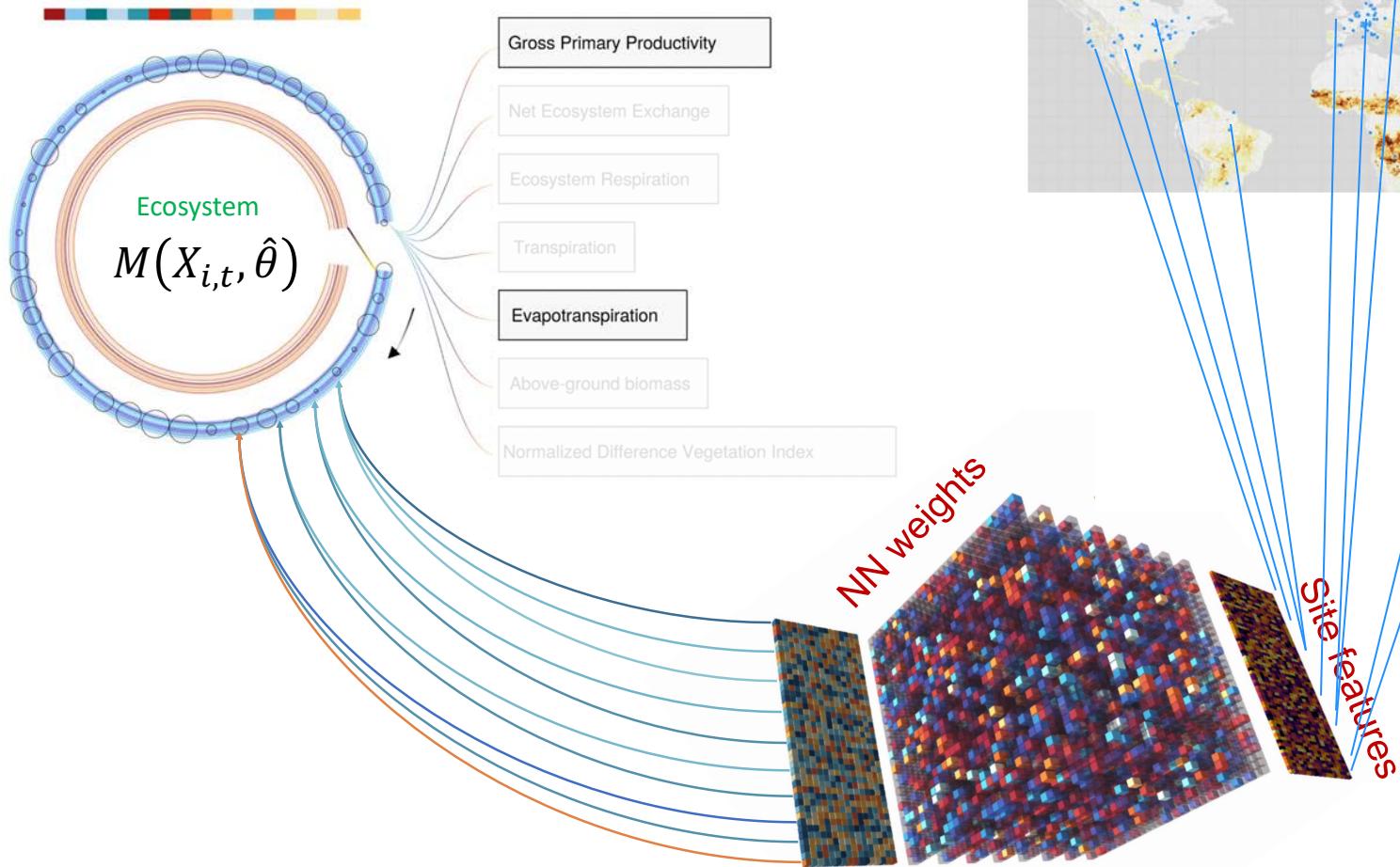
- Such that

$$\hat{y}_{i,t} = M(X_{i,t}, \hat{\theta})$$

- Minimizing

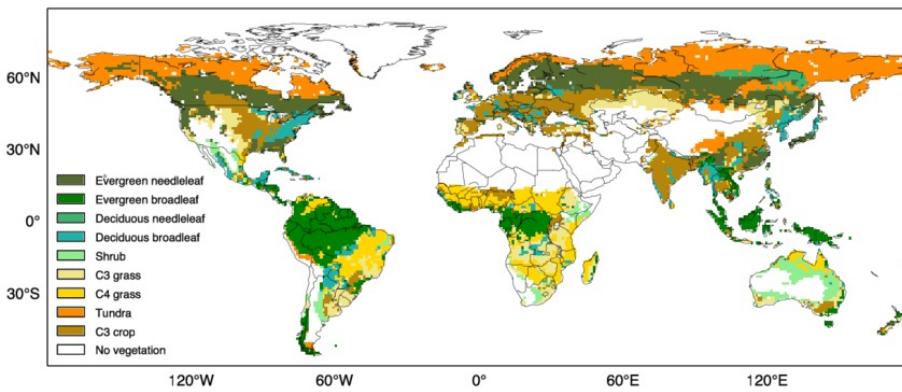
$$\Omega_i = \sum_{v=1}^N \sum_{t=1}^T \left[ \frac{y_{v,i,t} - M_v(X_{i,t}, \theta)}{\sigma_{v,i,t}} \right]^2$$



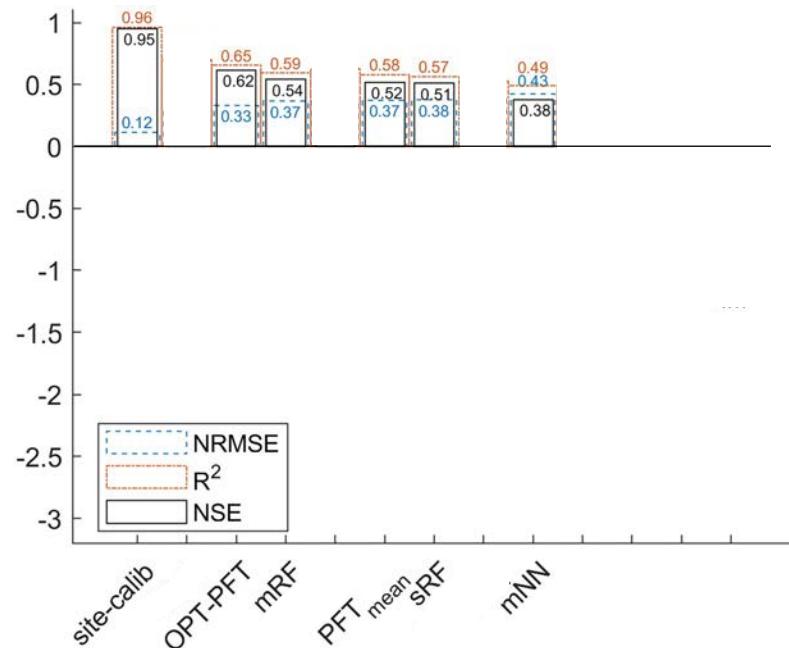


[Bao et al., JAMES, 2024; Alonso et al., in prep.]

# Learning inverted parameters



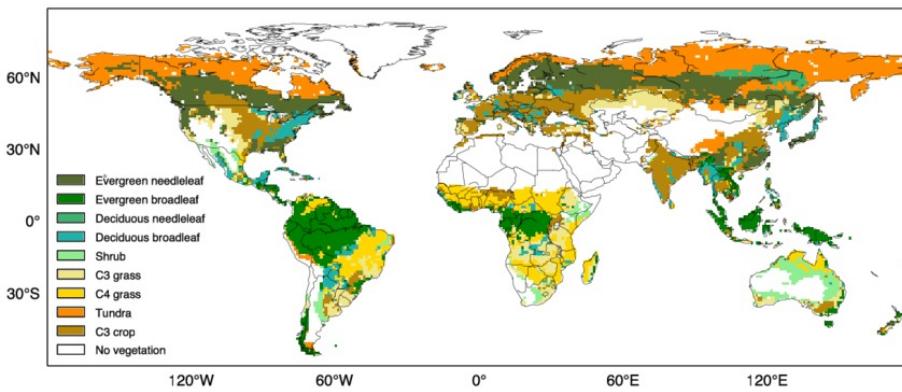
1.  $\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta) ; \theta \in \mathcal{D}$
2.  $\hat{\theta} = h(\bar{X}_i, PFT, \dots)$



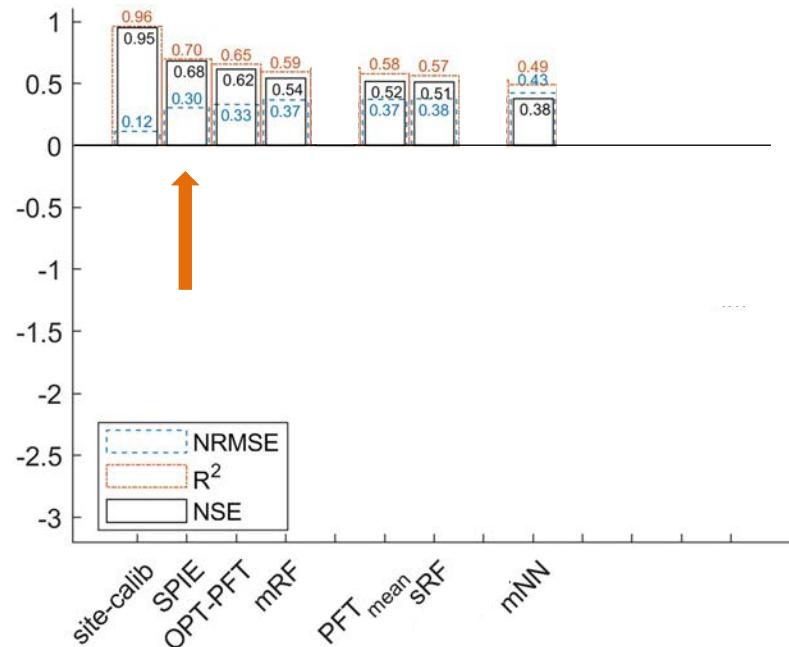
[Bao et al., 2024]



# Learning inverted parameters



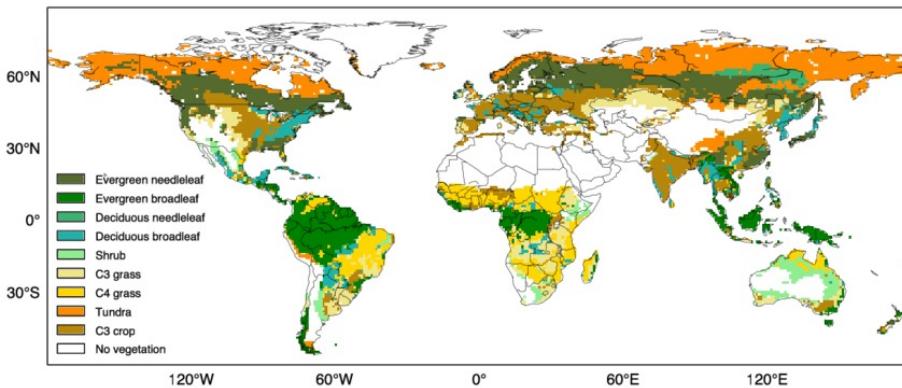
1.  $\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta); \theta \in \mathcal{D}$
2.  $\hat{\theta} = h(\bar{X}_i, PFT, \dots)$



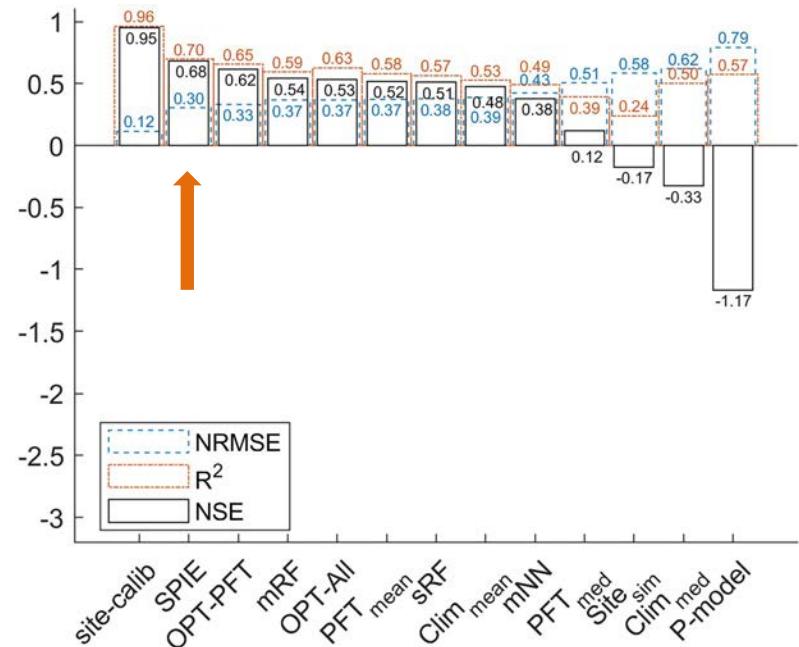
[Bao et al., 2024]



# Comparing experiments



1.  $\hat{\theta}_i = \arg \min \Omega_i(y_i, X_i, \theta) ; \theta \in \mathcal{D}$
2.  $\hat{\theta} = h(\bar{X}_i, PFT, \dots)$

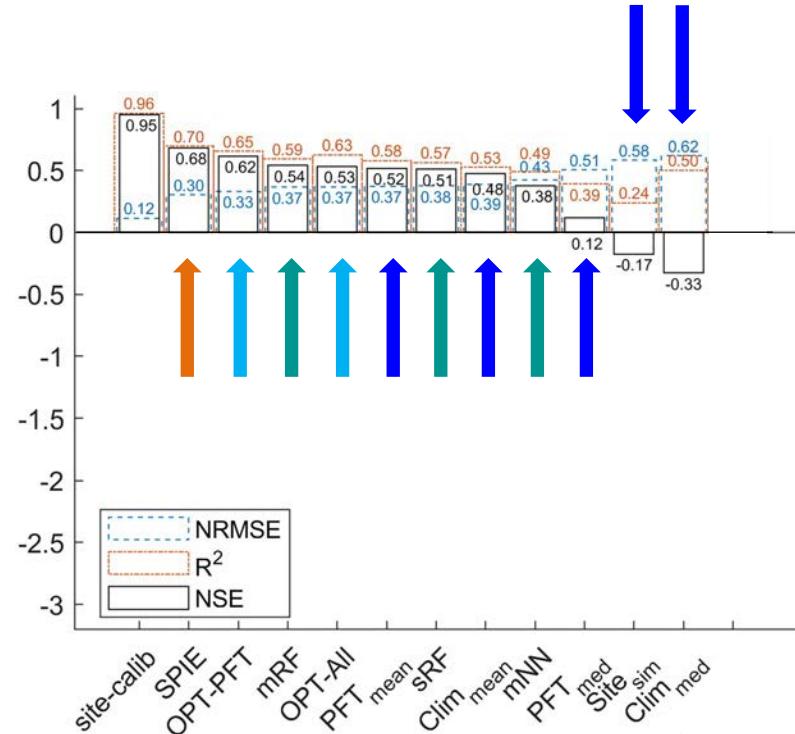


[Bao et al., 2024]



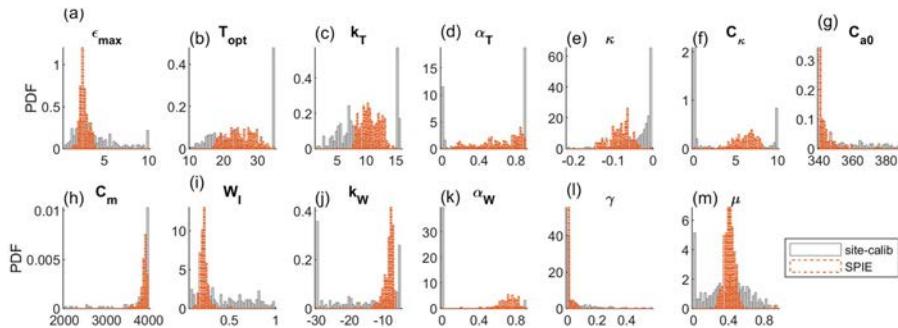
# Comparing experiments

- Baseline (site inversion)
- Clustering approaches (PFT, climate, ...)
- PFT/global-based optim.
- Learning site optimized parameters
- End-to-end parameter learning

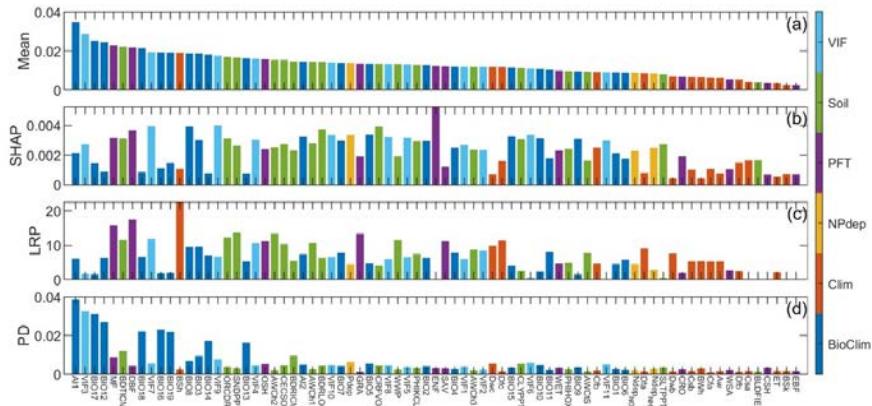


[Bao et al., 2024]

# Constraints and relevant features



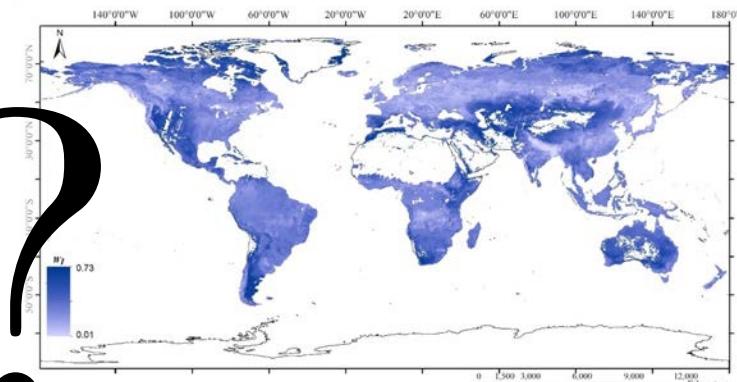
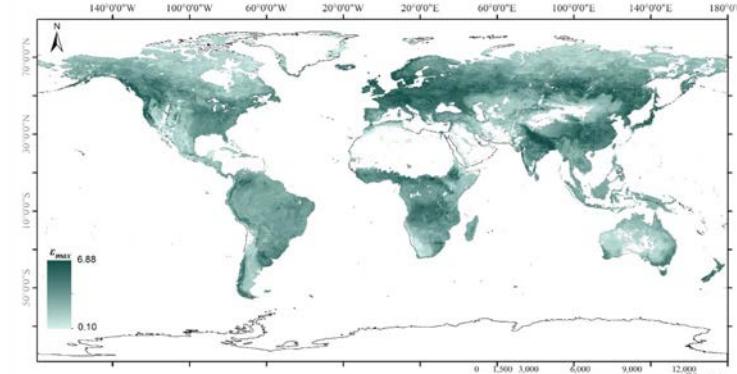
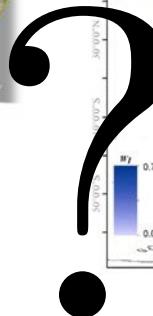
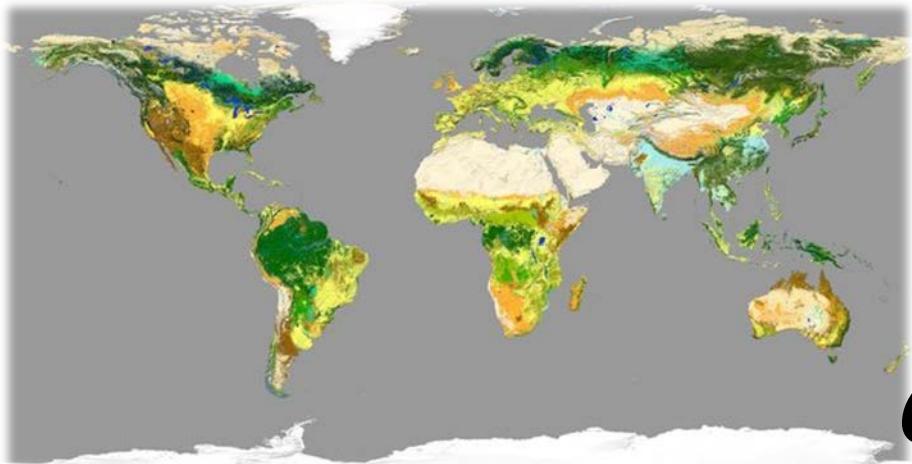
Tighter parameter variability across sites.



Large number of relevant features  
PFTs needed but not dominant

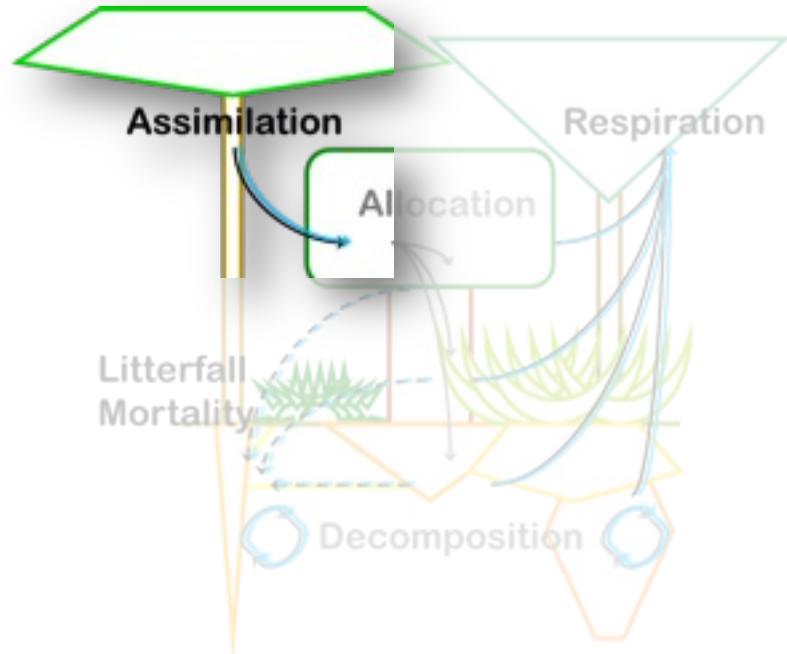


# From classes to continuous (natural?) patterns



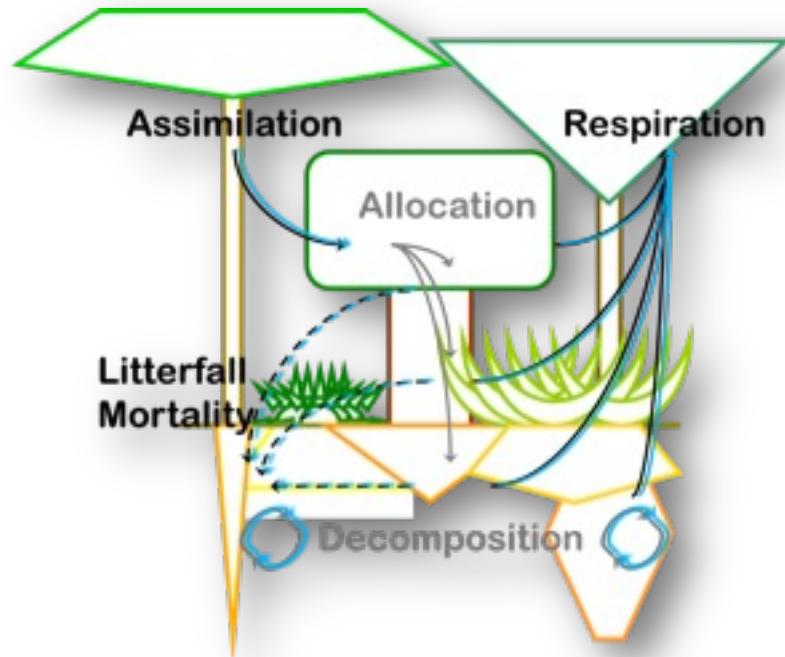
[Bao et al., JAMES, 2024]

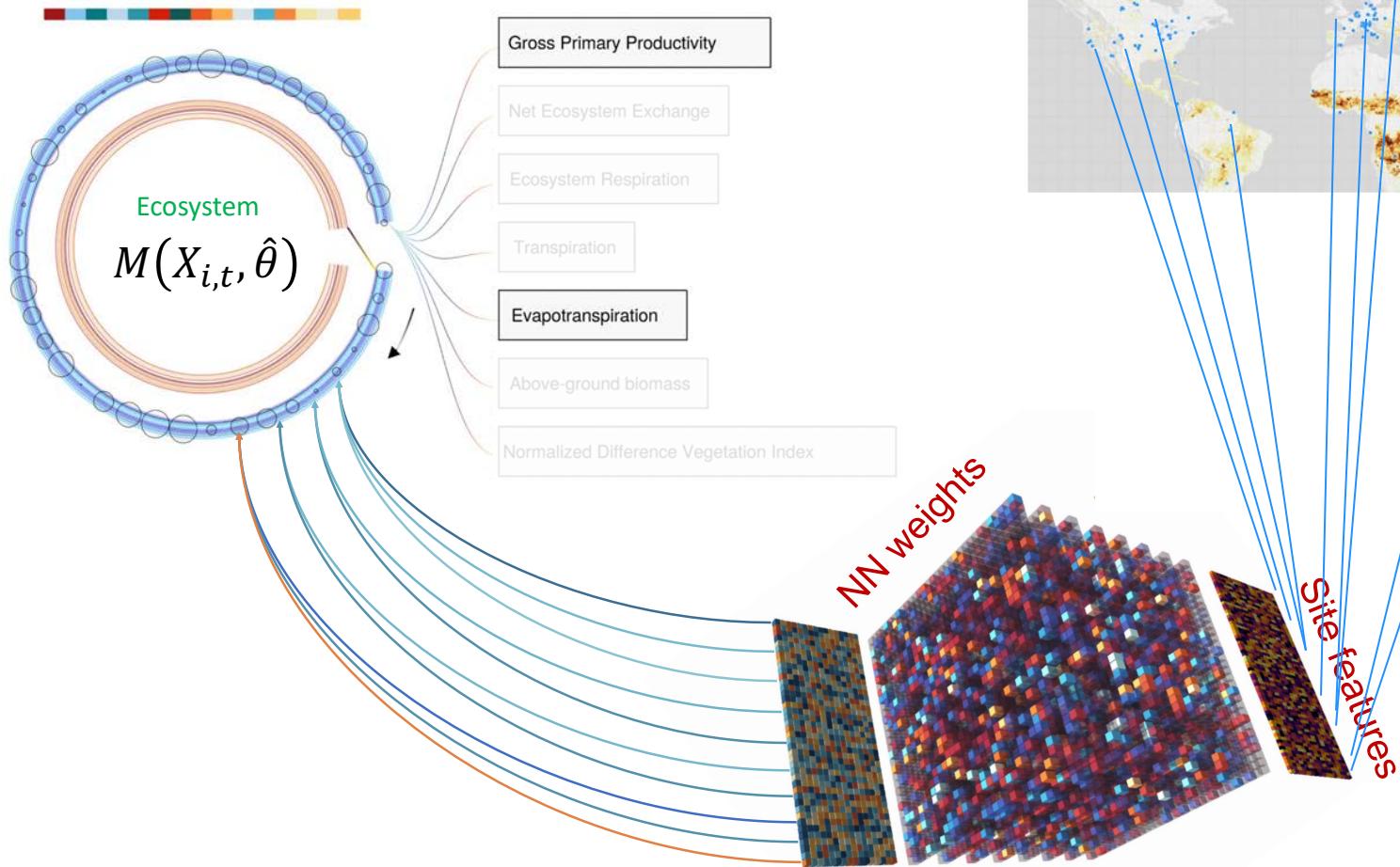
# PARAMETERIZATIONS



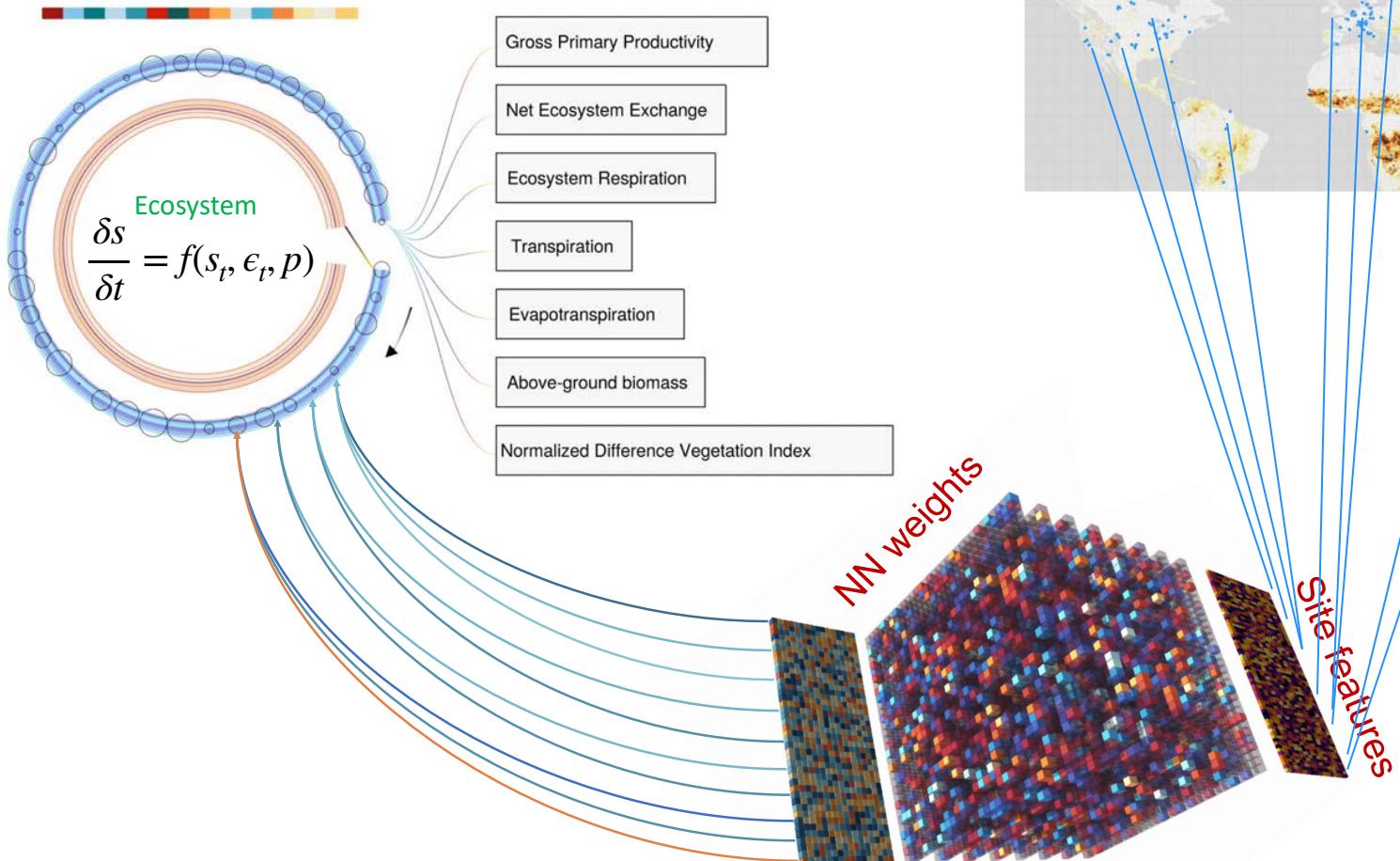
[Alonso et al., in prep.]

# PARAMETERIZATIONS

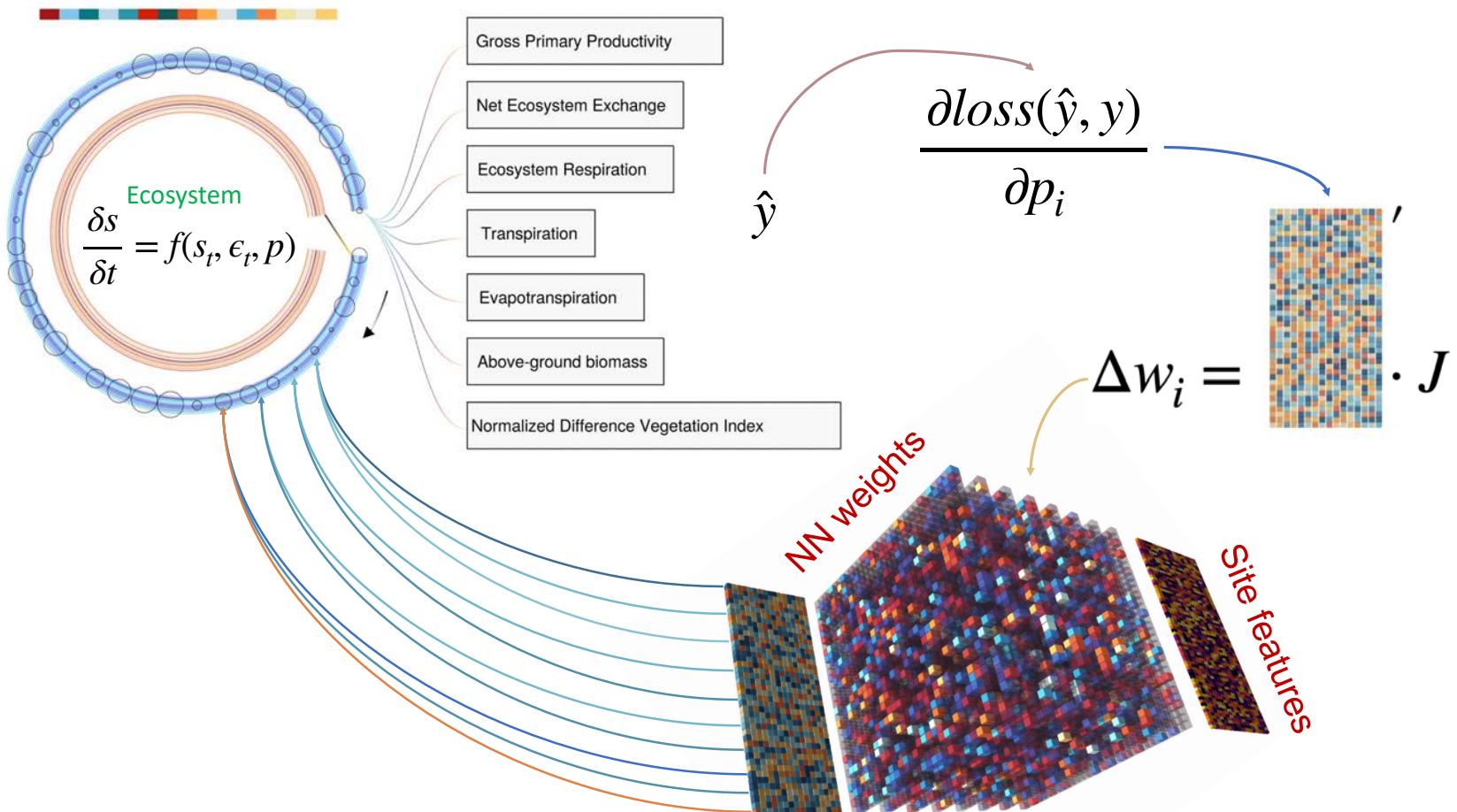




[Bao et al., JAMES, 2024; Alonso et al., in prep.]

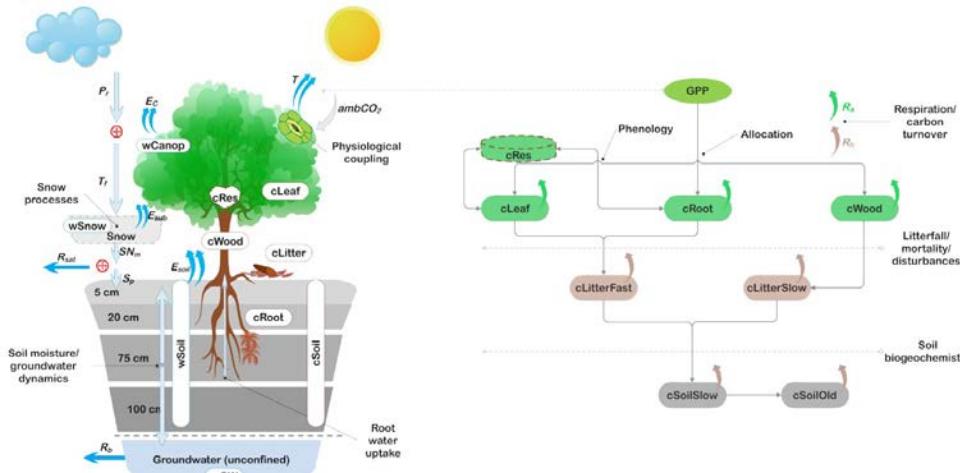


[Alonso et al., in prep.]



[Alonso et al., in prep.]

# Terrestrial ecosystem model



[Koirala et al., in prep.]

**Prognostic C/H<sub>2</sub>O cycle model:** light use efficiency model, incl. physiological coupling between C/H<sub>2</sub>O fluxes; root water uptake conditioned on below ground plant carbon stocks; C allocation based on the co-limiting resources availability; decomposition dependent on temperature and moisture dynamics.

**Constraints:** GPP, NEE, Reco, evapotranspiration, transpiration, above ground biomass, phenology (NDVI)



# Experiment

## In situ

### Parameter inversion

$$\hat{y} = M(\theta, u)$$

$$\hat{\theta} = \arg \min_{\theta} \chi^2$$

$\chi^2$ : multiple constrain cost/loss

Optimizer: CMAES

## Hybrid modelling approach

### Learning a model

$$\hat{y} = M(\hat{\theta}, u)$$

$$\hat{\theta} = NN(\vec{X}, w, b)$$

$\vec{X}$  : features including

a) bio/climate, soils, PFT

b) PFT

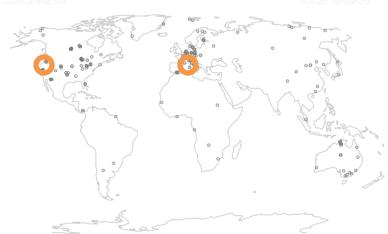
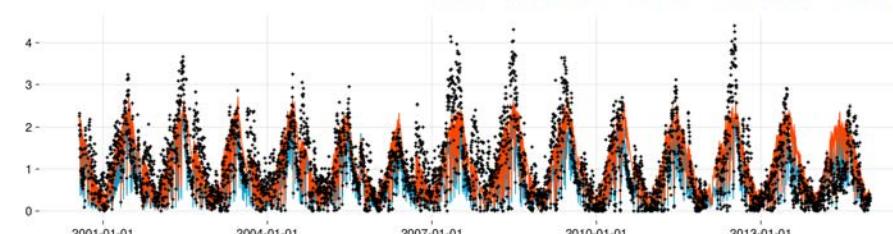
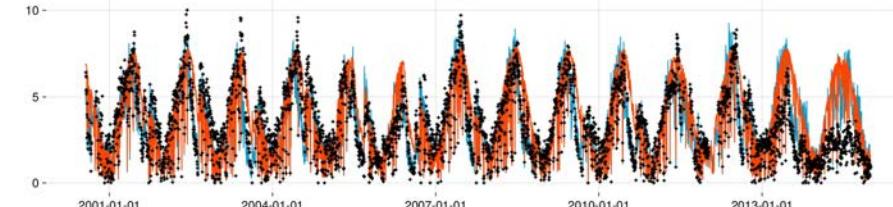
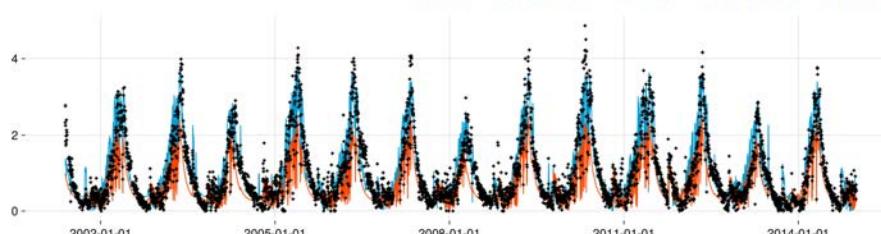
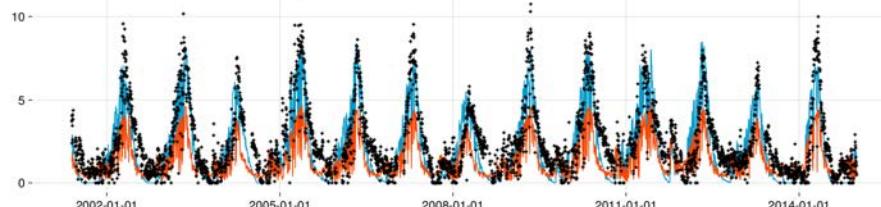
$\chi^2$ : multiple constrain cost/loss



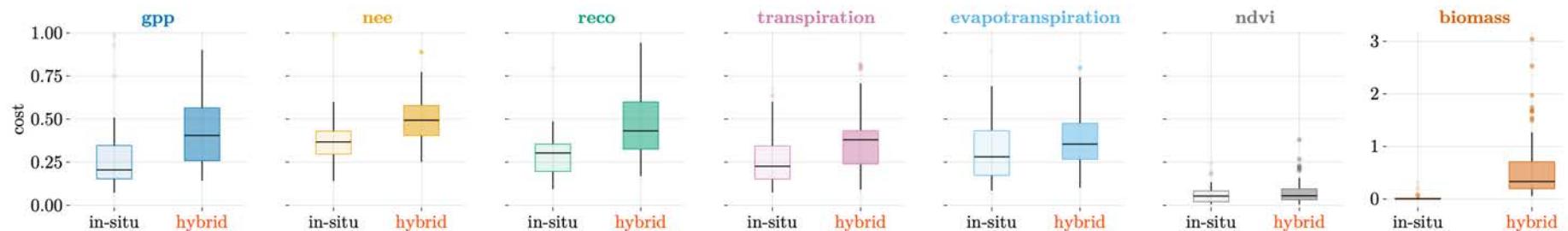
# Results: in-situ & hybrid



# Results: in-situ & hybrid

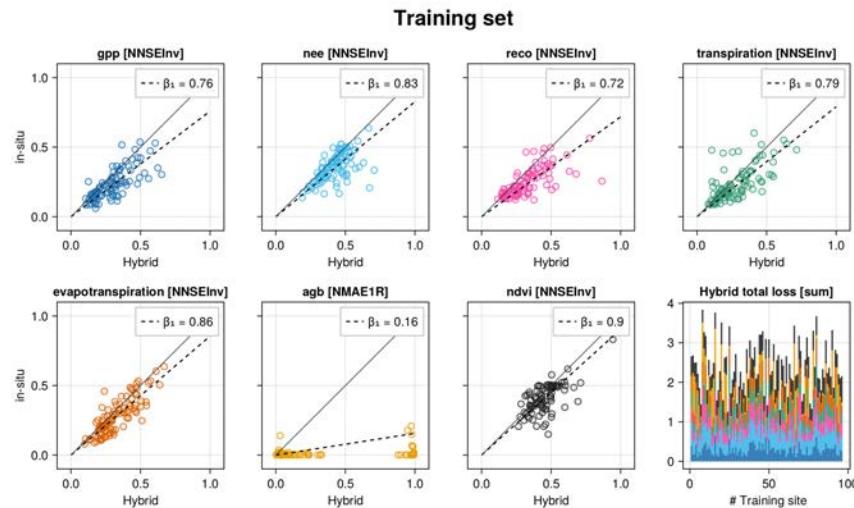


# Results: in-situ & hybrid

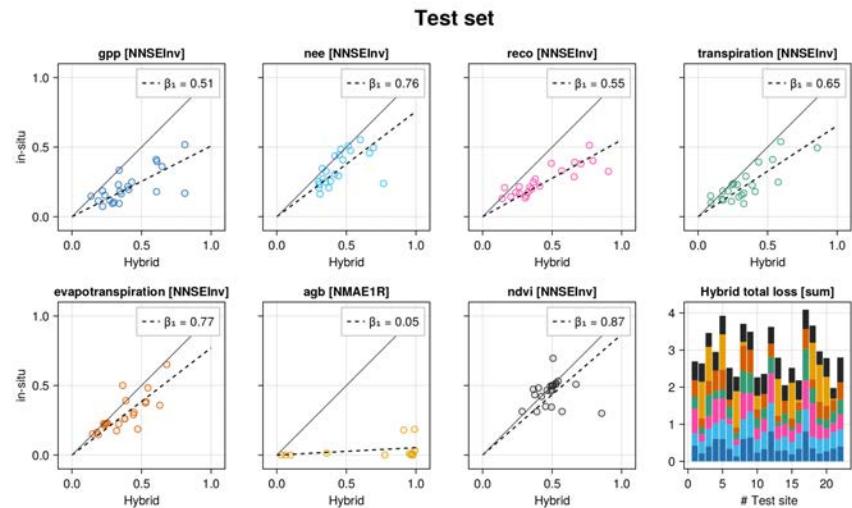


# Results: in-situ & hybrid

in-situ model performance



hybrid model performance

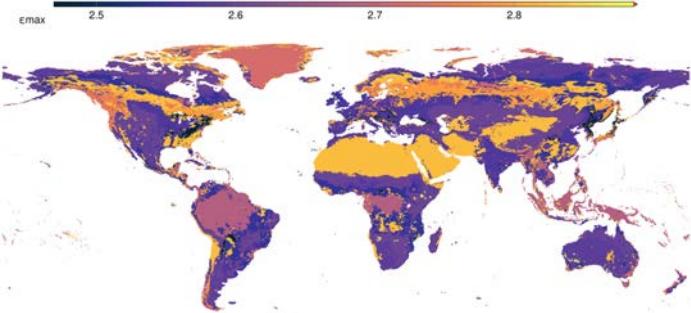


in-situ model performance

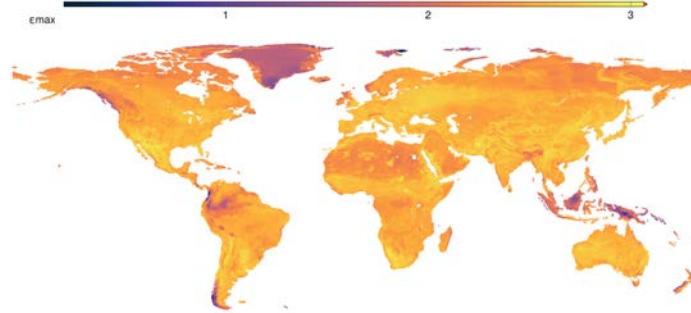
hybrid model performance



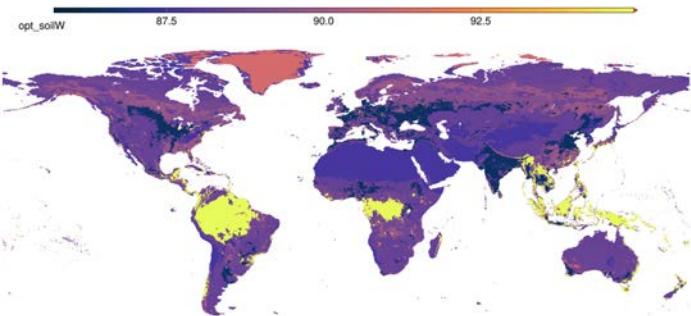
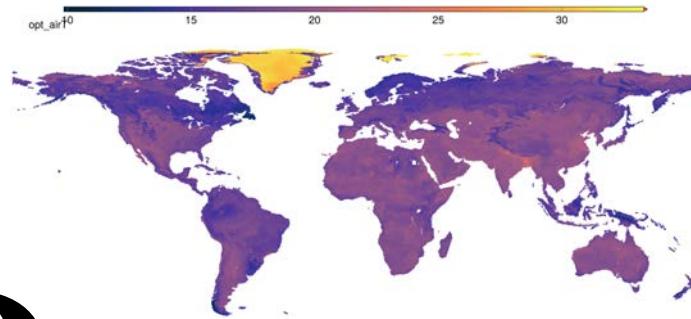
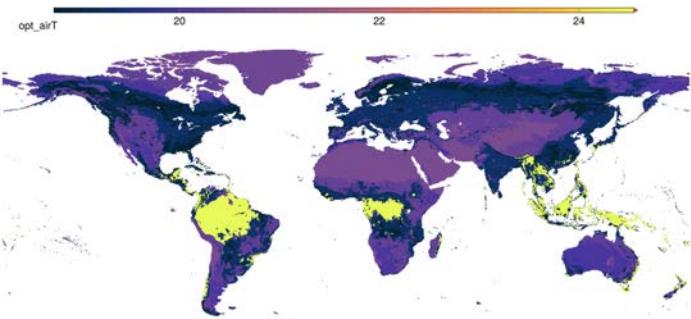
# Upscaled parameters



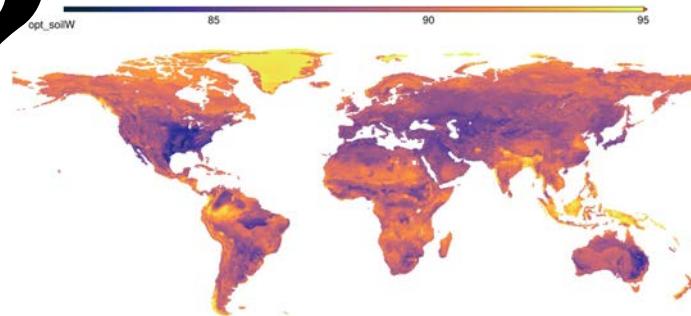
PFT-based



Continuous

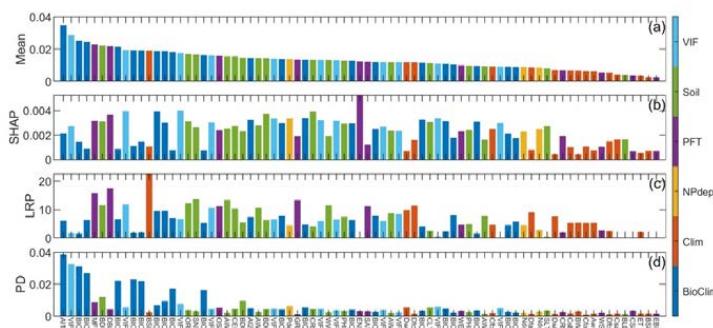


?

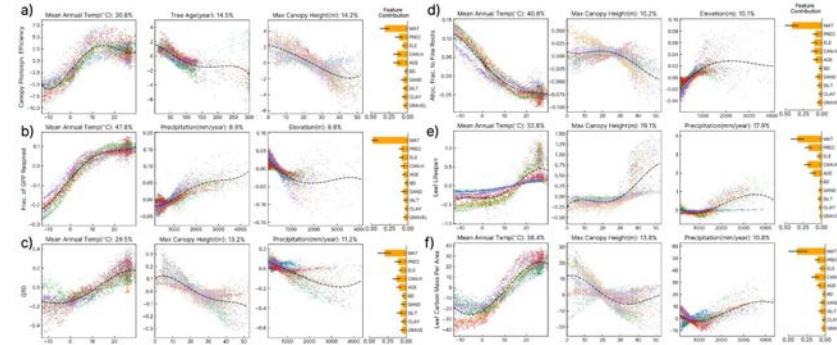


# Early findings

- PFT still a determining factor of parameter variability
  - Plant form/structure
- Global parameter variations do not follow PTF patterns
- Individual feature relevance contingent on approach
  - Sensitivity dependent on ensemble member



[Bao et al., 2024]



[Fang and Gentine, 2025]

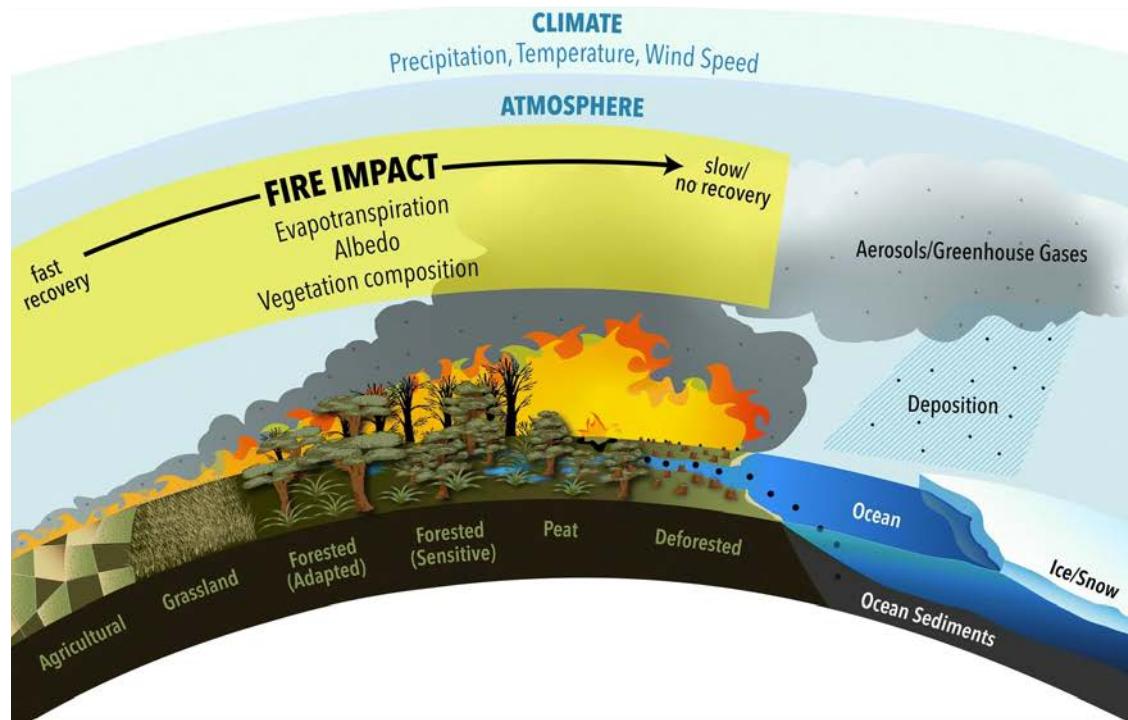


[Son et al., JAMES, 2024]

# LEARNING MODEL STRUCTURES



# Fire impacts on Earth system & modelling challenge



[Lasslop et al., 2019]

# ML/DL applications in fire science

## Burned area prediction

- Global [Zhang et al., 2022]
- Canada [Cheng et al., 2008]
- Australia [Bergado et al., 2021]
- Portugal [Li et al., 2021]

## Fire occurrence prediction

- Fire incidence [Dutta et al., 2013]
- Lightning ignition [Coughlan et al., 2021]
- Fire susceptibility [Zhang et al., 2021]

## Fire spread prediction

- Front spread [Hodges et al., 2019]
- Spread dynamics [Subramanian et al., 2018]

## Fire weather prediction

- Extreme condition [Langerquist et al., 2017]
- FWI [Son et al., 2022]

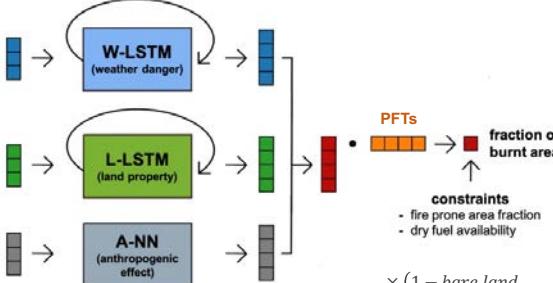
## Fuel availability prediction

- Fuel load [D'ESTE et al., 2021]

[Jain et al., 2020]



# Process Abstraction



$$\times (1 - \text{bare land}_{\text{frac}} - \text{snow}_{\text{frac}})$$

$$\times \text{fuel}_{\text{norm}} \times \frac{1}{(1 + e^{-20*(RH-0.5)})} \text{ if } RH < 0.6 \text{ else } 0$$

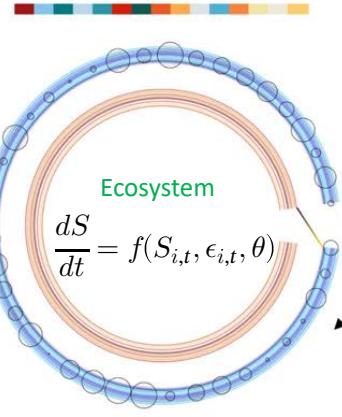
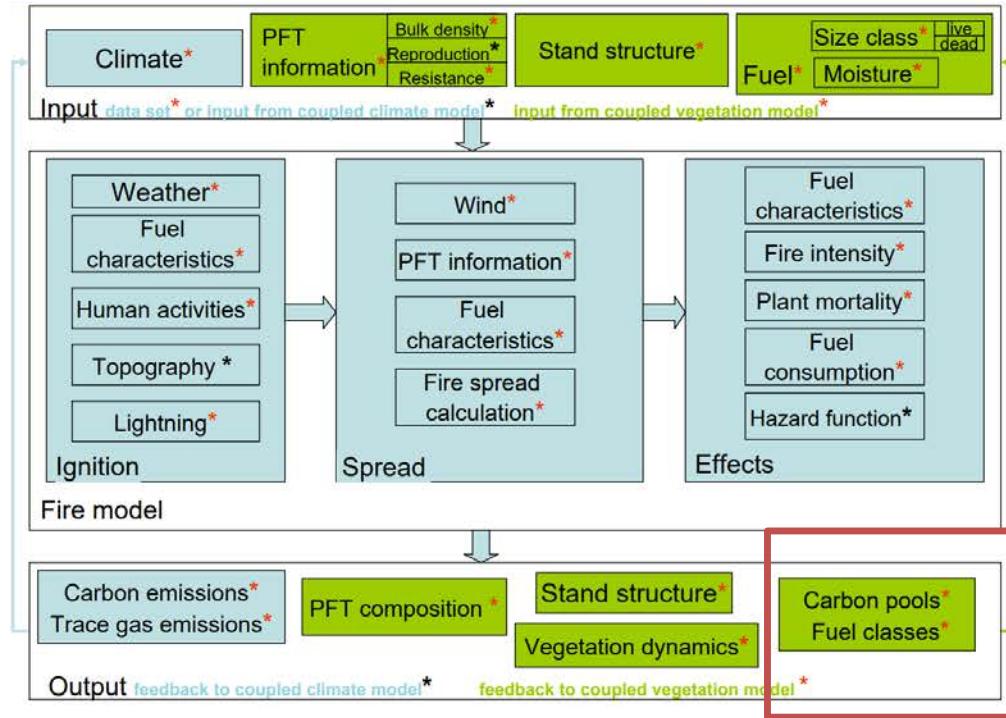


Table 2. Model input dataset		
Weather driven fire danger (W-LSTM)	temperature temperature anomaly specific relative humidity specific relative humidity anomaly	ERAS (Hensbach et al., 2020)
	wind speed	
	precipitation	
	lightning climatology	LIS-OTD (Cecil et al., 2014)
	volume of water in soil 4 layers l1: 0-7cm, l2: 7-28cm, l3: 28-100cm, l4: 100-290cm	ERAS
	volume of water anomaly (4 levels)	
Land properties (L-LSTM)	LAI LAI anomaly	MODIS (MCD15A3H)
	Topography : elevation, slope, roughness	(Amatulli et al., 2018)
	fuel (above ground plant litter)	
	fraction of 9 plant functional types (PFTs) - snow - tree broadleaf evergreen / deciduous - tree needleleaf evergreen / deciduous - shrub evergreen / broadleaf deciduous - grass - bare land	JSBACH4

population density	HYDE3.2 (Klein Goldewijk et al., 2017)
gross domestic product (GDP)	Kemuru et al., (2018)
human development index (HDI)	
total road density	GRIP4 (Meijer et al., 2018)
land use (14) states	L3H2 (Hurni et al., 2020)

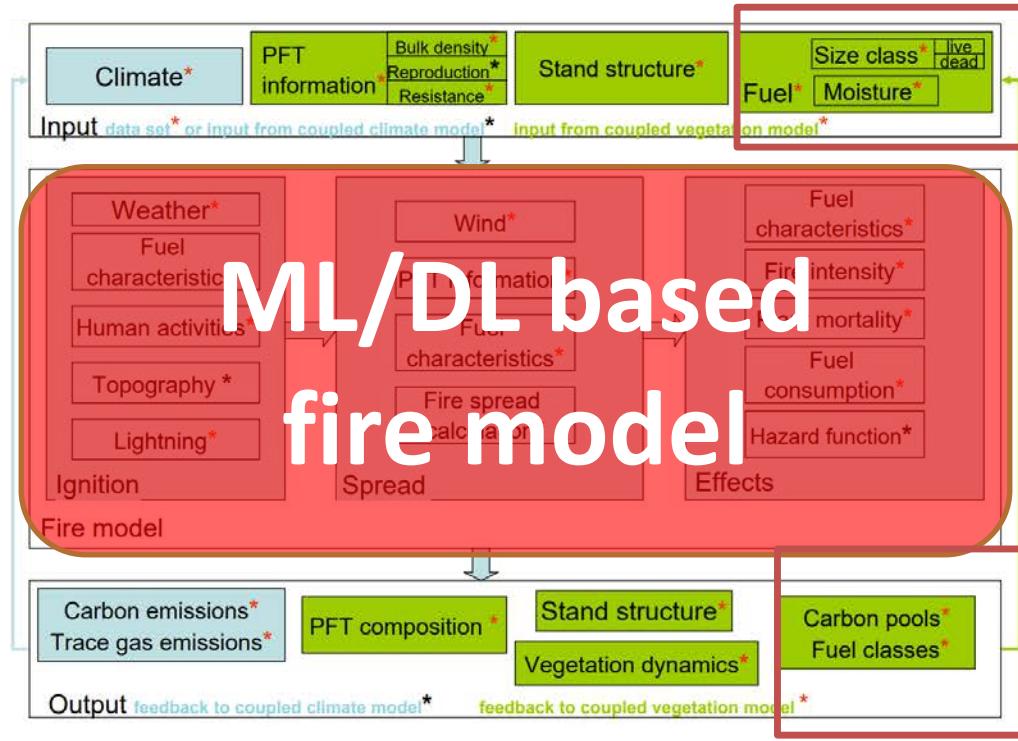
target : GFED4 (fraction of BA)  
resolution : daily, 0.25x0.25  
data split : 2004-10 (train), 2011-15 (test)

GFED4 14 regions



[Thonicke et al., 2010]



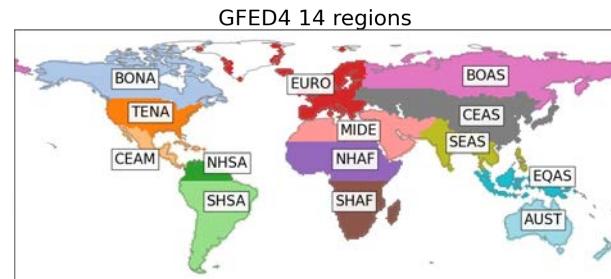


# Data

**Table 2.** Model input dataset

Weather driven fire danger (W-LSTM)	temperature	ERA5 (Hersbach et al., 2020)
	temperature anomaly	
	specific/relative humidity	
	specific/relative humidity anomaly	
	wind speed	
	precipitation	
Land properties (L-LSTM)	lightning climatology	LIS/OTD (Cecil et al., 2014)
	volume of water in soil 4 layers lv1: 0-7cm, lv2: 7-28cm, lv3: 28-100cm, lv4: 100-289cm	ERA5
	volume of water anomaly (4 levels)	
	LAI	MODIS
	LAI anomaly	(MCD15A3H)
	Topography : elevation, slope, roughness	(Amatulli et al., 2018)
	fuel (above ground plant litter)	
	fraction of 9 plant functional types (PFTs)	
	- snow	
	- tree broadleaf evergreen / deciduous	JSBACH4
	- tree needleleaf evergreen / deciduous	
	- shrub evergreen / broadleaf deciduous	
Anthropogenic effect (A-NN)	- grass	
	- bare land	
	population density	HYDE3.2 (Klein Goldewijk et al., 2017)
	gross domestic product (GDP)	
	human development index (HDI)	(Kummu et al., 2018)
	total road density	GRIP4 (Meijer et al., 2018)
	land use (14) states	LUH2 (Hurtt et al., 2020)

target : GFED4 (fraction of BA)  
 resolution : daily, 0.25x0.25  
 data split : 2004-10 (train), 2011-15 (test)



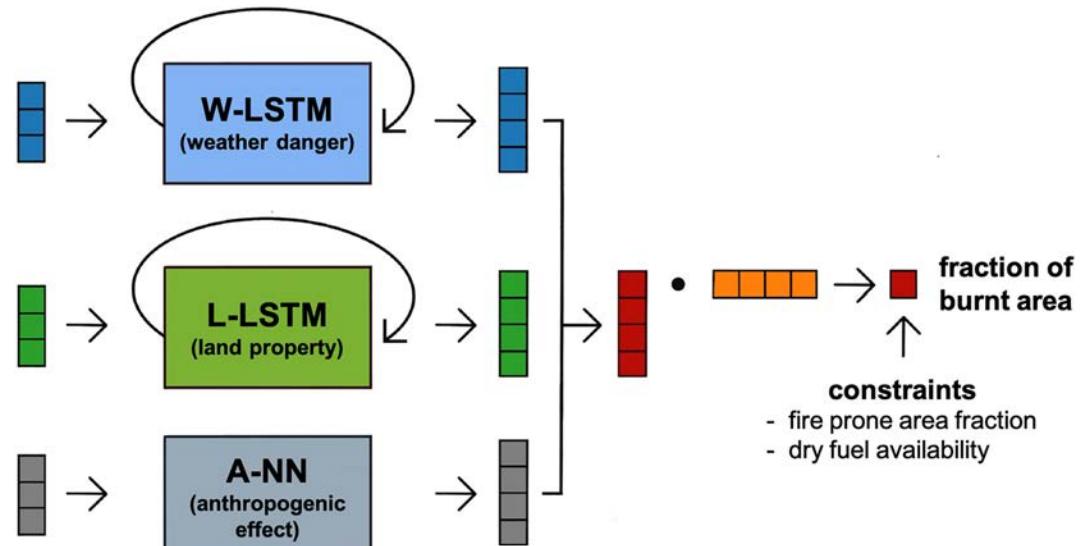
# Modelling

## Land surface scheme of ICON

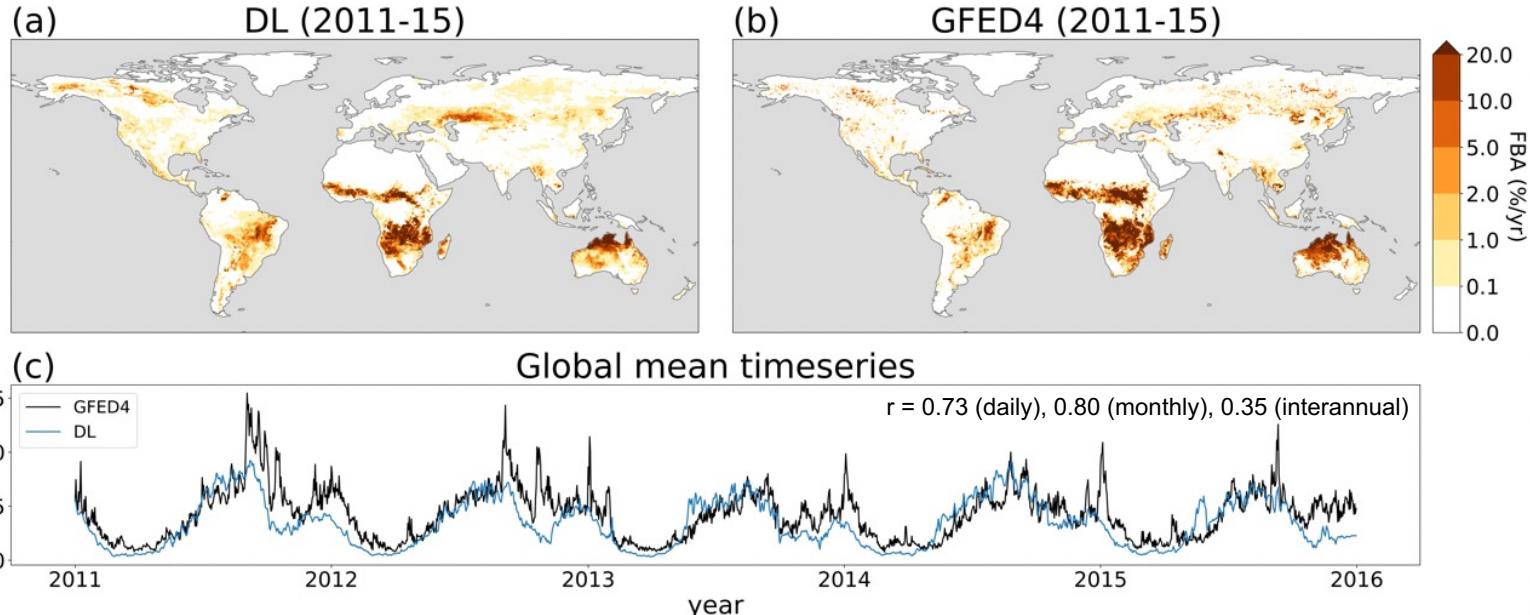
- JSBACH4

## DL-fire model

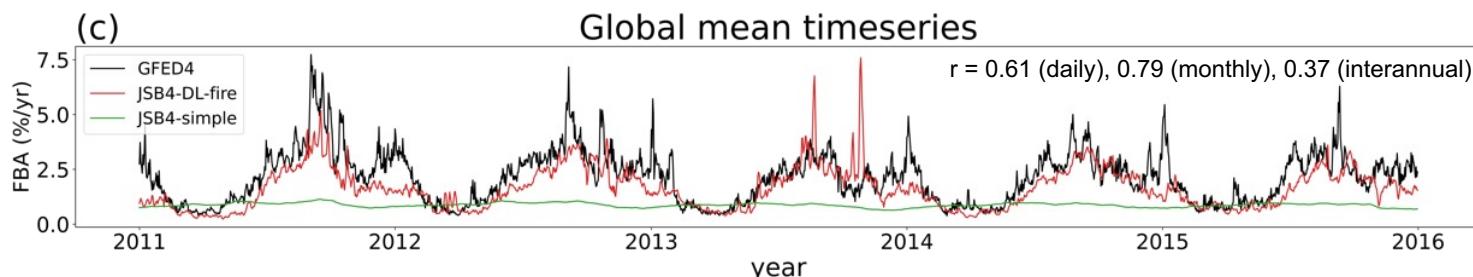
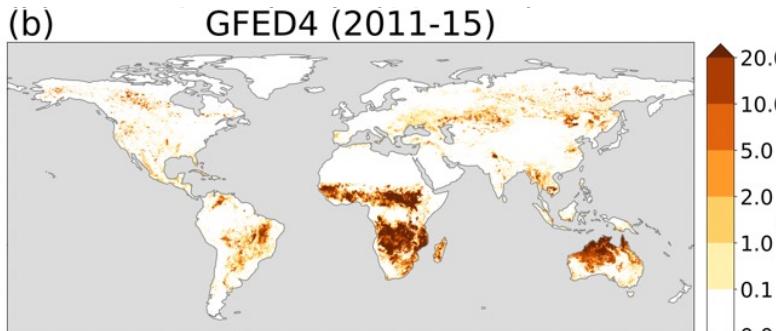
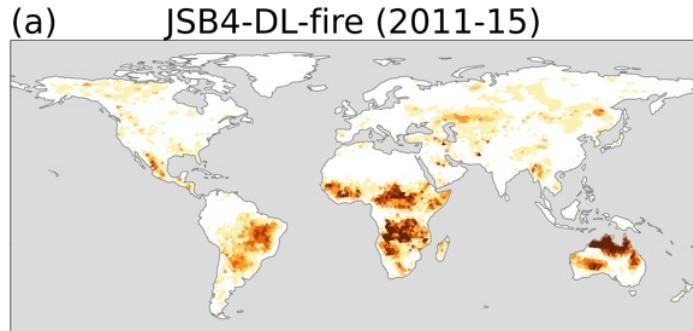
- Son et al 2024



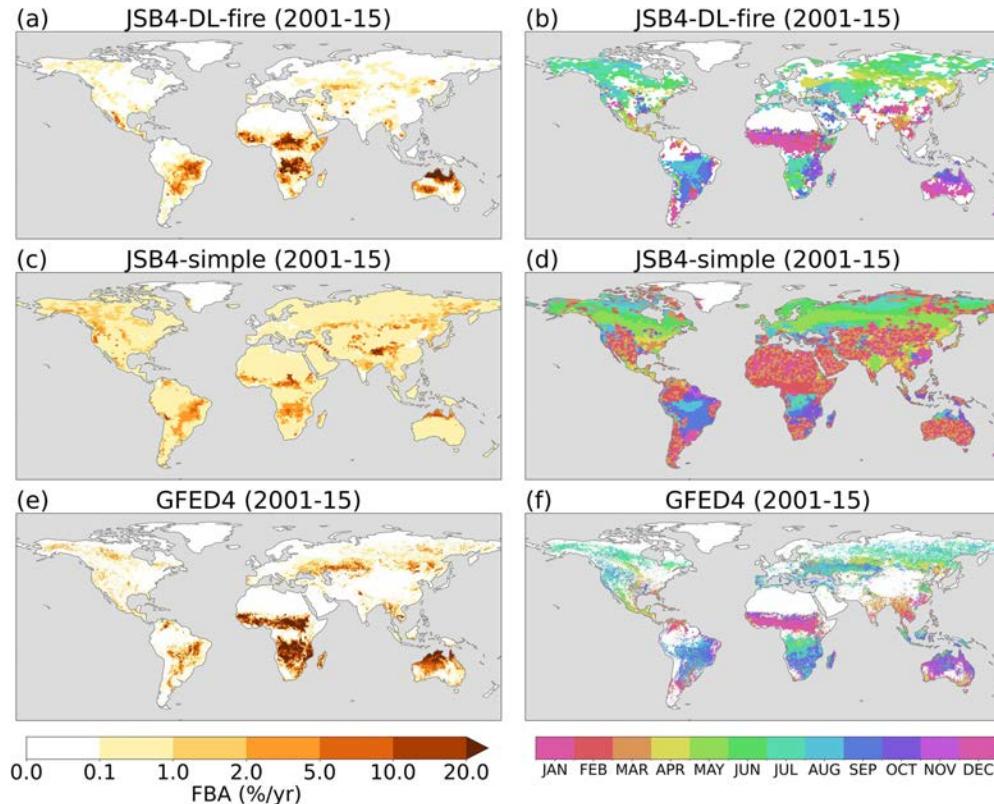
# DL-fire model evaluation (offline inference)



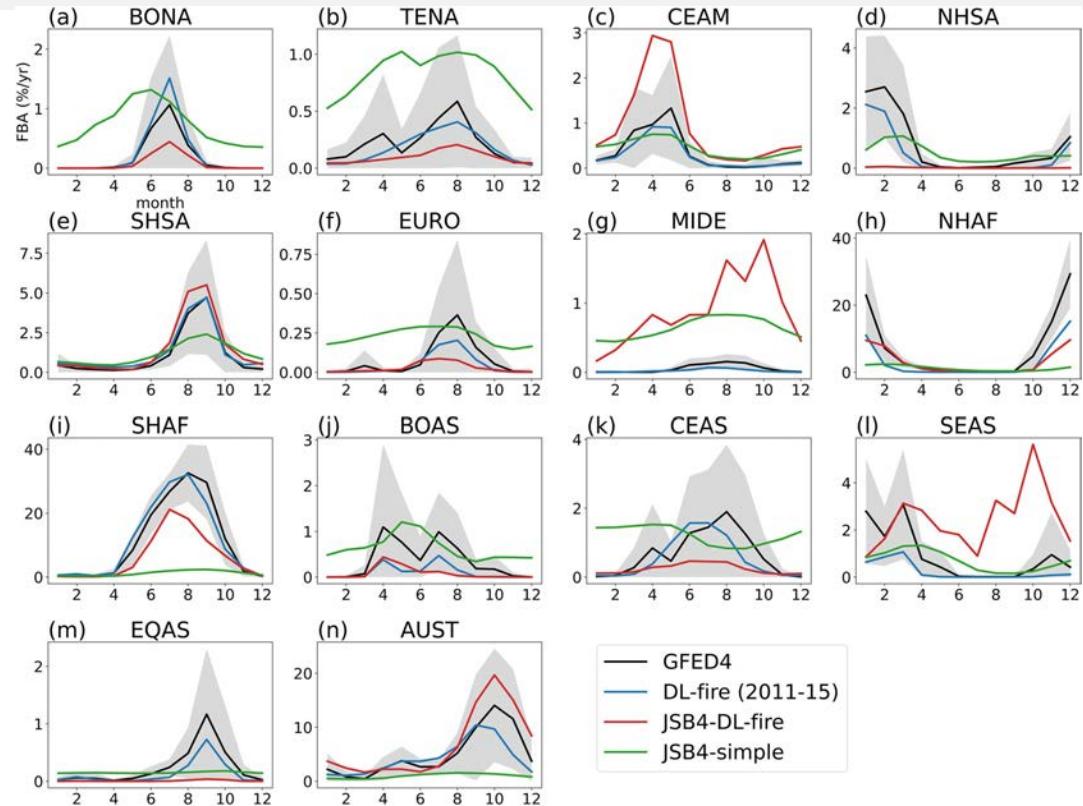
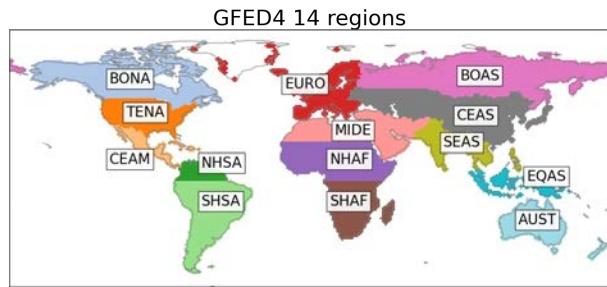
# DL-fire model evaluation (online inference)



# Peak fire season



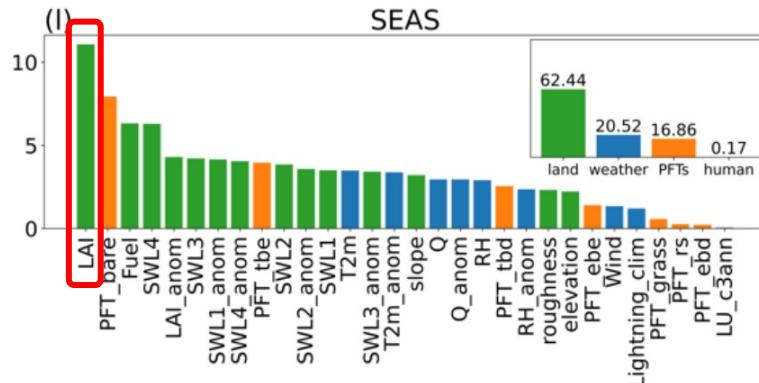
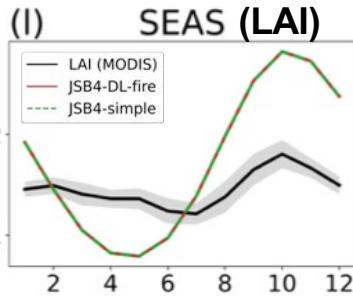
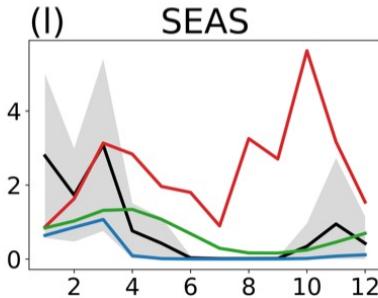
# Spatial variability



# UNDER THE HOOD



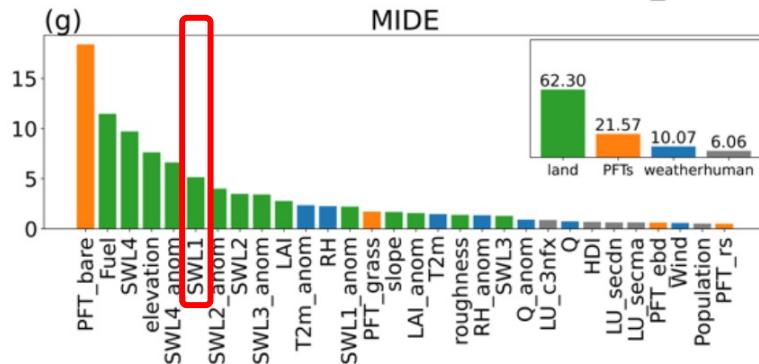
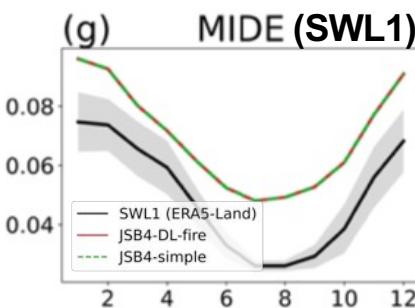
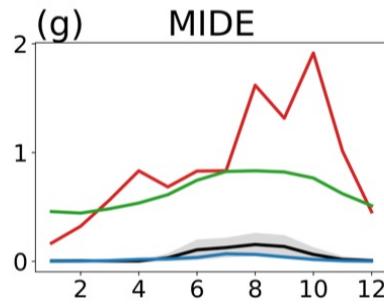
# Internal bias limitations in JSBACH-DL-Fire



1. LAI	LAI
2. PFT <sub>bare</sub>	Bare land fraction
3. Fuel	Fuel
4. SWL4	Volume of water in soil in the 4 <sup>th</sup> layer
5. LAI <sub>anom</sub>	LAI anomaly
6. SWL3	Volume of water in the soil 3 <sup>rd</sup> layer
7. SWL1 <sub>anom</sub>	Anomaly of volume of water in the soil 1 <sup>st</sup> layer
8. SWL4 <sub>anom</sub>	Anomaly of volume of water in the soil 4 <sup>th</sup> layer
9. PFT <sub>tbe</sub>	Tree broadleaf evergreen fraction
10. SWL2	Volume of water in the soil 2 <sup>nd</sup> layer
11. SWL2 <sub>anom</sub>	Anomaly of volume of water in the soil 2 <sup>nd</sup> layer
12. SWL1	Volume of water in the soil 1 <sup>st</sup> layer
13. T2m	Temperature
14. SWL3 <sub>anom</sub>	Anomaly of volume of water in the soil 3 <sup>rd</sup> layer
15. T2m <sub>anom</sub>	Anomaly of temperature
16. slope	Slope
17. Q	Specific humidity
18. Q <sub>anom</sub>	Anomaly of specific humidity
19. RH	Relative humidity
20. PFT <sub>tbd</sub>	Tree broad deciduous fraction



# Internal bias limitations in JSBACH-DL-Fire



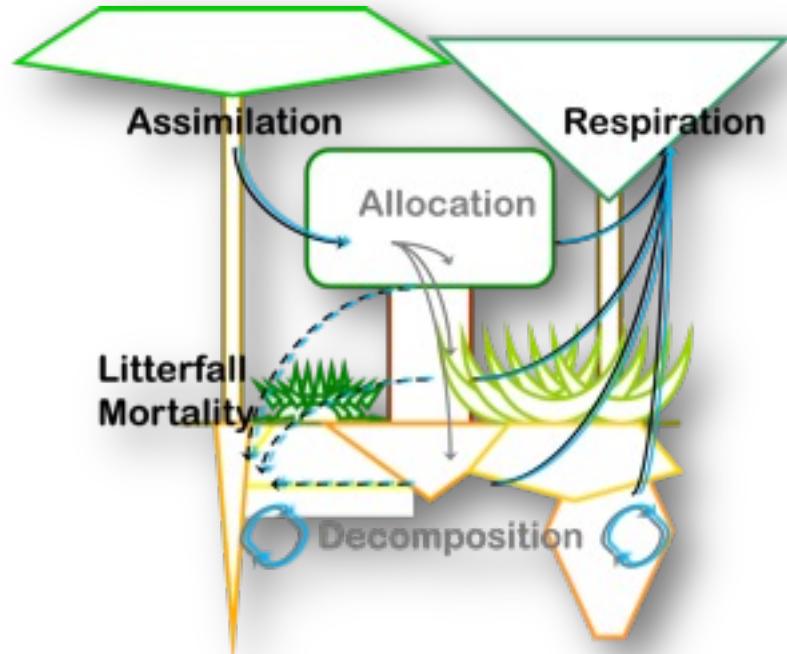
1. LAI	LAI
2. PFT_bare	Bare land fraction
3. Fuel	Fuel
4. SWL4	Volume of water in soil in the 4 <sup>th</sup> layer
5. LAI_anom	LAI anomaly
6. SWL3	Volume of water in the soil 3 <sup>rd</sup> layer
7. SWL1_anom	Anomaly of volume of water in the soil 1 <sup>st</sup> layer
8. SWL4_anom	Anomaly of volume of water in the soil 4 <sup>th</sup> layer
9. PFT_tbe	Tree broadleaf evergreen fraction
10. SWL2	Volume of water in the soil 2 <sup>nd</sup> layer
11. SWL2_anom	Anomaly of volume of water in the soil 2 <sup>nd</sup> layer
12. SWL1	Volume of water in the soil 1 <sup>st</sup> layer
13. T2m	Temperature
14. SWL3_anom	Anomaly of volume of water in the soil 3 <sup>rd</sup> layer
15. T2m_anom	Anomaly of temperature
16. slope	Slope
17. Q	Specific humidity
18. Q_anom	Anomaly of specific humidity
19. RH	Relative humidity
20. PFT_tbd	Tree broad deciduous fraction

jump

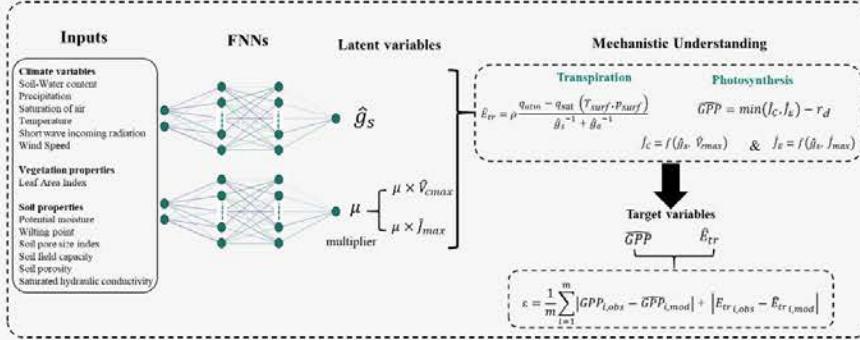


[El Ghawi, 2025; in prep.]

# PHOTOSYNTHESIS / CONDUCTANCE

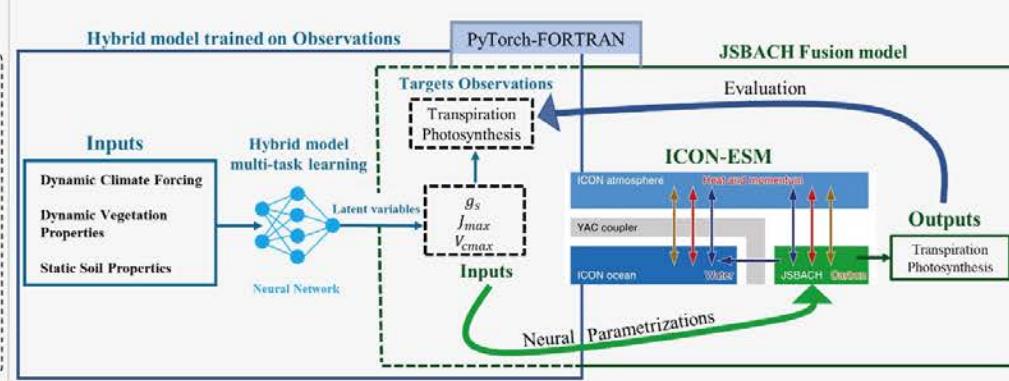


## The Hybrid Model

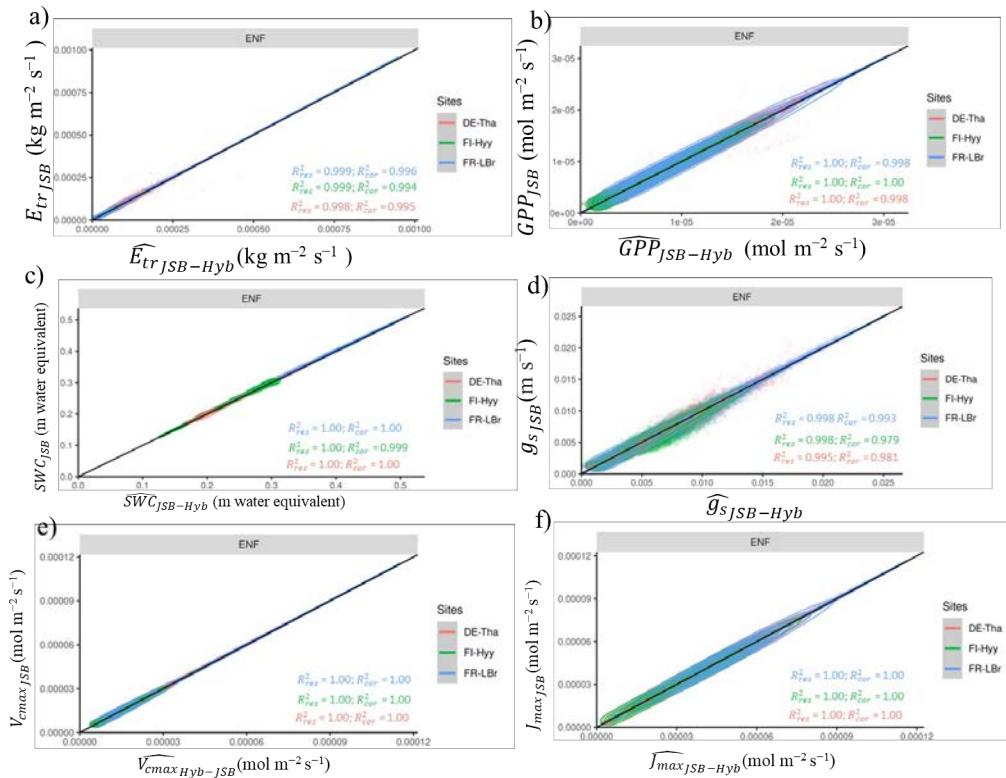


## Methodology

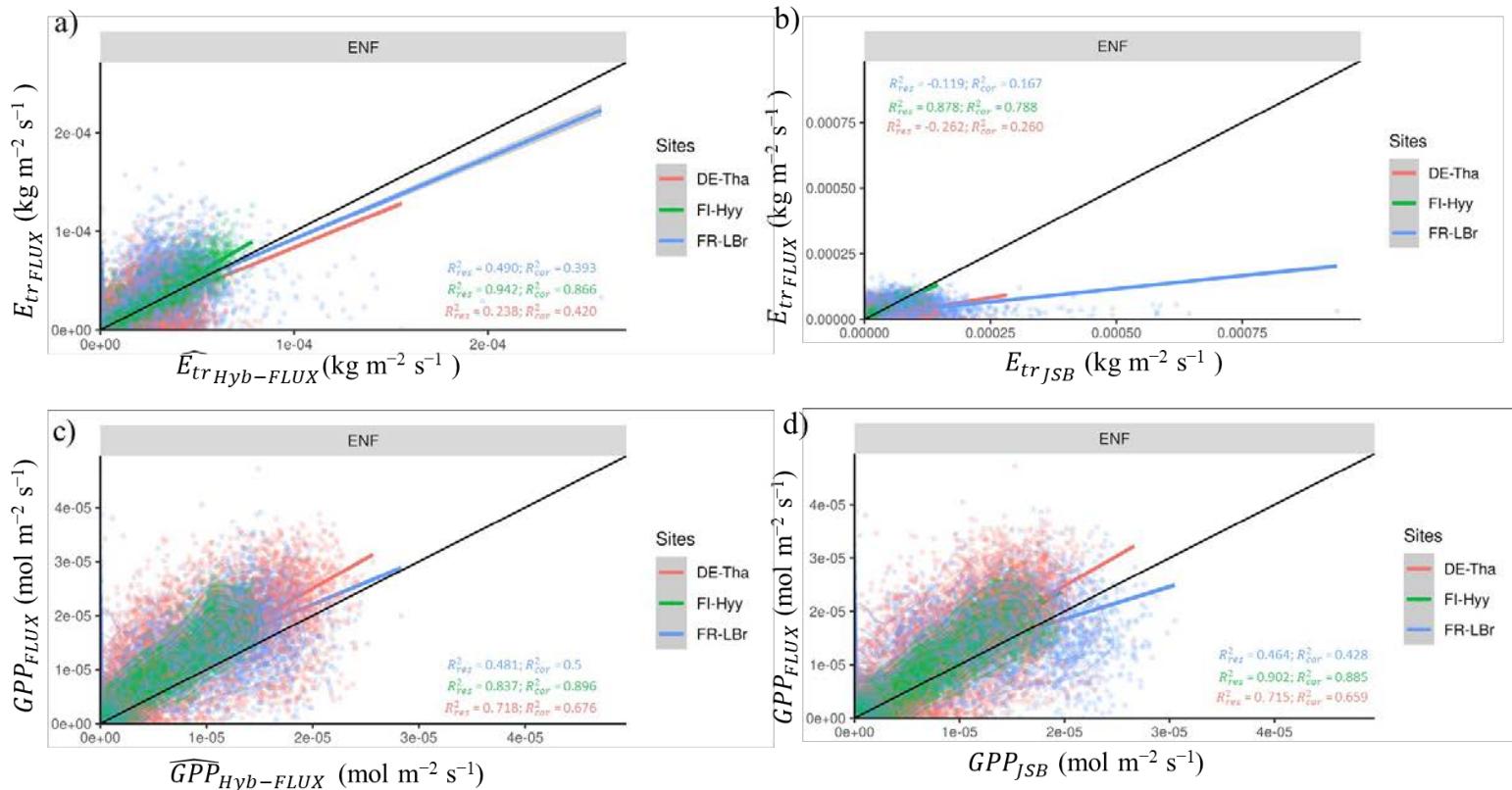
## HYBRID-JSBACH4 Framework



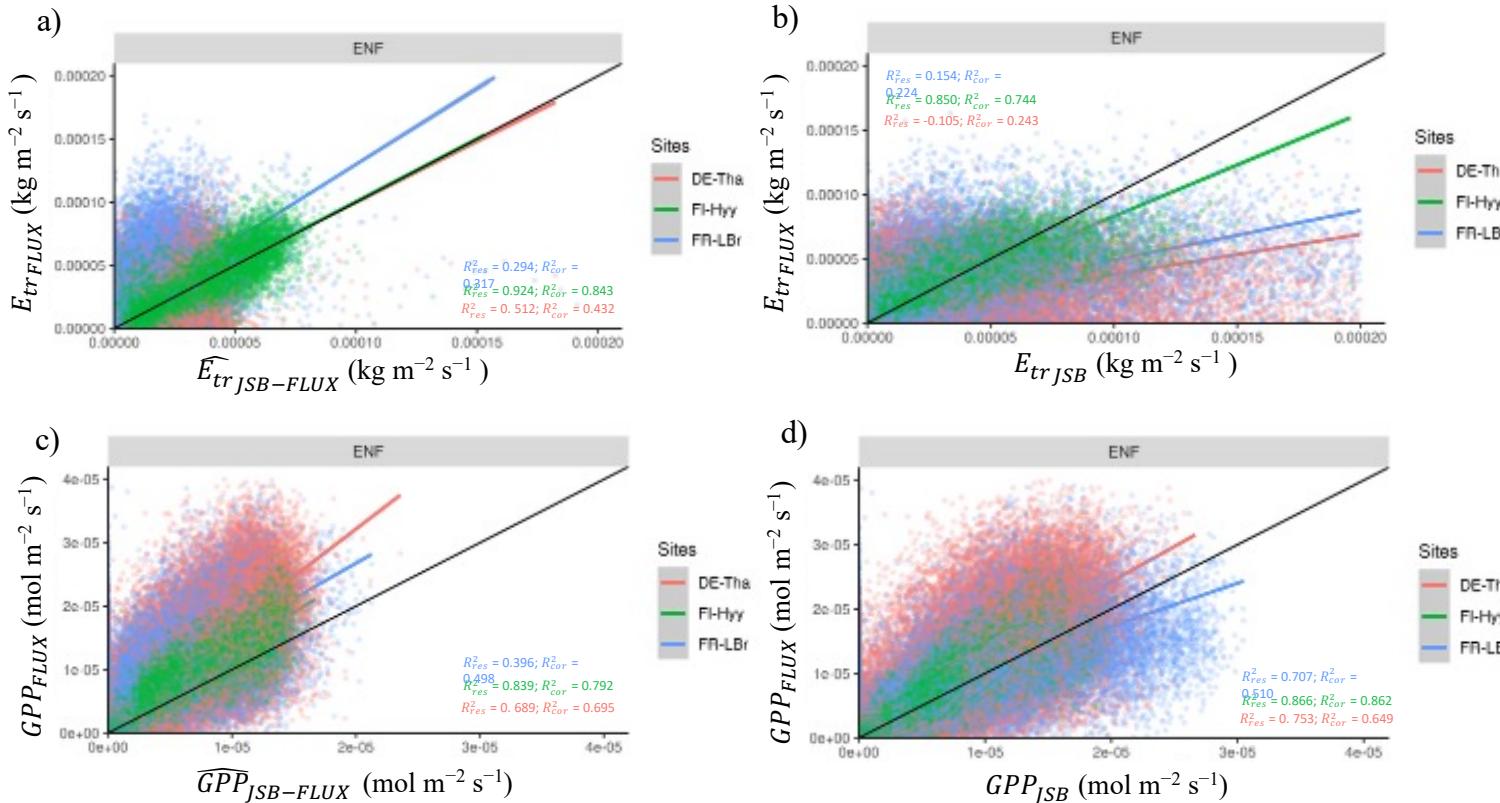
# Learning JSBACH parametrizations



# Training hybrid on FLUXNET



# Integrating hybrid in JSBACH



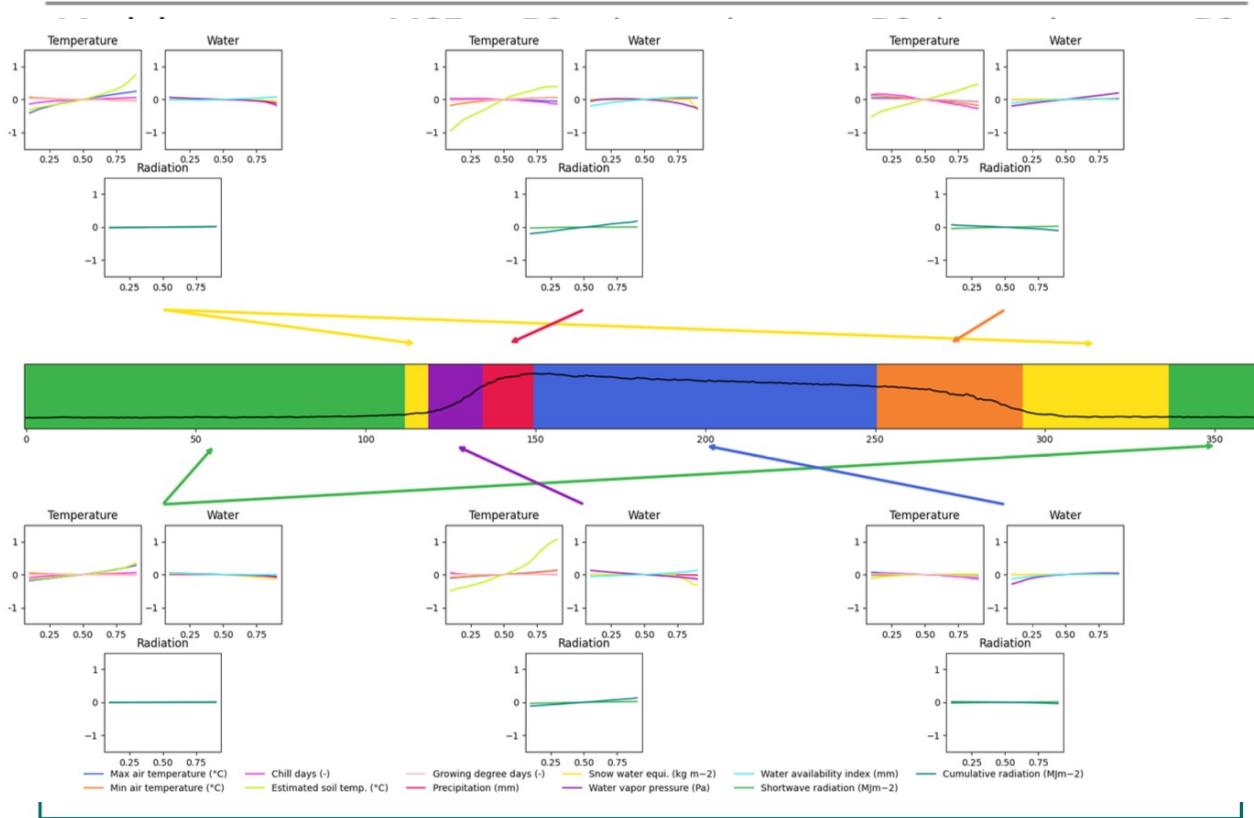
- Process abstraction
  - ⇒ Leading to uncertainty reduction
- Off-line training + online inference
  - ⇒ Error inflation



[Reimers et al., in prep.]

# PHENOLOGY





Seasonal changes in  
sensitivities to drivers



# OVERALL...



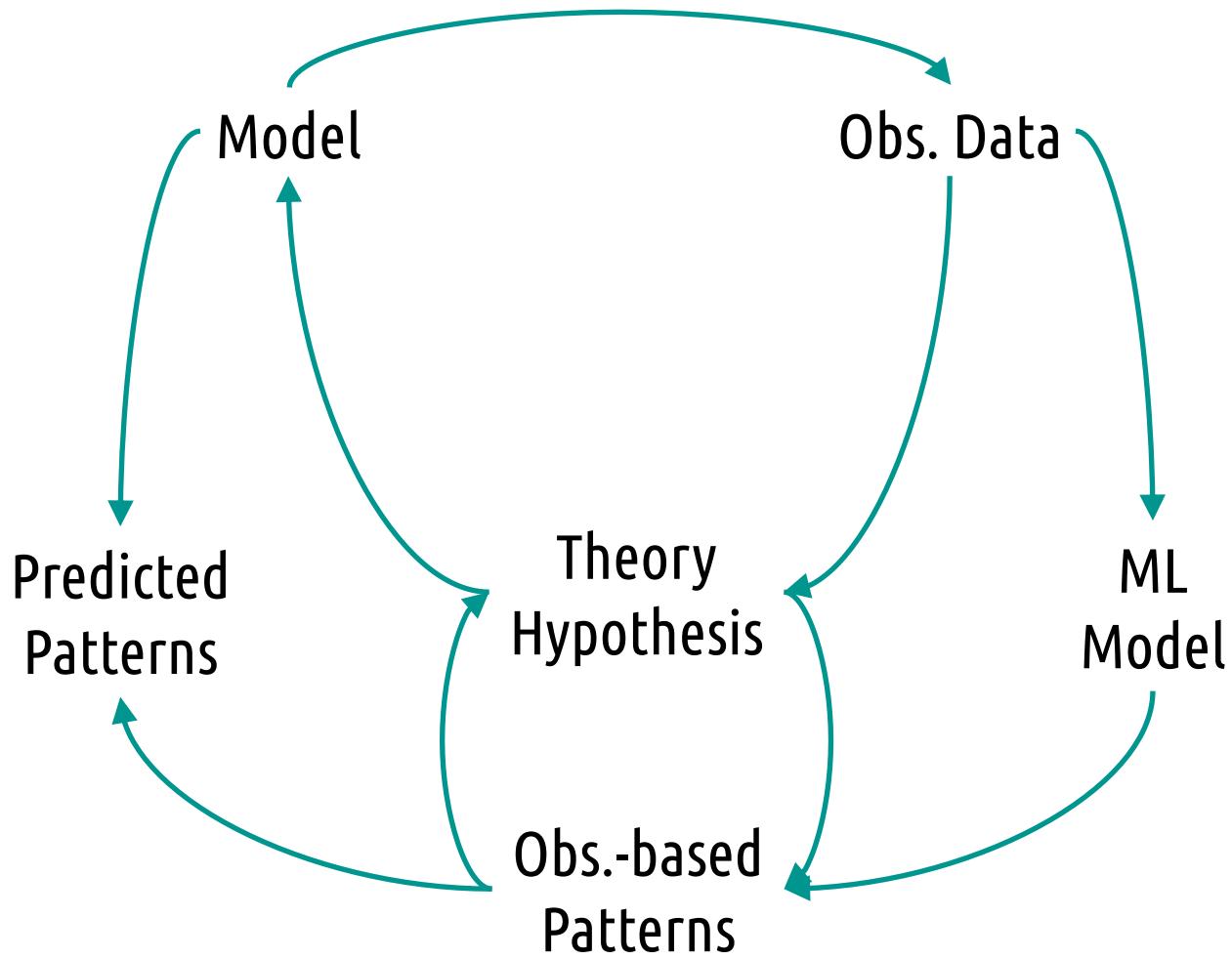
# Overall

- ML support for
  - ⇒ improved parameterizations (c.f. history matching / SBI)
  - ⇒ process abstraction / representation
- Expands information content from observations to models
  - ⇒ improved fits / reduction of uncertainties
  - ⇒ off-line learning online inference ⇒ **differentiability**
- Generates “new” features / patterns
  - ⇒ explainability ambiguities
  - ⇒ ? hypothesis ⇒ ? learning (for us...)



# HYPOTHESIS-DRIVEN / DATA-DRIVEN SCIENCE





[adapted from Reichstein et al., 2019]

Shanning Bao, Rackhun Son, Markus Reichstein, Lazaro Alonso, Siyuan Wang,  
Johannes Gensheimer, Ranit De, Tobias Stacke, Veronika Gayler, Julia Nabel, Reiner  
Schnur, Christian Requena-Mesa, Alexander J. Winkler, Stijn Hantson, Sönke  
Zaehle, Ulrich Weber, Bernhard Ahrens, Basil Kraft, Fabian Gans, Pierre Gentine,  
Gustau Camps-Valls, Sujan Koirala, Alexander Brenning  
Torbern Tagesson, Michael Liddell, Andreas Ibrom, Sebastian Wolf, Ladislav Šigut,  
Lukas Hörtnagl, William Woodgate, J. Rose Cleverly, Mika Korkiakoski, Lutz Merbold,  
T. Andrew Black, Marilyn Roland, Anne Klosterhalfen, Peter D. Blanken, Sara Knox,  
Simone Sabbatini, Bert Gielen, Donatella Zona, Leonardo Montagnani, Rasmus  
Fensholt, Georg Wohlfahrt, Ankur R. Desai, Eugénie Paul-Limoges, Marta Galvagno,  
Albin Hammerle, Georg Jocher, Borja Ruiz Reverter, David Holl, Jiquan Chen, Luca  
Vitale, Pasi Kolari, M. Altaf Arain,...

# ACKNOWLEDGEMENTS



# THANK YOU!



A model data integration framework

[Start](#) [Learn](#) [Code](#) [Repository](#)

