

# Seasonal hydrologic prediction + HEPEX + GHP = a proposed high-impact cross-cutting project

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GEWEX GHP Panel Meeting  
*December 11, Cal Tech, Pasadena, CA*

# Outline

- HEPEX Background
- The Value of Seasonal Hydrologic Prediction
  - An example from practice
- Hydrologic Prediction Science & Research
- A HEPEX-GHP Intercomparison Experiment?

# HEPEX Overview

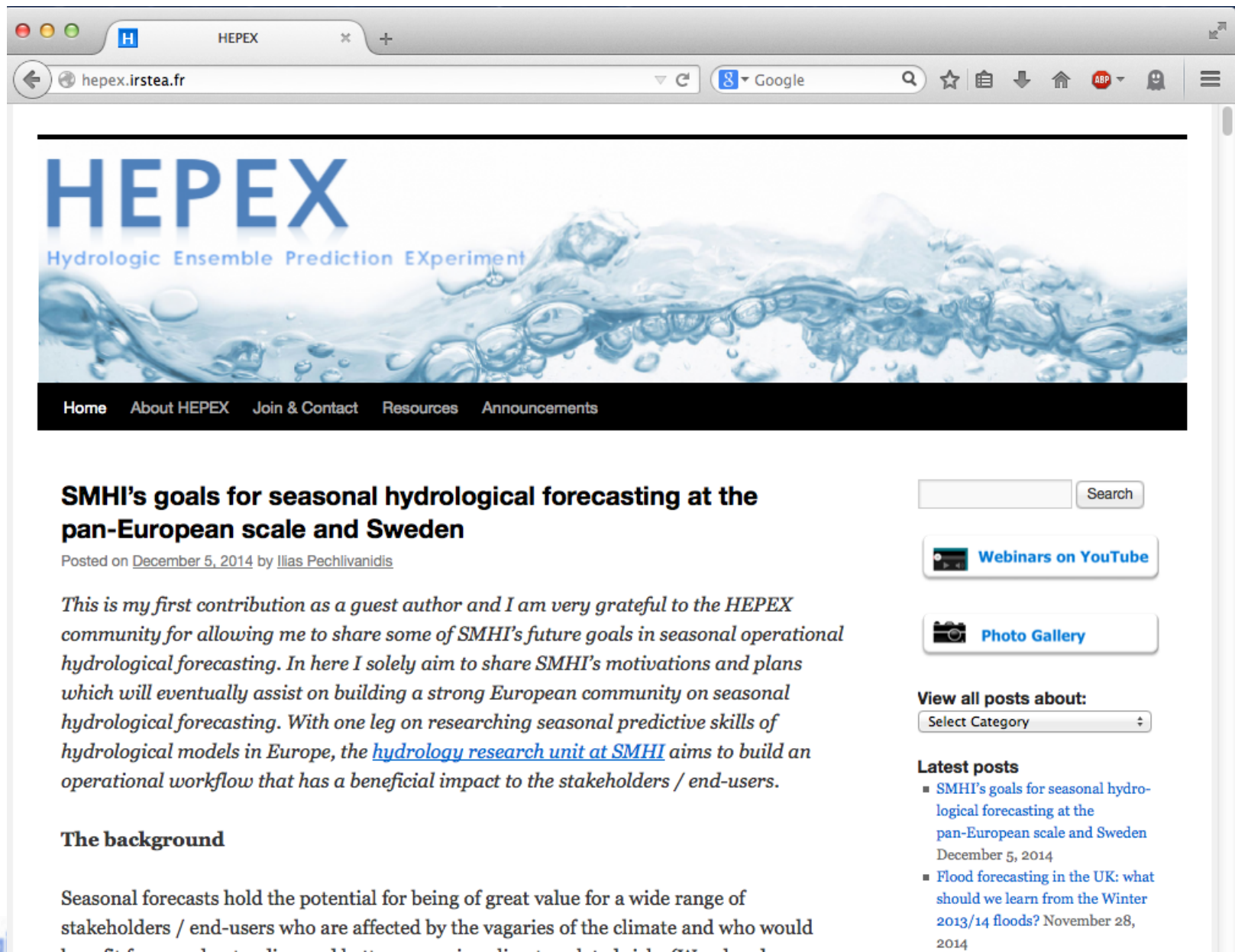
## **HEPEX mission:**

To demonstrate the added value of hydrological ensemble predictions (HEPS) for emergency management and water resources sectors to make decisions benefitting the economy, public health and safety.

## **Key questions of HEPEX:**

- What adaptations are required for meteorological ensemble systems to be coupled with hydrological ensemble systems?
- How should the existing hydrological ensemble prediction systems evolve to account for all sources of uncertainty within a forecast?
- What is the best way for the user community to take advantage of ensemble forecasts and to make better decisions based on them?

# HEPEX is now best known via an active website



The screenshot shows a web browser window with the address bar displaying "hepex.irstea.fr". The page features a large header with the "HEPEX" logo and the subtitle "Hydrologic Ensemble Prediction EXperiment" over a background image of water splashing. A navigation bar below the header contains links: Home, About HEPEX, Join & Contact, Resources, and Announcements.

The main content area displays an article titled "SMHI's goals for seasonal hydrological forecasting at the pan-European scale and Sweden". The article is dated December 5, 2014, and is by Ilias Pechlivanidis. The text of the article begins with: "This is my first contribution as a guest author and I am very grateful to the HEPEX community for allowing me to share some of SMHI's future goals in seasonal operational hydrological forecasting. In here I solely aim to share SMHI's motivations and plans which will eventually assist on building a strong European community on seasonal hydrological forecasting. With one leg on researching seasonal predictive skills of hydrological models in Europe, the [hydrology research unit at SMHI](#) aims to build an operational workflow that has a beneficial impact to the stakeholders / end-users."

Below the article text is a section titled "The background". The text under this section starts with: "Seasonal forecasts hold the potential for being of great value for a wide range of stakeholders / end-users who are affected by the vagaries of the climate and who would benefit from understanding and better managing climate-related risks (Ward and".

On the right side of the page, there is a search bar, a "Webinars on YouTube" button, a "Photo Gallery" button, and a "View all posts about:" section with a "Select Category" dropdown menu. Below these are "Latest posts" listed with titles and dates: "SMHI's goals for seasonal hydrological forecasting at the pan-European scale and Sweden" (December 5, 2014) and "Flood forecasting in the UK: what should we learn from the Winter 2013/14 floods?" (November 28, 2014).



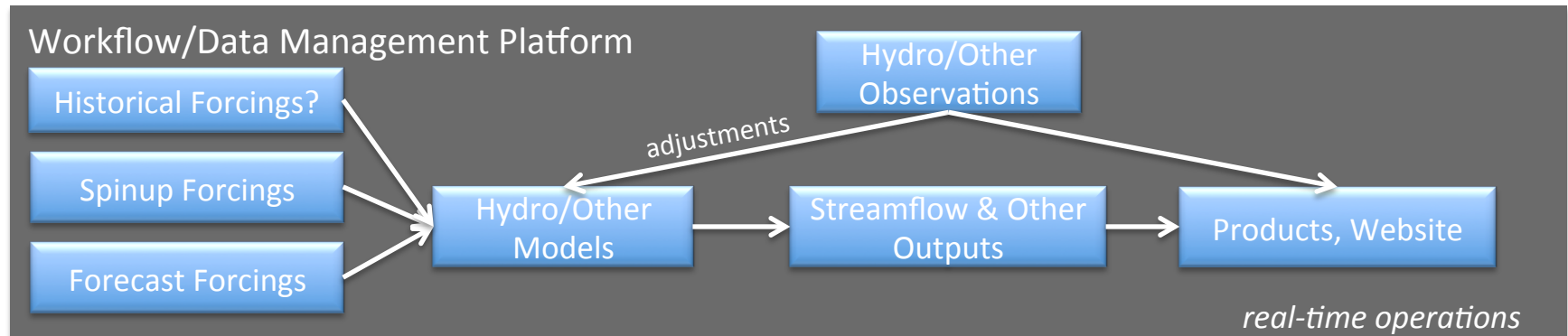
# HEPEX Activities

- Community Meetings & Workshops
- Many smaller sessions: AGU, EGU, GEWEX, EMS, AMS, etc.
- Articles and Journal Special Issues
  - HESS: HEPEX Special Issue
  - EOS Article
  - BAMS Article
  - ASL Special Issues (2)
  - Hydrological Sciences
- Test-bed Projects (several)
- Experiments (several)
- Online Community
- Highlight Case Studies
- Webinars (regular)

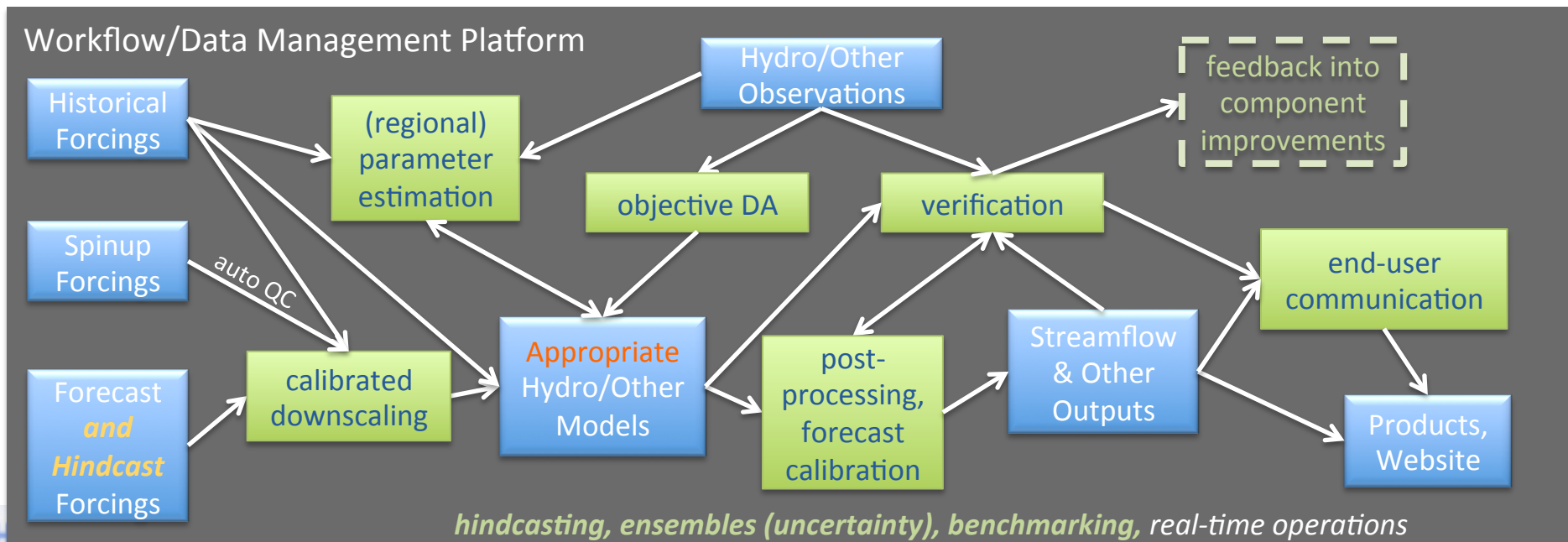


# HEPEX: Merging Science with Pragmatism

The Basics: Making a Prediction System Work → Models, Data, Systems



HEPEX: Making a Prediction System Work *Well* → Methods & Tradeoffs



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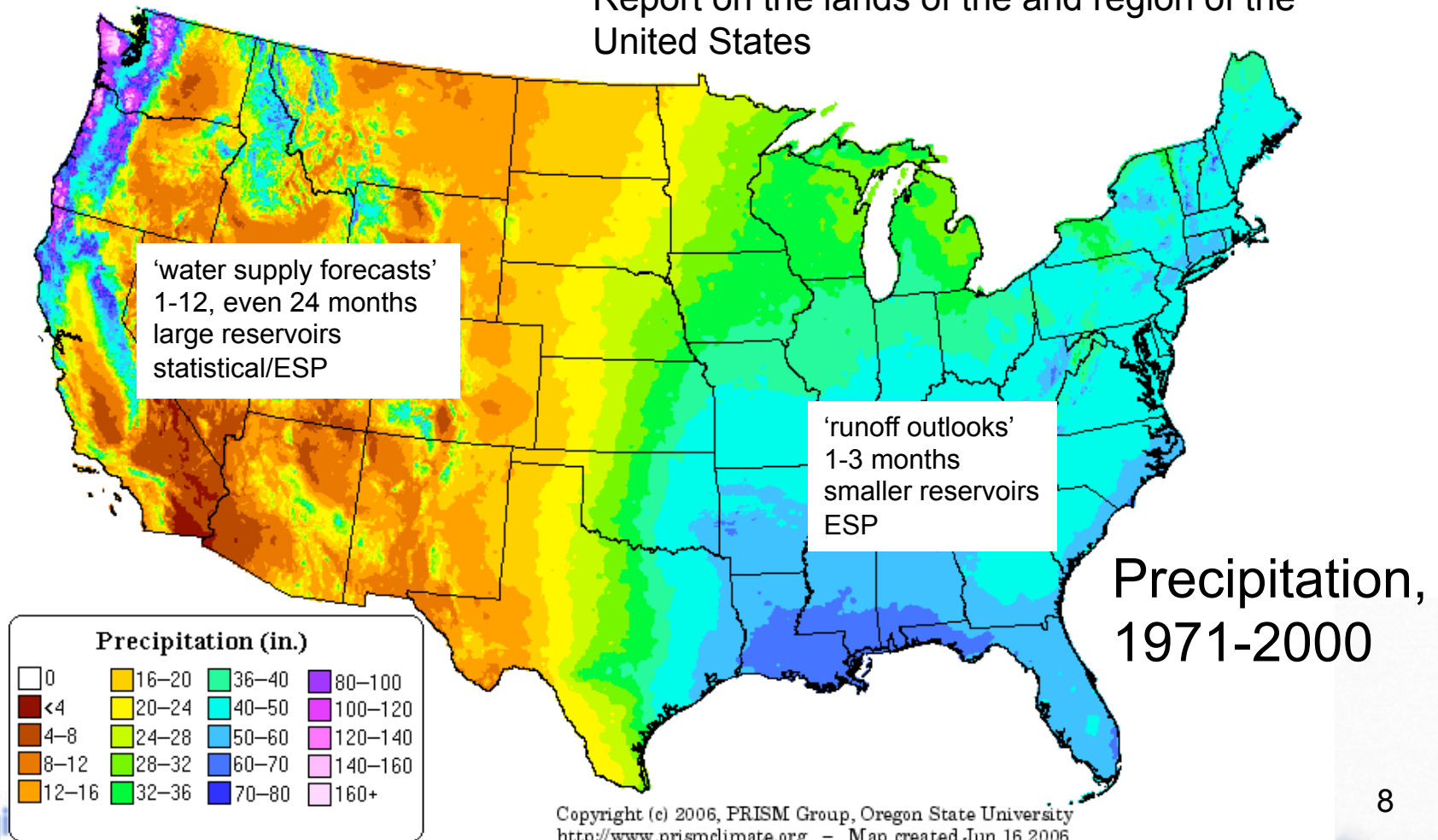


# The Arid Lands

***Many droughts will occur; many seasons in a long series will be fruitless; and it may be doubted whether, on the whole, agriculture will prove remunerative.***

John Wesley Powell, 1879

Report on the lands of the arid region of the United States





# Colorado River


- 25 million people in 7 states rely on Colorado River water
- 3.5 million acres of irrigation
- 85% of runoff comes from above 9000 feet
- Mean annual discharge is ... (?)
- Storage capacity is about 60 MAF (4-5 times mean annual flow)



# Management using Seasonal Flow Forecasts

## Upper Colorado Reservoir Management

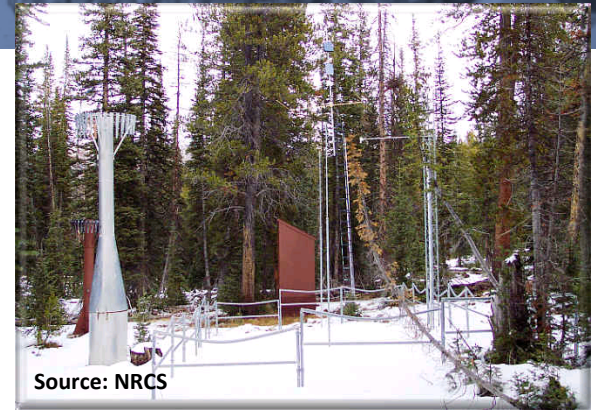
Water transfer decision from upper to lower basin states depends on forecast

Lake Powell			Lake Mead		
Elevation (feet)	Operations According to Interim Guidelines	Live Storage (MAF)	Elevation (feet)	Operations According to Interim Guidelines	Live Storage (MAF)
3,700	<b>Equalization Tier</b> Equalize, Avoid Spills or Release 8.23 MAF	24.3	1,220	<b>Flood Control, 70R or ICS Surplus</b>	25.9
3,636 - 3,666 (2008-2026)		15.5 - 19.3 (2008-2026)	1,200		22.9
	<b>Upper Elevation Balancing Tier<sup>1</sup></b> Release 8.23 MAF; if Lake Mead < 1,075 feet, balance contents with a min/max release of 7.0 and 9.0 MAF		1,145	<b>Domestic or ICS Surplus</b>	15.9
<b>3,635</b> <b>1/1/10</b> <b>Projection</b>		<b>15.4</b> <b>1/1/10 Projection</b>	<b>1,098</b> <b>1/1/10</b> <b>Projection</b>		<b>11.4</b> <b>1/1/10</b> <b>Projection</b>
	<b>Mid-Elevation Release Tier</b> Release 7.48 MAF; if Lake Mead < 1,025 feet, Release 8.23 MAF;			<b>Normal Operations or ICS Surplus</b>	
3,525		5.9	1,075		9.4
3,490	<b>Lower Elevation Balancing Tier</b> Balance contents with a min/max release of 7.0 and 9.5 MAF	4.0	1,050		
			1,025		
3,370		0	1,000		
			895		



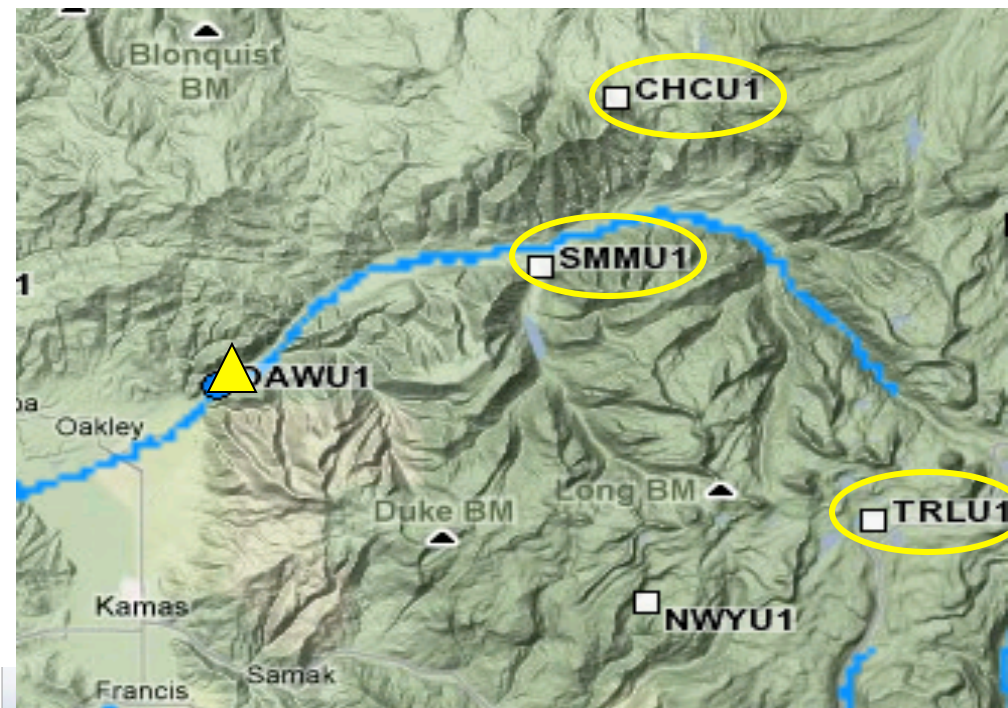
# Simple Statistical Forecasting

Trial Lake SNOTEL



Sample Equation for April 1 forecast of April-July Flow:

$$\begin{aligned} \text{April-July volume Weber @ Oakley} = & \\ & + 3.50 * \text{Apr 1}^{\text{st}} \text{ Smith \& Morehouse (SMMU1) Snow Water Equivalent} \\ & + 1.66 * \text{Apr 1}^{\text{st}} \text{ Trial Lake (TRLU1) Snow Water Equivalent} \\ & + 2.40 * \text{Apr 1}^{\text{st}} \text{ Chalk Creek \#1 (CHCU1) Snow Water Equivalent} \\ & - 28.27 \end{aligned}$$



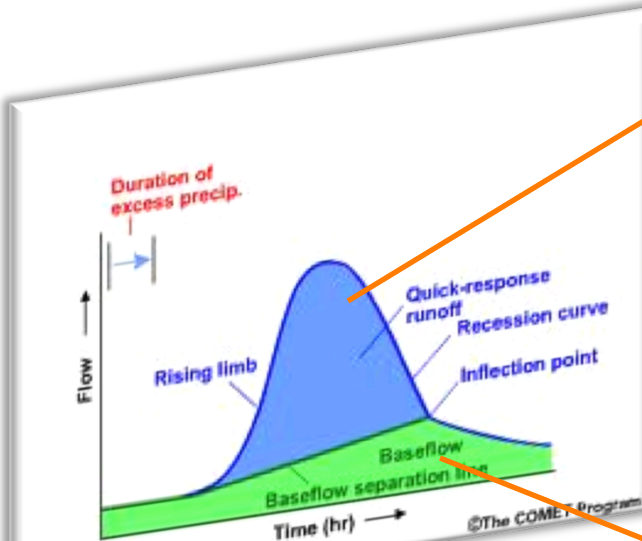


## End-to-End Operational Forecast Process

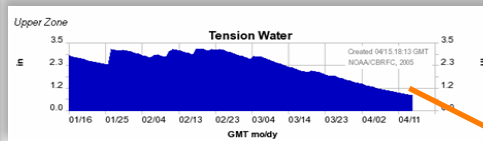
Data Preparation

Modeling

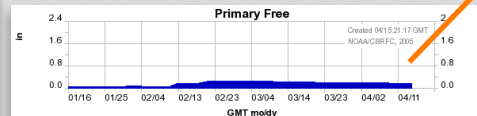
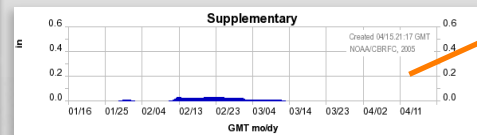
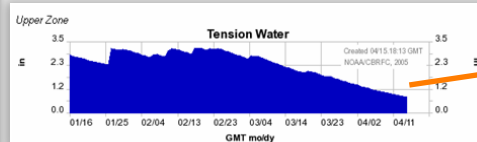
Dissemination



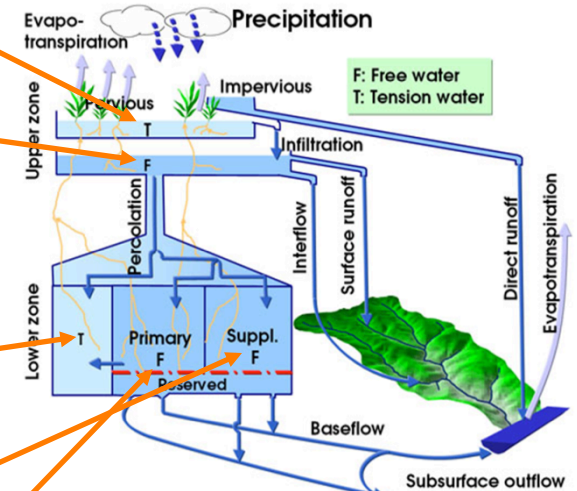
Observed Hydrology



### Simulation and Analysis



Temperature index model for simulating snowpack accumulation and melt →

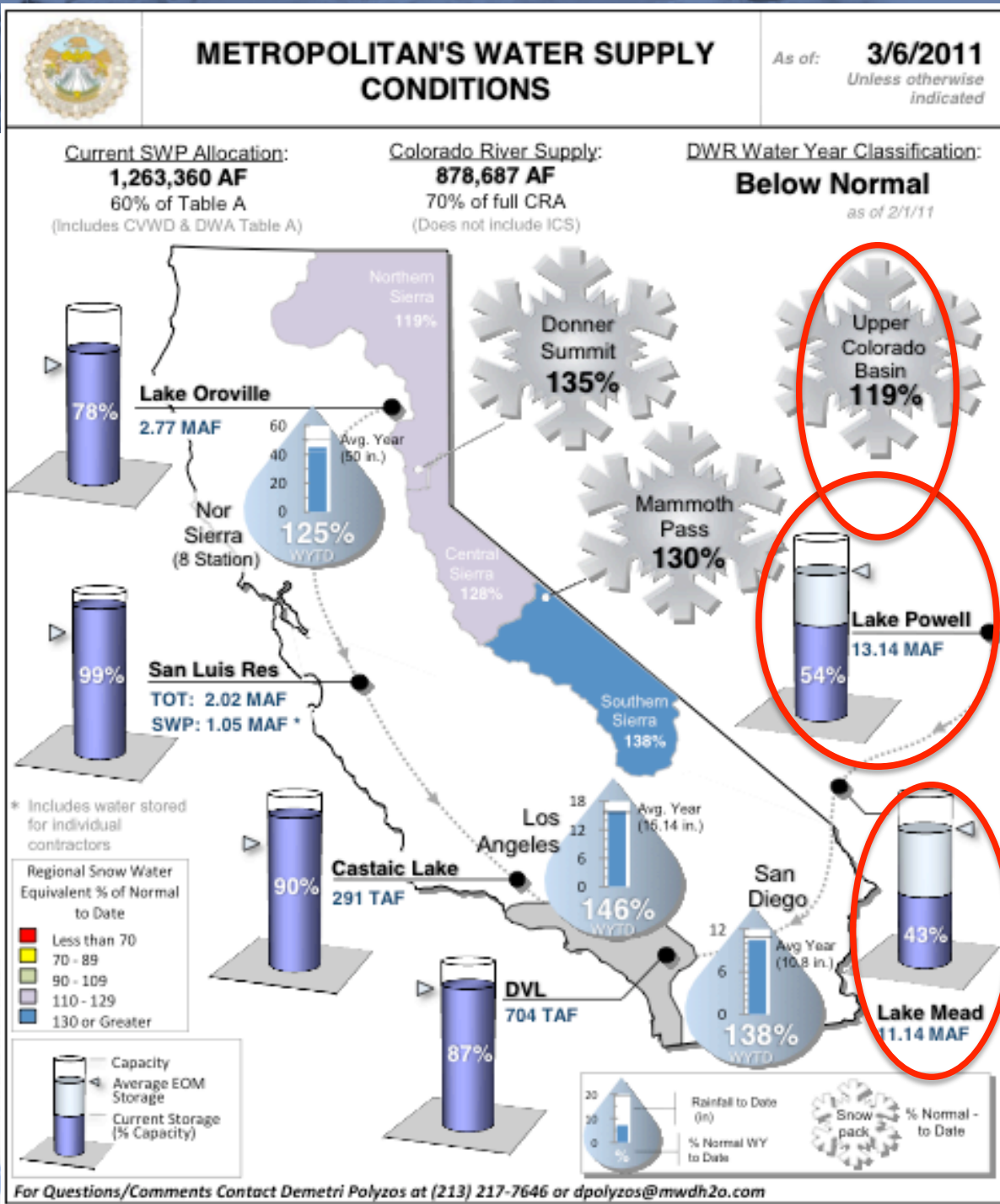


Sacramento Soil Moisture Accounting Model



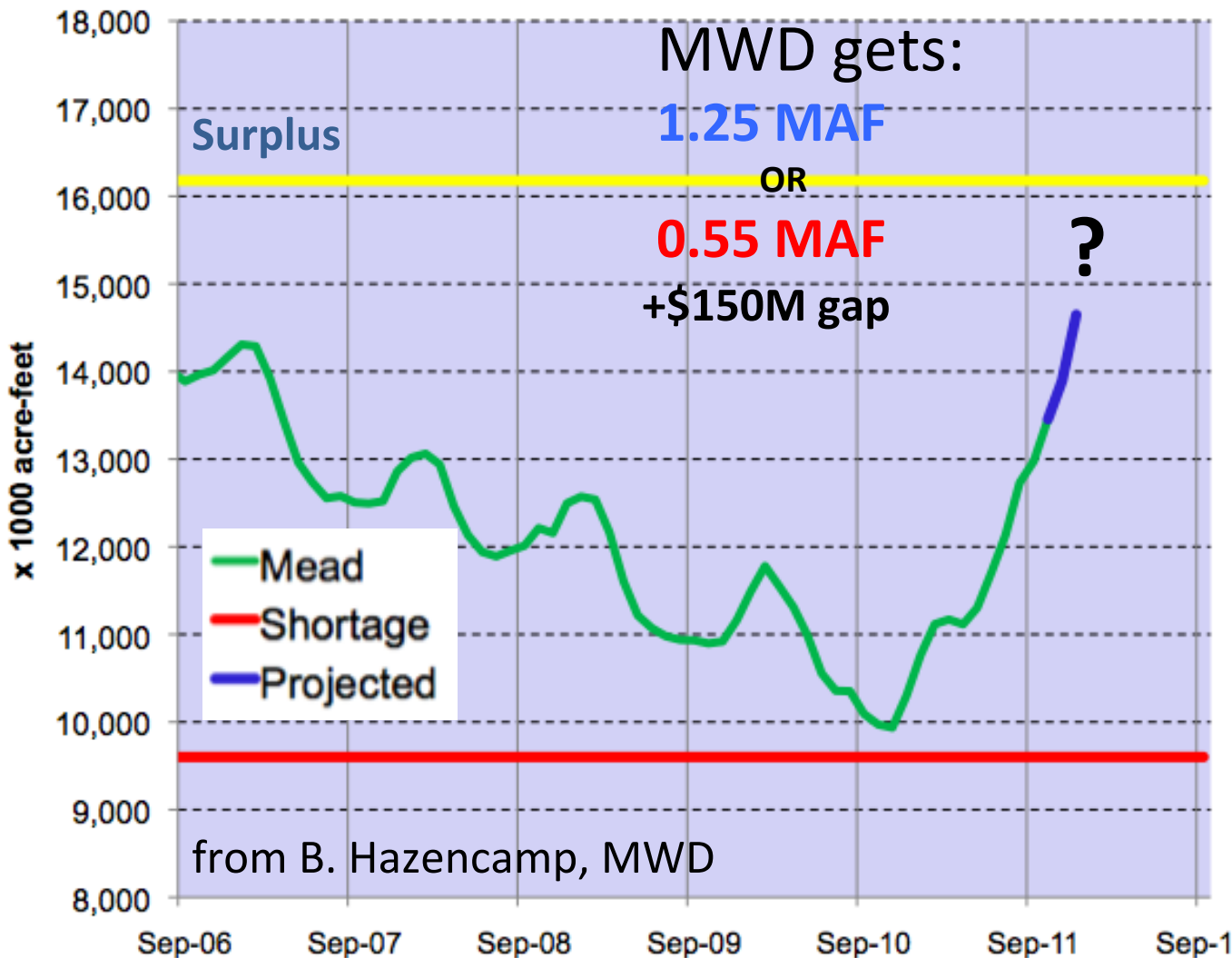
# Stakeholder Example

- Metropolitan Water District (California)
- Supplies water to ~20m residents in southern California (including L.A.)
- Issues weekly water supply conditions map (right) based on RFC, CA DWR, and NRCS forecasts and data



# Seasonal streamflow prediction is critical

## Lake Mead Storage 2006 – 2011



One example:  
Met. Water Dist. of  
S. California (MWD)



# Value

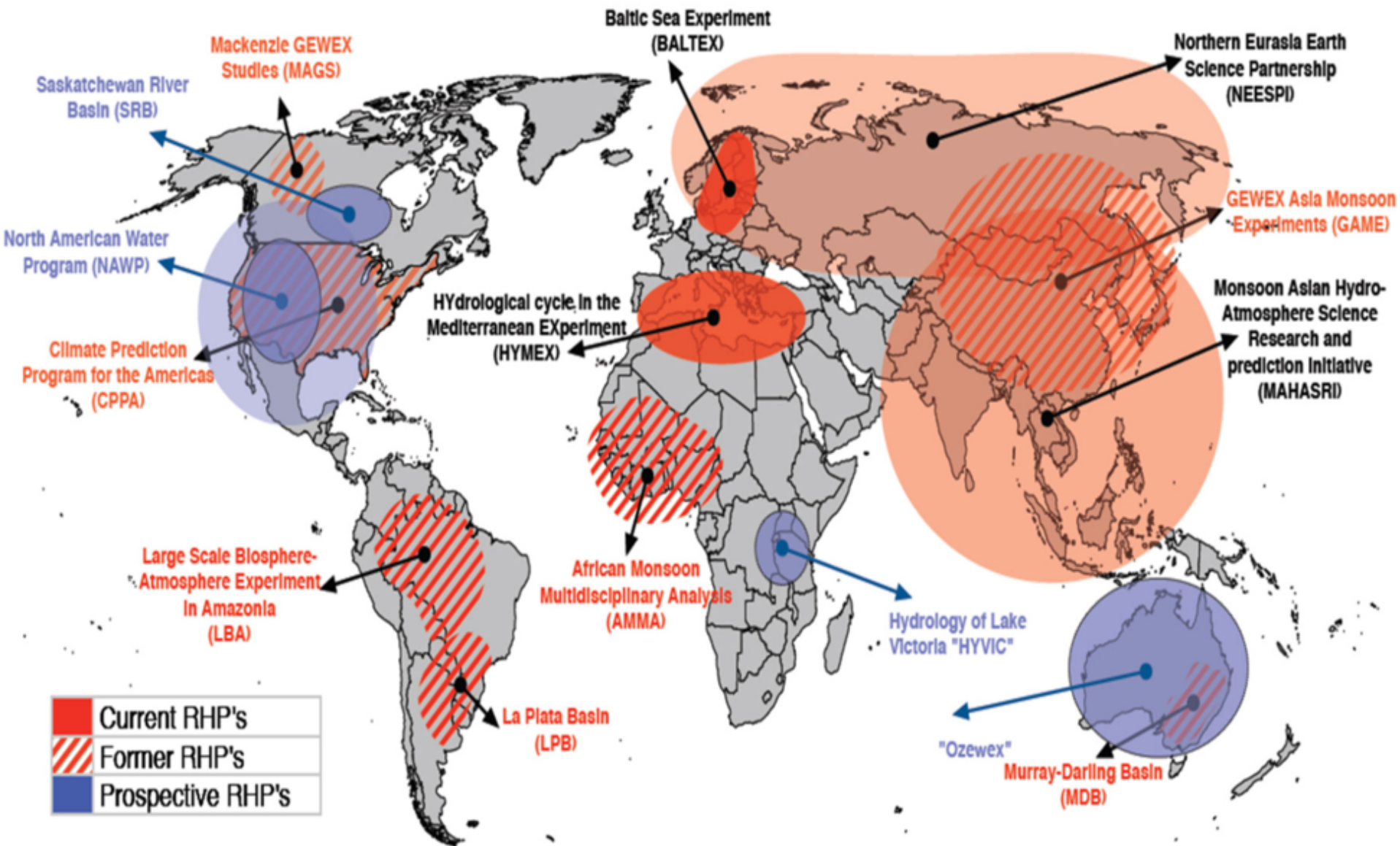
- Damage from 1/10 AZ storm: \$11m<sup>a</sup>
- Damage from 6/10 UT flooding: \$6.5m<sup>a</sup>
- Damage from 12/10 UT/NV storm: \$11m<sup>a</sup>
- Damage from 09/13 Boulder flood: \$1b<sup>c</sup>
  
- Colorado River average runoff: 12.4 MAF
- Replacement value at \$330/AF -> \$4b<sup>b</sup>
  - Indirect multiplier ~3? **\$12b**

*The economic value of water resources typically greater than flooding damages*

- Sources:
- a: WFO, FEMA (via stormdata); b: MWD (via Hasencamp, private communication)
- c: Wikipedia



# GEWEX REGIONAL HYDROCLIMATE PROJECTS





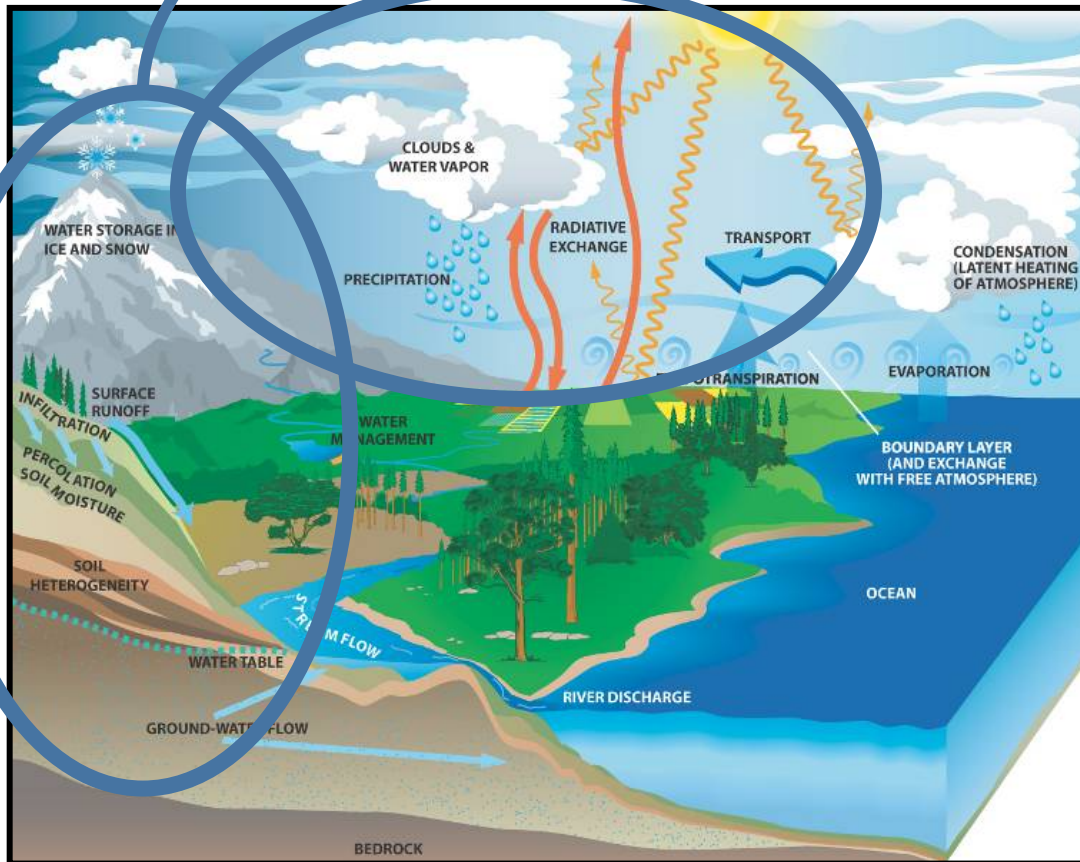
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# hydrologic prediction science questions

*hydrological predictability*

*meteorological predictability*



Water Cycle (from NASA)

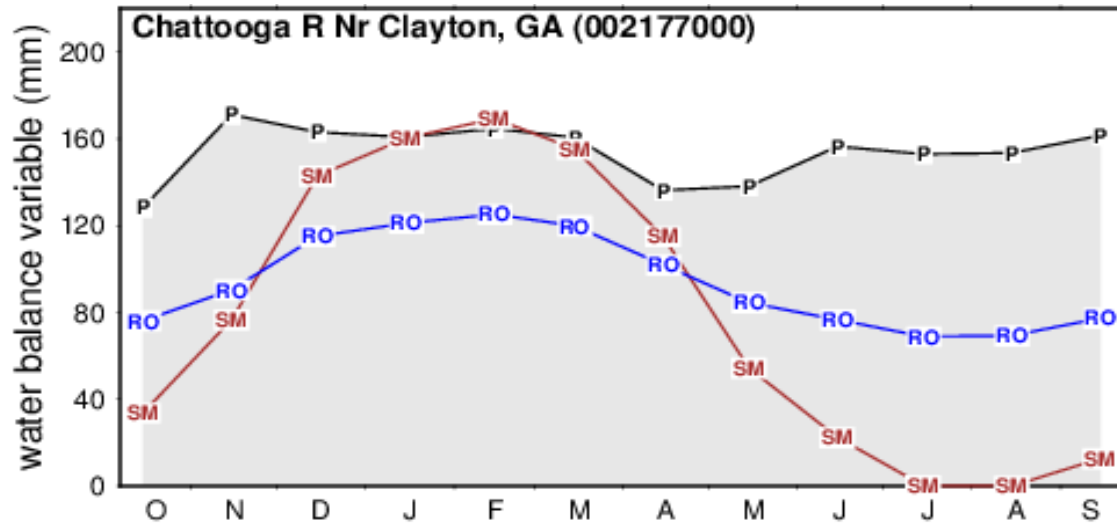
Hydrological Prediction: How well can we estimate catchment dynamics?

- Accuracy in precipitation and temperature estimates
- Fidelity of hydrology models – process/structure
- Effectiveness of hydrologic data assimilation methods

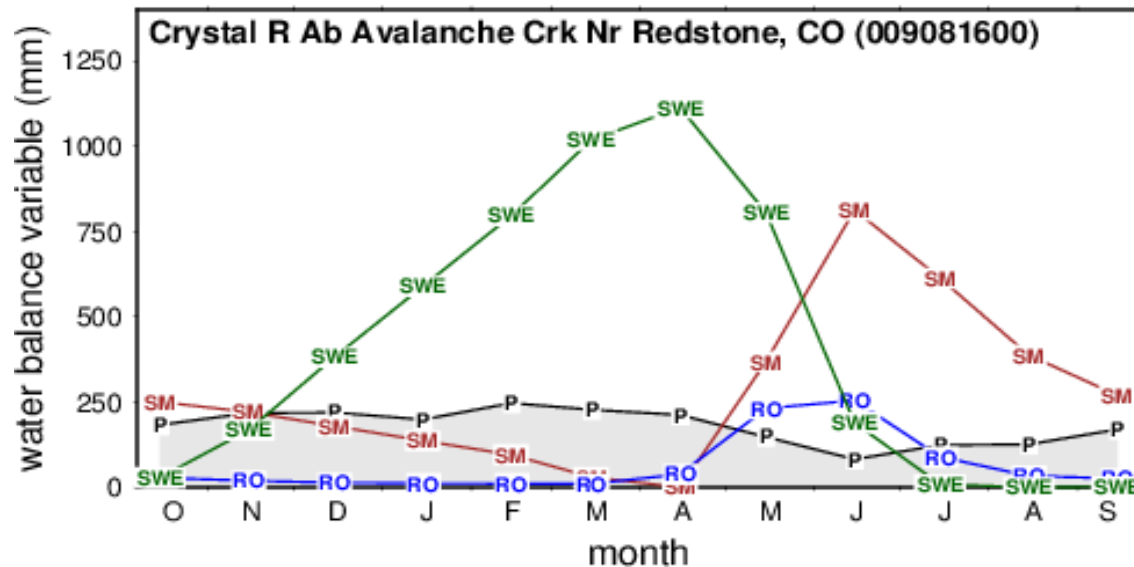
Atmospheric predictability: How well can we forecast the weather and climate?

Opportunities: How do these areas influence variability informing different water applications?

# Hydro-climatic/Seasonal Variation in Watershed Moisture



- humid basin
- uniform rainfall
- no snow
- small cycle driven by ET



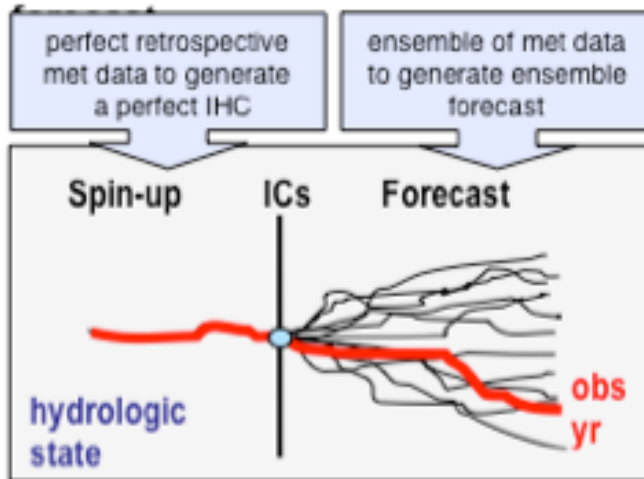
- cold basin
- drier summers
- deep snow
- large seasonal cycle
- April snowmelt dominates May-June runoff



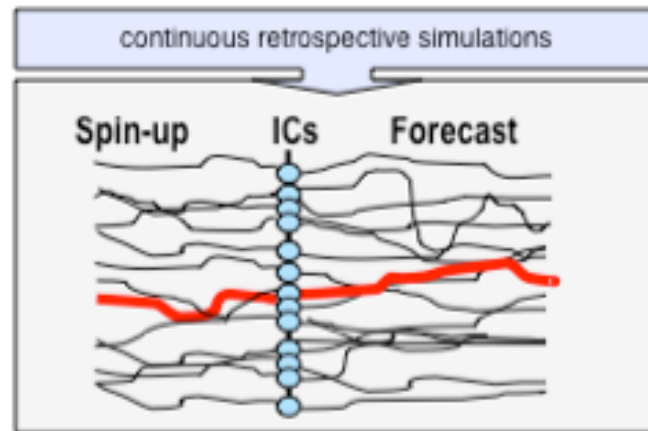
# Assessing the sources of flow forecast skill

vary predictor uncertainty → measure streamflow forecast uncertainty

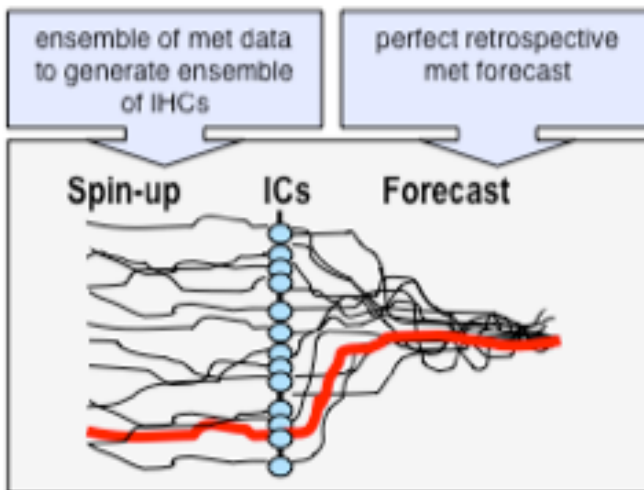
a. ESP



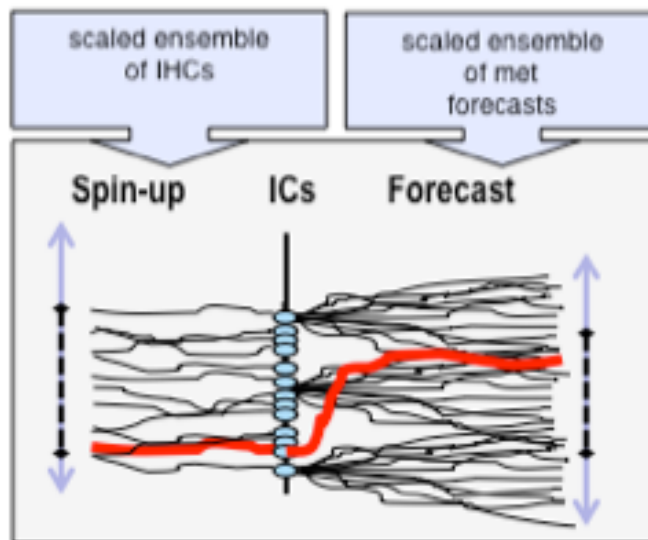
c. Climatology



b. "Reverse-ESP" forecast



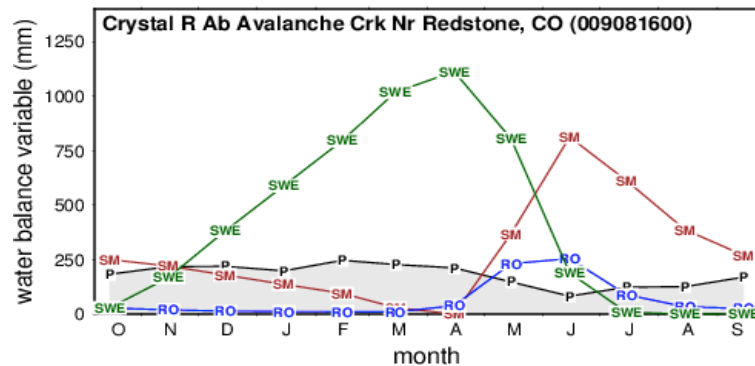
d. VESPA forecast



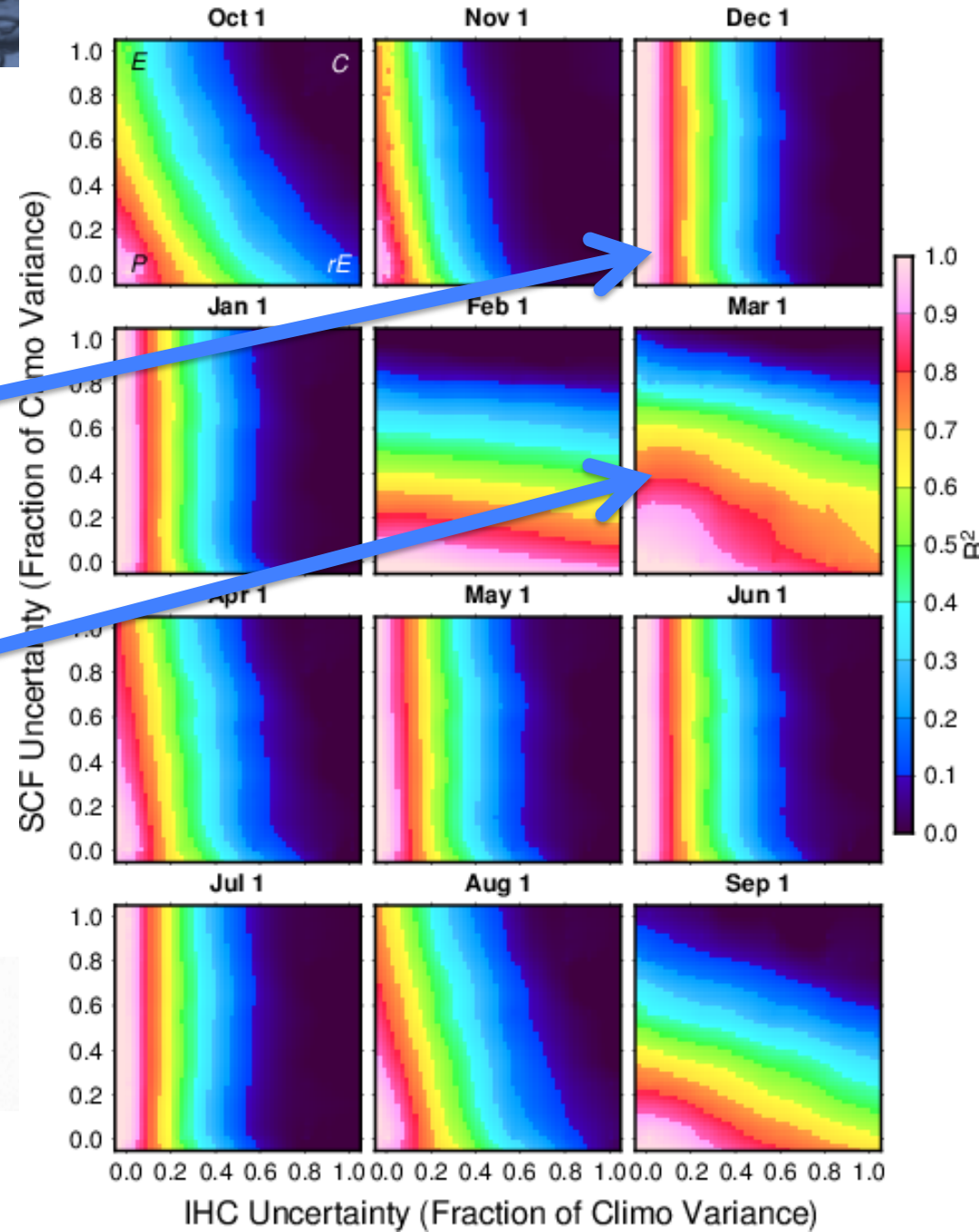


# Snow-Driven Basin in the Western US

- Wide seasonal variations in influence of different skill sources
- cold forecast period (Dec-Feb) -- forecast skill depends mainly on initial condition accuracy
- warmer snowmelt forecast period forecast skill depends strongly on met. forecast skill



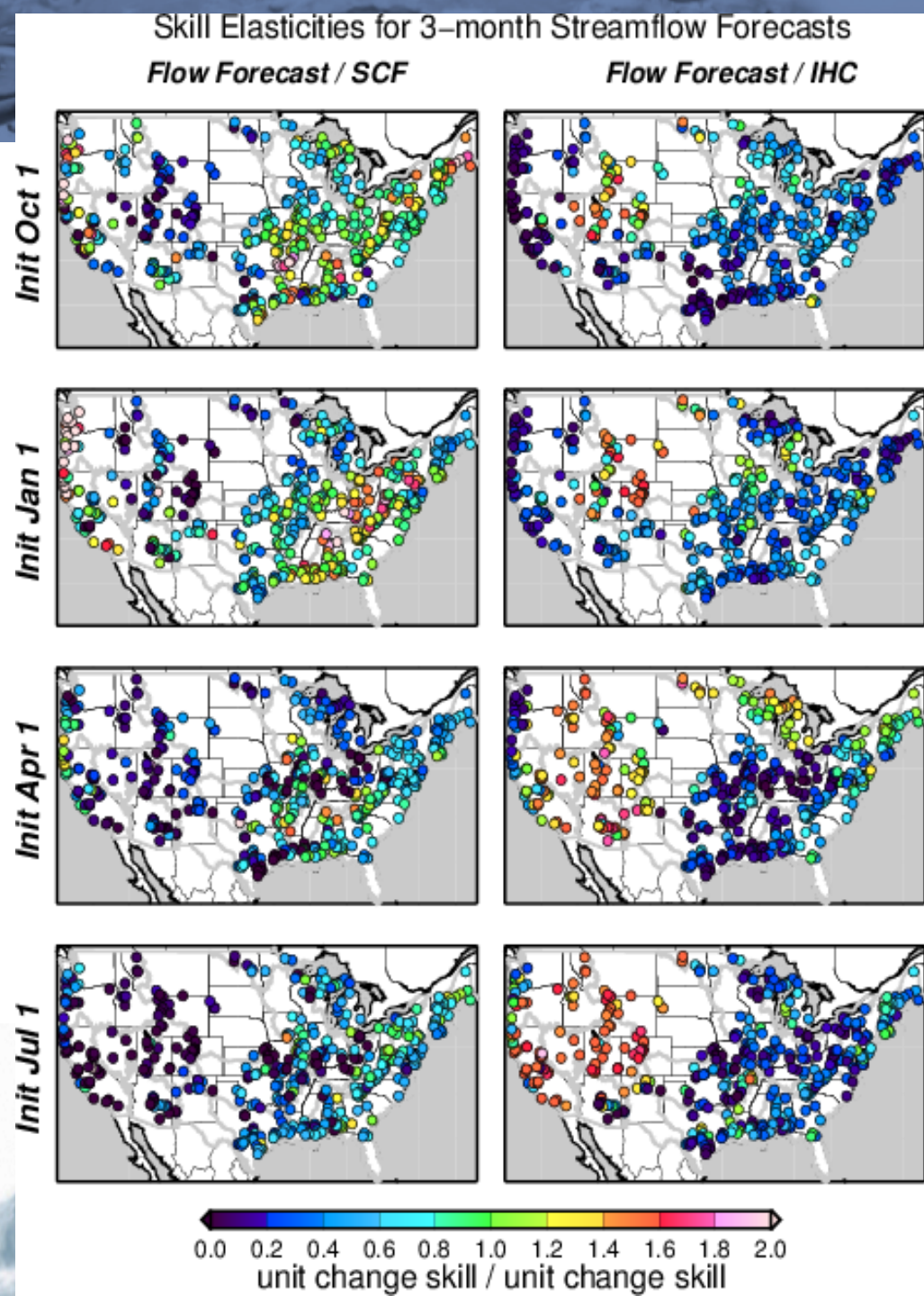
## Skill of Mean 3mo Runoff Forecast Crystal River Ab Avalanche Crk Nr Redstone CO



IHC: initial Hydrologic Conditions  
SCF: Seasonal Climate Forecasts

# Flow Forecast Skill Elasticities

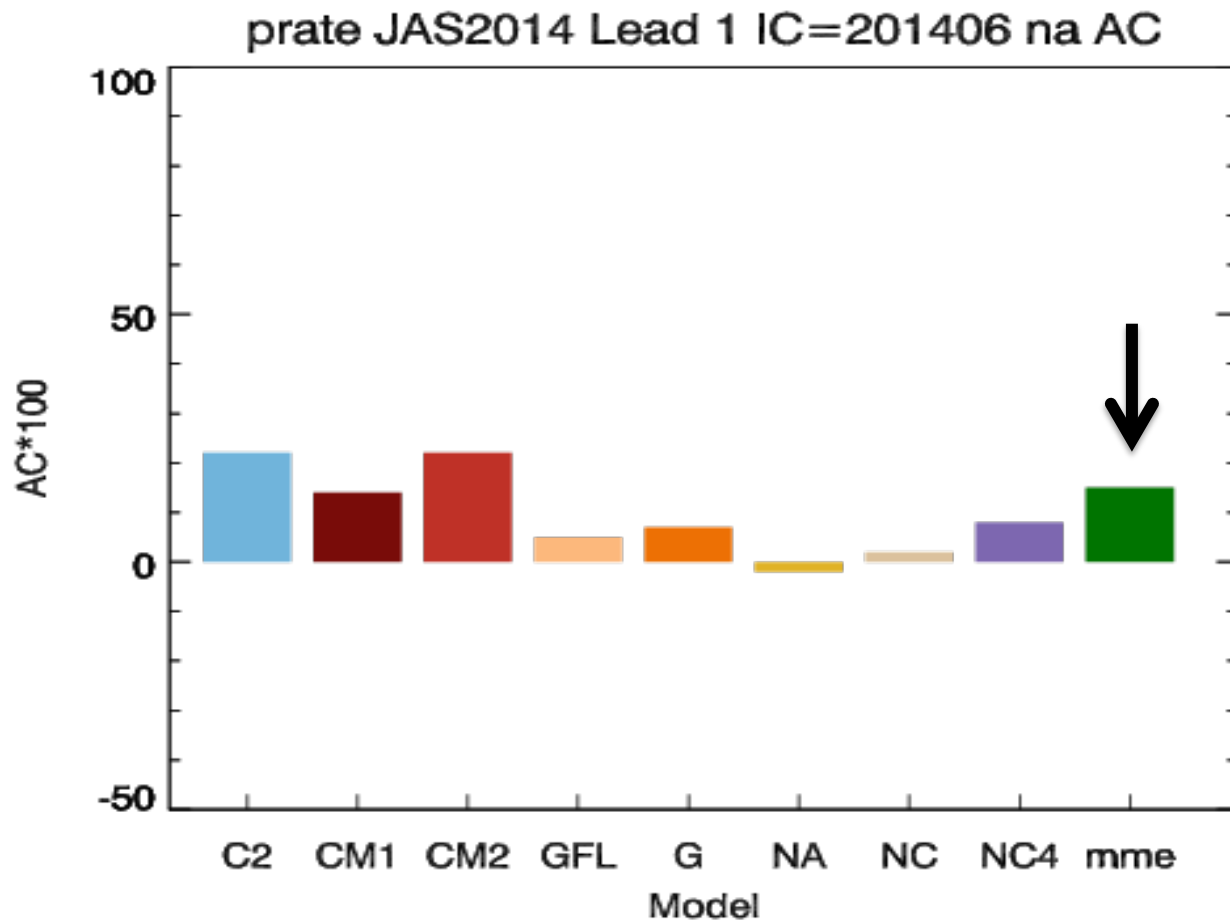
- The % change in flow forecast skill versus per % change in predictor source skill
- Can help estimate the benefits of effort to improve forecasts in each area
- This research is funded by water management agencies – Reclamation and US Army Corps of Engineers



# North American Multi-Model Ensemble at NOAA

The NMME is the latest/greatest effort at climate prediction from N.A.:

- models vary in skill each month, and by region





# GEWEX Seasonal Forecast Research Examples

There is a large-scale GEWEX-supported line of seasonal hydrologic prediction work.

- Less connected with users, looking at underlying science issues.
- A popular target application is drought monitoring/prediction.

Eric Wood has just completed an assessment of using National Multi-model Ensemble climate prediction in hydrologic LSMs for RHP basin seasonal prediction. (accepted in BAMS, Xin et al, 2015)

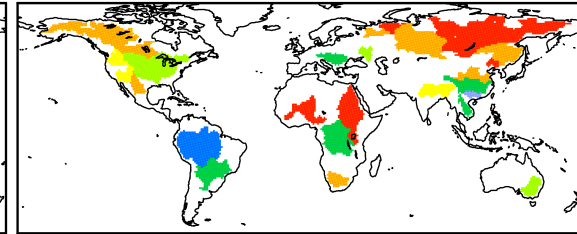
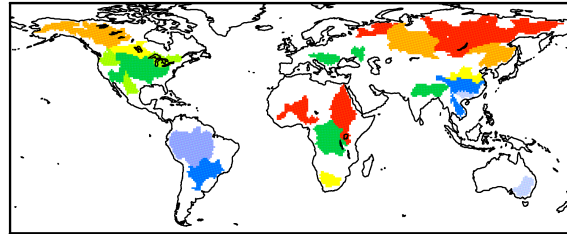
From: Eric Wood

Hit Rate for Drought Prediction

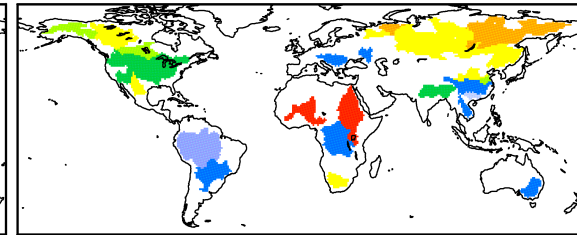
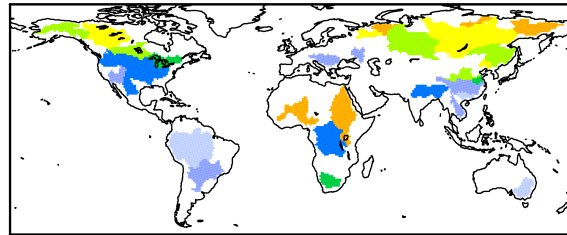
0.5 month lead

ESP/3m

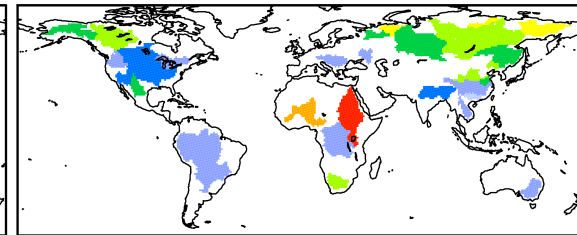
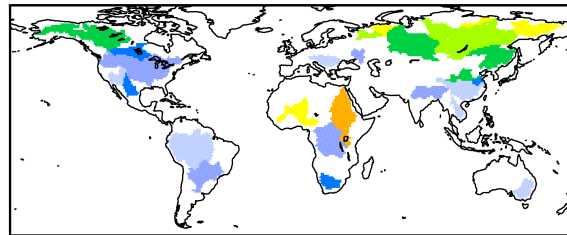
NMME/3m



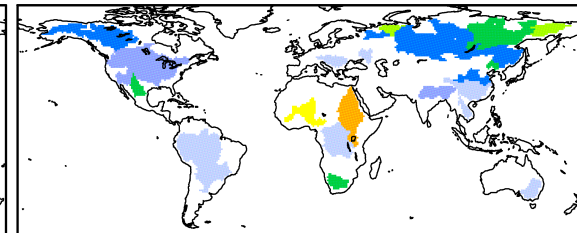
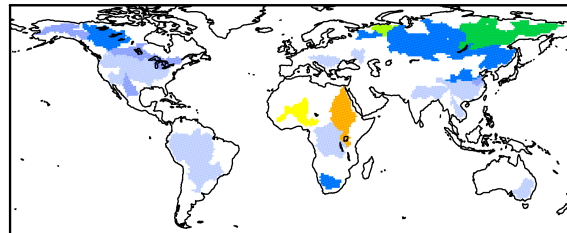
1.5 month lead



2.5 month lead



3.5 month lead



# GEWEX Seasonal Forecast Research Examples

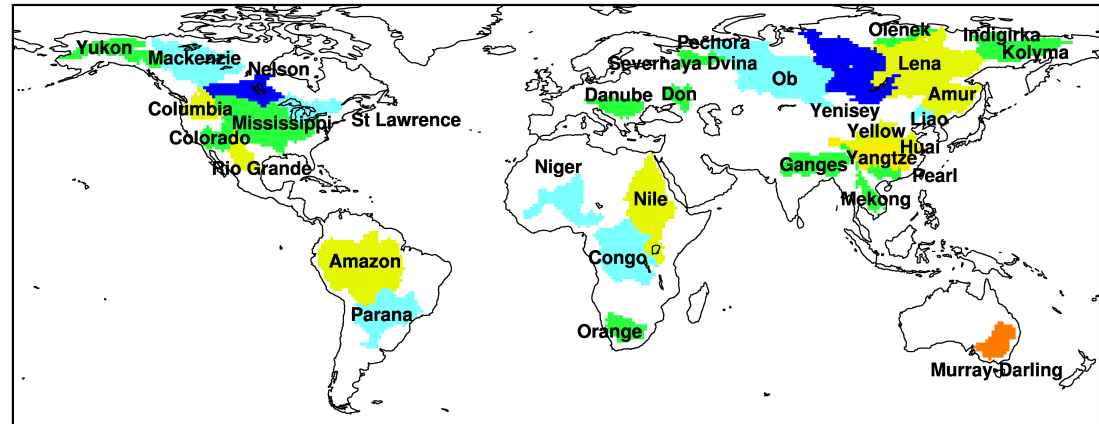
Eric Wood's NMME climate prediction in hydrologic LSMs for RHP basin seasonal prediction.

(accepted in BAMS, Xin et al, 2015)

- Rainfall skill

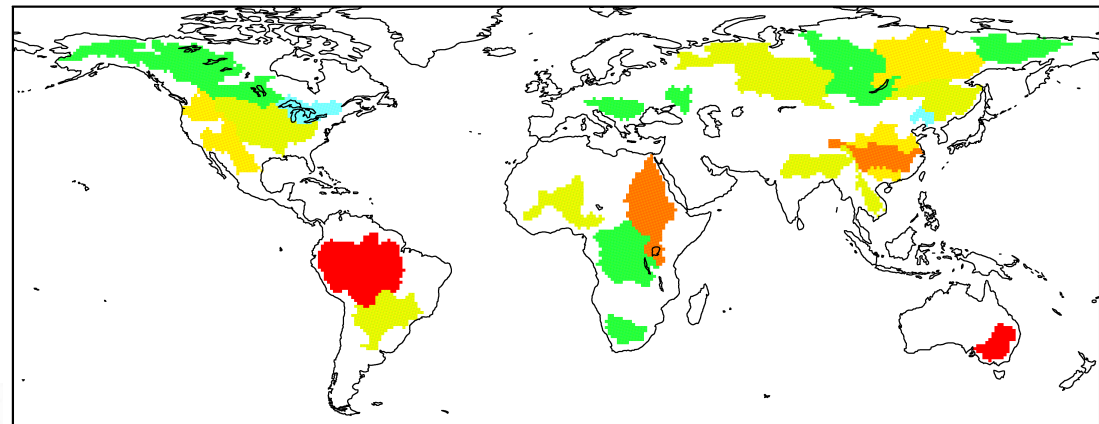
Seasonal Rainfall CRPSS

CFSv2



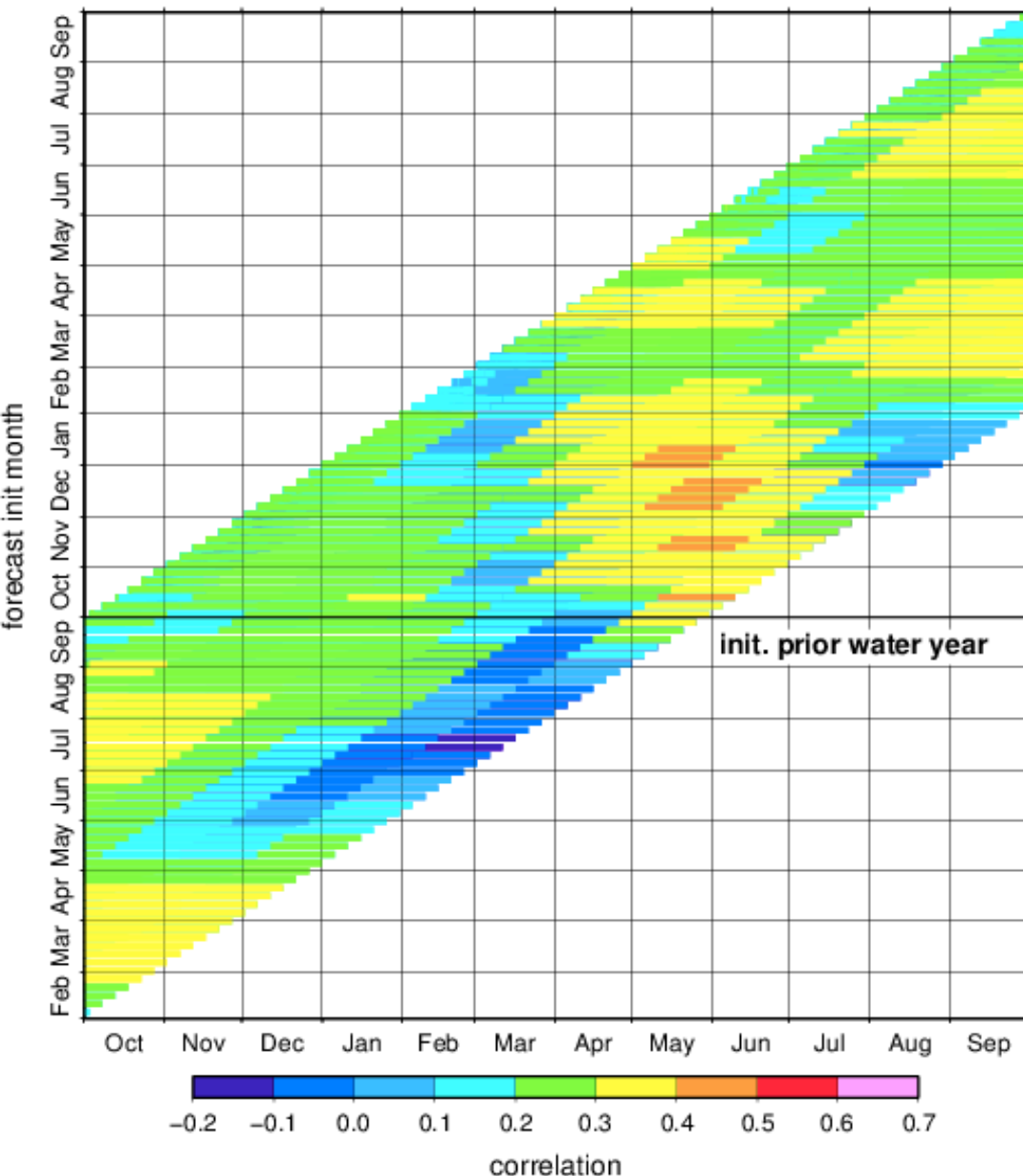
Seasonal Rainfall CRPSS

NMME



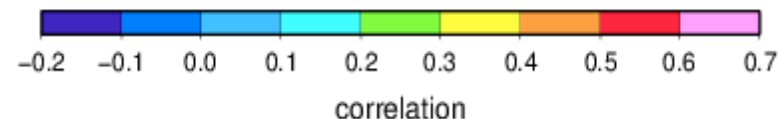
# Individual model prediction skill varies

Maximum CFS correlations




- For seasonal time scales, precipitation skill varies from poor to moderate
  - depends on season and lead time
  - depends on location
  - may depend on large-scale 'climate state/regime'

East R at Almont, Co, precip  
(very difficult location)





# The urgency of understanding predictability

telesur

Article

Dec 8, 2014

NEWSVIDEOSMULTIMEDIAOPINIONBLOGSANALYSISYOU'RE THE REPORTERPROGRAMS

AGENDA

Human Rights Day

CIA Torture

Battling for Climate Justice

US Resists Police Racism

*News > Latin America*

## Drought-Hit Sao Paulo Has Two Months of Water Left



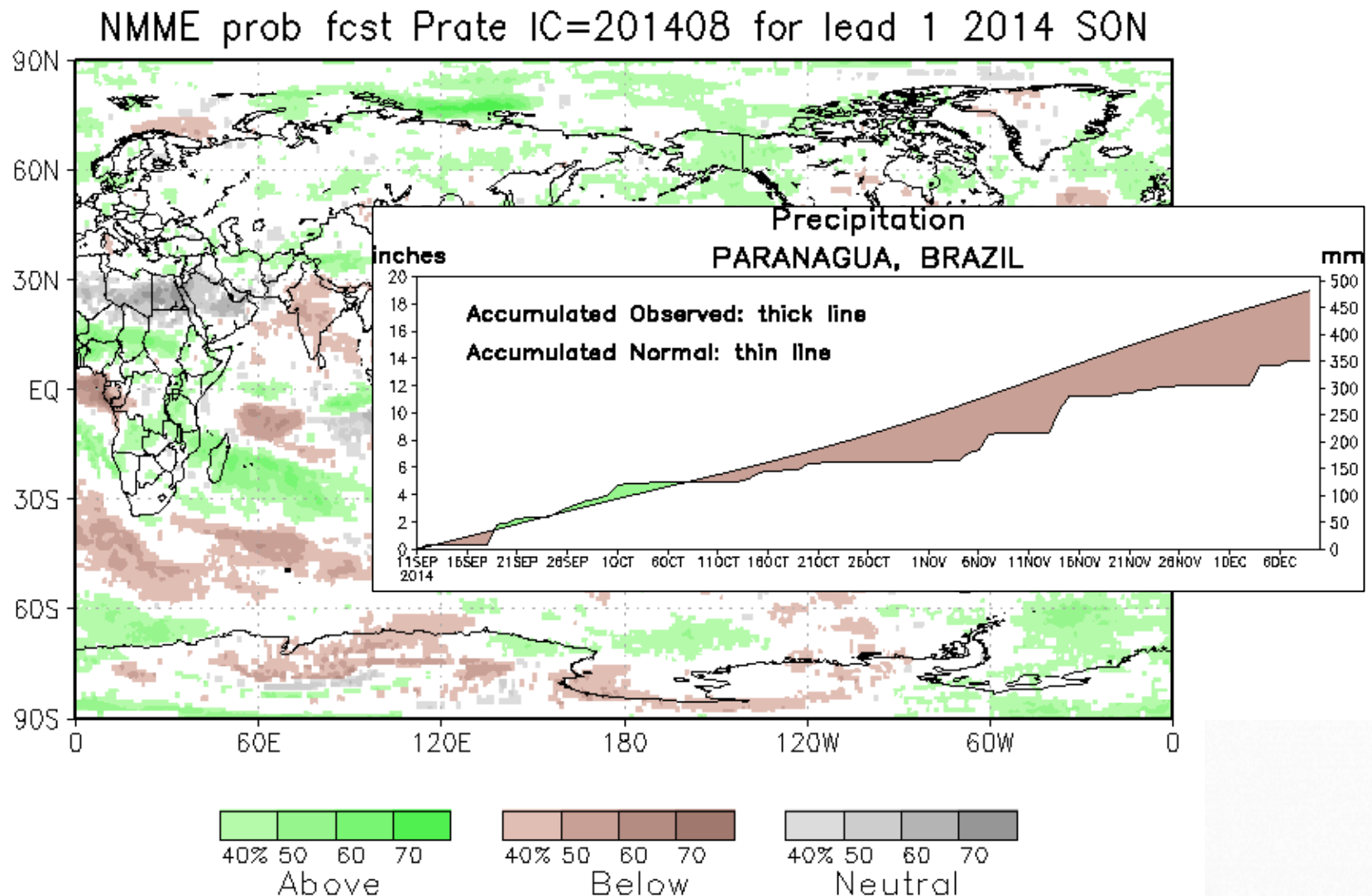
A man looks at the cracked ground of Jaguari dam, part of the Cantareira reservoir in Sao Paulo state, showing record low water levels January 31, 2014. (Photo: Reuters) | Photo: Reuters

Published 8 December 2014

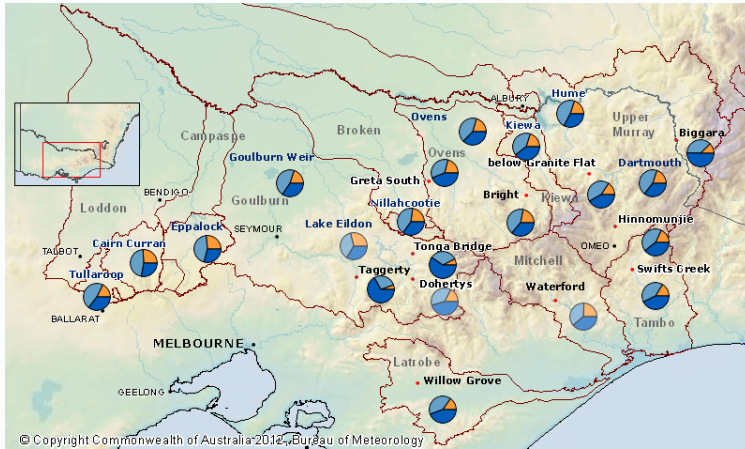
**The emergency reserves should last for two months, but water use is also expected to increase during the holiday season.**

# The urgency of understanding predictability

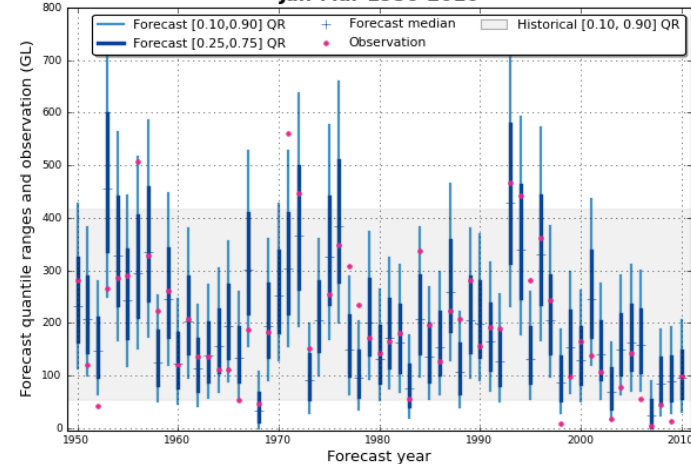
## NMME forecast for precip (terciles)



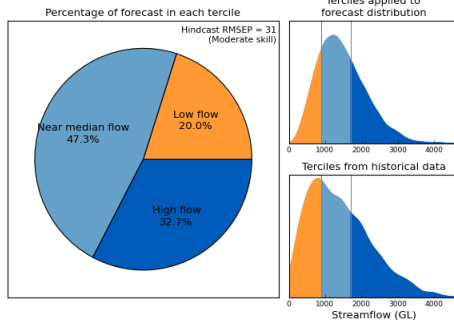
# AU Seasonal streamflow forecasting: dynamical-statistical



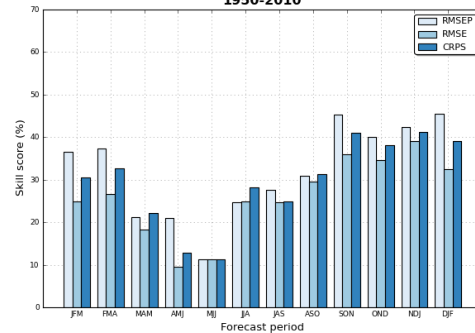
Forecast quantiles and observations versus year  
Unregulated inflow to Hume Dam  
Jan-Mar 1950-2010



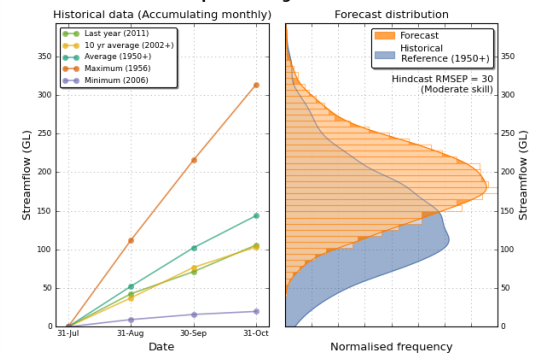
Unregulated inflow to Hume Dam  
Forecast period: Aug 2012 - Oct 2012



Skill scores  
Unregulated inflow to Hume Dam  
1950-2010



Acheron River at Taggerty (405209)  
Forecast period: Aug 2012 - Oct 2012



QJ Wang, CSIRO

<http://www.bom.gov.au/water/ssf/>



# Efficiency – Complexity Tradeoff

- **A number of forecasting centers around the world have offered seasonal streamflow predictions for decades (over 8 in the US, for instance).**
  - Other countries/agencies are interested in starting such services.
- **The approaches span a wide range of data requirements & complexity. From simplest to most complex (light to heavy data lift):**
  - a. regression of flow on in situ obs (rainfall, SWE, flow)
    - 'regression' = regressive technique, ie PCR, MLR, etc.
  - b. the same but with teleconnection indices included as predictors
  - c. the same but with custom climate state predictors (eg EOFs of SST) or climate forecasts
  - d. land model based ensemble simulation (eg ESP or HEPS) without climate forecast
    - possibly with short to medium range prediction embedded
  - e. climate index (or custom index) weighted ESP
  - f. climate forecast weighted ESP (eg using CFSv2 or NMME in the US)
  - g. climate forecast downscaled outputs with weather generation for land model ESP/HEPS
    - from one land/climate model or multi-model; from simple land model to hyper-resolution
  - h. d-g with statistical post-processing to correct model bias
  - i. d-g with post-processing to correct bias and merge with other predictions (cf BOM approach)
  - j. d-g with DA to correct land model errors (particularly with snow variables)
  - k. d-g with both post-processing AND DA

simple  
statistical  
approaches  
can be  
viewed as  
benchmark  
for dynamical  
approaches

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- **A HEPEX-GHP Intercomparison Experiment?**

# Relationship between GHP and HEPEX

A common motivation:  
the existence and impacts of floods and droughts

## RHPs

Improving scientific understanding of regionally significant features water & energy cycle, leading to:

- Better models
- Better datasets

## HEPEX

Applying improved scientific understanding, data and models to improve operational prediction of floods and droughts

## Prediction Applications

HEPEX methods filtering into operations for

- Water/energy management
- Hazard mitigation

**Applications motivate & inform the research**

- **tighten focus**
- **change level of scrutiny**



# R2O/O2R – an arduous trek requiring tradeoffs



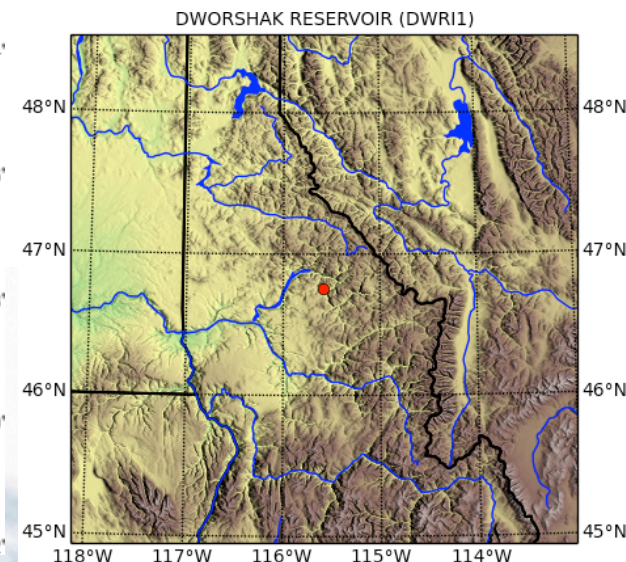
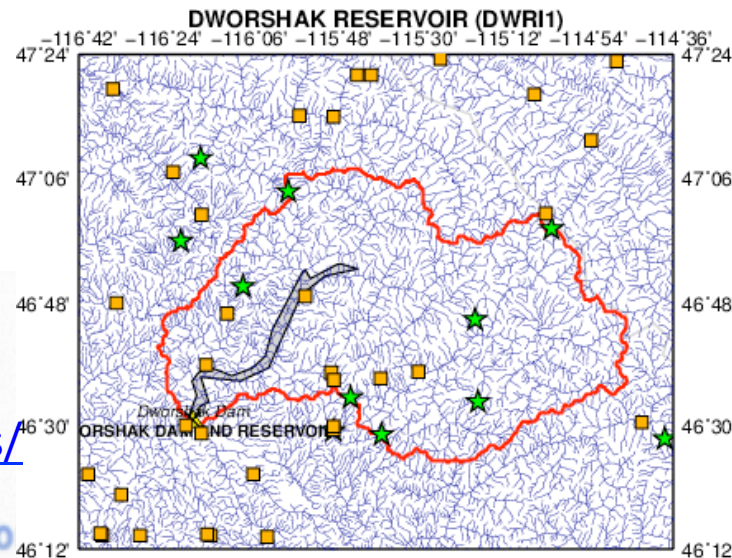
# Seasonal Forecasting Cross-cut Project Concepts

## Possible thrusts

1. GEWEX-ish: Science-oriented exploration of seasonal climate and hydrologic predictability from state-of-the-art datasets and models in RCP/RHP study domains. - Eric Wood would lead
2. HEPEx-ish: How well do methods across the statistical-dynamical spectrum harness local-to-regional scale hydrometeorological predictability – for a basin collection determined from water resources considerations. - Andy Wood / HEPEx would lead

[http://www.ral.ucar.edu/staff/wood/case\\_studies/](http://www.ral.ucar.edu/staff/wood/case_studies/)

Hydrologic Ensemble Prediction





# Experimental Outline

1. Set leads/participants (solicit through HEPEX & GHPs)
2. Coordinate:
  - define study basins
  - protocol for evaluation
  - scope/timeline of experiments
3. Assemble data, models, methods
4. Predictability Experiments
  - What sources of predictability dominate seasonally, for various leads & predictands, locations, variables?
  - Where are the greatest uncertainties / weaknesses and scientific limits?
5. Approach Intercomparisons
  - What is the marginal benefit of dynamical approaches over statistical ones for various types of prediction? Where are dynamics necessary?
6. Dissemination / Outreach
  - Website key, publication, also local interaction with users



# Relevant Recent & Future Events

## Recent

- BfG (Koblenz) hosted a recent meeting on seasonal forecasting for water management
  - will lead to a Guidelines document for WMO on Seasonal Prediction (led by Jan Danhelka, CHMI).
  - [http://www.bafg.de/DE/05\\_Wissen/02\\_Veranst/2014\\_10\\_15.html](http://www.bafg.de/DE/05_Wissen/02_Veranst/2014_10_15.html)

## Future

- HEPEX Seasonal Forecast Meeting hosted by SMHI, Sweden, September 2015
- Summer short course on Seasonal Forecasting?
- *Seas. Climate/Hydrology Ensemble Prediction Experiment (SCHEPEX ... )?*

A scenic landscape photograph featuring a calm lake in the foreground, a small building with a dome on a grassy hill in the middle ground, and misty mountains in the background. A semi-transparent dark blue horizontal band is overlaid across the middle of the image, containing the text "Questions?".

Questions?

# Applications and Elements

QJ Wang, CSIRO

- **Multiple statistical models**  
[Schepen, Wang & Robertson – JCLI 2012], [Wang, Schepen & Robertson – JCLI 2012]
- **Combining statistical and dynamical models**  
[Schepen, Wang & Robertson – JGR in press]
- **GCM calibration, bridging and merging**  
[Schepen, Wang & Robertson – JCLI in review]
- **Combining multiple GCMs**  
[Schepen & Wang – MWR in review]
- **Forecasting monthly rainfalls to long lead times**  
[Hawthorne, Wang, Schepen & Robertson – WRR in review]
- **Forecasting seasonal rainfall across China**  
[Peng, Wang, Bennett, Pokhrel & Wang – JOH in review]
- **Forecasting seasonal temperature**
- **Forecasting Hydrology / Streamflow**